

Collin County Community College District Board of Trustees

3. Finance and Audit Committee

June 19, 2018

Resource: Ken Lynn
Chief Financial Officer

DISCUSSION ITEM: Annual Review of Authorized List of Securities
Broker/Dealers

DISCUSSION: Policy CAK (Local) requires that the Board of Trustees annually review and approve the list of approved Broker/Dealers with which the College is authorized to transact investment activity.