

**Collin County Community College District
Annual Investment Report (Including Deposits)**

August 31, 2015
Market Value

Investment or Deposit Type

Publicly Traded Equity and Similar Investments

- Common Stock (U.S. and foreign stocks held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds)
- Equity/Stock Mutual Funds
- Balanced Mutual Funds (where target allocation is > 50% equities)
- "Commonfund" Equity Commingled Funds
- Other Equity Commingled Funds (if primarily invested in publicly traded equities)
- Preferred Stock
- Other - list by type

Total Publicly Traded Equity and Similar Investments 0.00

"Other" Investments - Other than Publicly Traded Equity and Debt Investments

- Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation category rather than comprising part of a broadly diversified stock portfolio)
- Other Real Asset Investments (e.g. investments in infrastructure funds)
- Private Equity
- Hedge Funds
- "Commonfund" Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds, Commodities, etc.)
- Annuities
- Commodities
- Collectibles
- Other - list by type

Total "Other" Investments - Other than Publicly Traded Equity & Debt Investments 0.00

Publicly Traded Debt & Similar Investments >1 year maturity

- U.S. Government Securities ("Treasuries")
- U.S. Government Agency Securities ("Agencies")
- Mortgage Pass-Throughs - "Agency"
- Mortgage Pass-Throughs - "Private Label"
- Asset-Backed Securities (ABS) (other than mortgage-backed securities)
- Sovereign Debt (non-U.S.)
- Municipal Obligations

Collateralized Mortgage Obligations (CMOs) - list below by category

- Interest Only Strips (IOs)
- Principal Only Strips (POs)
- Inverse Floaters
- Stated Final Maturity longer than 10 years
- Other CMOs - "Agency"
- Other CMOs - "Private Label"

Corporate Obligations (U.S. or foreign companies) - list below by rating

- Highly Rated (AAA/AA or equivalent)
- Other Investment Grade (A/BBB or equivalent)
- High Yield Bonds (<BBB or equivalent)
- Not Rated (NR)
- Fixed Income/Bond Mutual Funds (longer term; registered with the SEC)
- Balanced Mutual Funds (where target allocation is > 50% bonds or other debt securities)
- "Commonfund" Fixed Income/Bond Commingled Funds
- Other Fixed Income/Bond Commingled Funds (primarily invested in publicly traded debt securities; not registered with the SEC)
- GICs (Guaranteed Investment Contracts)
- Other - list by type

Total Publicly Traded Debt & Similar Investments >1 year 0.00

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Short-Term Investments & Deposits	
U.S. Government Securities ("Treasuries")	
U.S. Government Agency Securities ("Agencies")	124,887,295.00
Bankers' Acceptances	
Commercial Paper - A1/P1 (or equivalent)	
Other Commercial Paper - lower rated	
Repurchase Agreements (Repos)	
Money Market Mutual Funds (registered with the SEC)	
Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)	
Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")	
TexPool (and TexPool Prime)	84,220,579.00
Other Public Funds Investment Pools Functioning as Money Market Mutual Funds	37,358,036.00
Other Investment Pools - Short-Term (not created to function as a money market mutual fund)	
Certificates of Deposit (CD) - Nonnegotiable	
Certificates of Deposit (CD) - Negotiable	
Bank Deposits	
Cash Held at State Treasury	341,392.00
Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)	
Other - list by type	
Total Short-Term Investments & Deposits	<u><u>246,807,302.00</u></u>
TOTAL INVESTMENTS and DEPOSITS	<u><u>246,807,302.00</u></u>

1. Does Collin County Community College employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval?

NOTE: Institutions should include all consultants and “separately managed account” portfolio managers (in which the underlying investments are wholly owned by the institution) in this disclosure.

Institutions that, in addition, choose to disclose information about their participation in various forms of “commingled funds” should distinguish that information from the required listing of “separately managed” investment accounts. In general, commingled funds represent pooled investments in which multiple investors contribute money to the fund and own a share of the pool but do not own the individual investments. This structure could include the various pooled investment funds managed by Commonfund, as well as “alternative investments” such as hedge funds and limited partnerships that invest in private equity, real estate, or other assets.

No

2. Does Collin County Community College use soft dollar, directed brokerage or directed commission, commission recapture, or similar arrangements (these arrangements typically involve using brokerage commissions as a means of paying for other related investment services through credits of a portion of brokerage commissions paid rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)? If the answer to this question is yes, the institution must summarize briefly the guidelines that govern the use of such arrangements.

No

3. Is Collin County Community College associated with an independent endowment or foundation? If the answer to this question is yes, the institution must provide contact information (name and address) for the individual(s) who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment’s or foundation’s investments.

Yes

Northern Trust, NA as Agent
c/o Amy Evans
3452 Spur 399, Suite 429
McKinney, TX 75069

8/31/15 Endowment Corpus - \$6,240,000

Market Value

TexPool @ 8/31	-0-
Northern Trust Endowment	\$8,515,510
Northern Trust Short Term	\$ 120,766
Concord Trust	\$2,077,776