

Report to the Audit and Compliance Committee of the
University of Houston System
Board of Regents
March 12, 2026

UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT

Fiscal Year 2026 INTERNAL AUDIT ACTIVITY
since November 20, 2025

and

INTERNAL AUDIT REPORTS

AR2026-09	University of Houston Education Research Center, Fiscal Year 2025
AR2026-10	University of Houston-Downtown, Joint Admission Medical Program (JAMP)
AR2026-11	University of Houston, Joint Admission Medical Program (JAMP)
AR2026-12	University of Houston, Tilman J. Fertitta Family College of Medicine Joint Admission Medical Program (JAMP)
AR2026-13	University of Houston System, Contracts Requiring University of Houston System Board of Regents Approval
AR2026-15	University of Houston System, Annual Procurement Report, Fiscal Year 2025

Note: This internal audit report is submitted to the Board of Regents and the Chancellor for their review in order to comply with the Board of Regents policy and the Texas Government Code, Section 2102.008. This internal audit report is also submitted to the Governor's Office of Budget, Planning, and Policy; the State Auditor; and the Legislative Budget Board in order to comply with the Texas Government Code, Section 2102.0091.

FY26 Internal Audit Activity

Since November 20, 2025 Board of Regents Audit and Compliance Committee Meeting

Audits Completed

- **AR2026-01** Contracts Requiring Board of Regents Approval (1st Quarter)
- **AR2026-02** Board of Regents Travel & Entertainment, FY25
- **AR2026-03** UH/UHS Chancellor/President's Travel & Entertainment, FY25
- **AR2026-04** UHCL President's Travel & Entertainment, FY25
- **AR2026-05** UHD President's Travel & Entertainment, FY25
- **AR2025-07** UH Foundation Donor Agreement Expenditures
- **AR2026-08** Texas Education Code §51.9337 Compliance
- **AR2026-09** UH Education Research Center
- **AR2026-10** UHD Joint Admission Medical Program, FY24 & FY25
- **AR2026-11** UH Joint Admission Medical Program, FY24 & FY25
- **AR2026-12** UH College of Medicine Joint Admission Medical Program, FY24 & FY25
- **AR2026-13** Contracts Requiring Board of Regents Approval (2nd Quarter)
- **AR2026-15** UHS Annual Procurement Report

Special Projects Completed

- UHS Institutional Compliance Fraud and Non-Compliance Hotline Reports (~49)
- UH COE/COT Department Expenditures
- UH Conflict of Interest
- UH Biology Department
- UHCL Research Center Budget/IDC

Audits in Progress

- Board of Regents Travel and Entertainment FY26
- President's Travel and Entertainment, FY26 (UHS, UH, UHCL, and UHD)
- Contracts Requiring Board of Regents Approval (UHS)
- Procurement Card Management (UH)
- Laboratory Safety (UH)
- UH Inventory of Protected Health Information
- UHD Inventory of Protected Health Information
- UHS TAC 202
- UHS Continuous Control Monitoring

Special Projects in Progress

- UHS Institutional Compliance Fraud and Non-Compliance Hotline (Varies per FY)
- UHCL Conflict of Interest



Internal Auditing Department

University of Houston Education Research Center, FY25

Report to the Audit and Compliance Committee of the UHS Board of Regents
AR2026-09

March 2026



Photo courtesy of <https://uh.edu/education/research/institutes-centers/erc/>

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Objective

Ensure compliance with the standards and provisions set forth in The State of Texas Interagency Cooperation Contract (Agreement) and the specifications outlined in Request for Applications RFA No. 781-7-17395 (Application), as agreed upon between the Texas Higher Education Coordinating Board (THECB) and the University of Houston Education Research Center (UH ERC).

Background

The UH ERC was officially designated by the State of Texas and opened in 2017, with remote access added in January 2021. The UH ERC is both a research center and P-20/Workforce Data Repository that provides researchers access to longitudinal, student-level data for scientific inquiry into critical issues relating to education and overall policymaking purposes. The ERC high quality data resources span from the Pre-K level through higher education (P-16) and into the Texas workforce from: the Texas Education Agency (TEA), the THECB, and the Texas Workforce Commission (TWC), and other sources of educational information for the State of Texas.

What We Found Summary

Overall, the UH ERC complies with the terms outlined in the Agreement and the Application. However, improvements could be made to the areas identified below.

- Issue 1: Release of Data
- Issue 2: Annual External Network Penetration Test

Issue 1 – Release of Data

The University of Houston Education Research Center policies and procedures require researchers to formally request output or results by emailing their requests to ERC, including specific information such as project descriptions, file summaries, and certifying statements related to the use of the data. We identified several instances where the request lacked the required information for review, though the data was ultimately approved and released to the researcher. Without required sufficient information regarding the intended use of the data, information could be released for inappropriate purposes or in a manner that does not comply with confidentiality requirements.

Issue 2 - Annual External Penetration Test

The Agreement between THECB and the ERC requires an annual security audit on ERC computers or on computers housing ERC data. The audit shall include a penetration test of computer equipment and access.

At the time of the audit, SAIC had been contracted to perform the penetration test but the test had not been performed. The test is expected to be completed in early 2026.

Recommendations and Management Responses

- 1** ERC management should strengthen the data-request review process by requiring all submissions to include the complete and detailed information required for evaluation (e.g. summary of what the file(s) contained, derived information, and/or certifications). Additionally, the Approved Projects Handbook should be updated to incorporate a review step ensuring that requests are not processed until all required elements have been provided.

Management's Response:

The Handbook will be updated to include instruction on the detailed information that is to be included in the requests for review. The Handbook will also be updated to include the suggested review step ensuring that the required elements are included prior to release.

Responsible Party: Jeanette Narvaez

Estimated Completion Date: January 15, 2026

- 2** ERC management should ensure the FY25 penetration is completed in early 2026 and provide the results to THECB and the UHS IAD internal auditing department. Additionally, ERC management coordinate with the UHS Chief Information Security Officer to develop a plan to ensure annual penetration tests are completed timely.

Management's Response:

The UH ERC continues to actively work with UIT to complete the penetration test.

Responsible Party: David Brashear (COE liaison), Eric Mims and Michael Rapp (UIT)

Estimated Completion Date: February 15, 2026

Methodology/Scope

The methodology used to conduct this audit included:

- Reviewed the Application, Agreement, Statutes, policies and procedures applicable to the UH ERC.
- Reviewed physical security and access controls.
- Obtained copies of electronic data required to be maintained including but not limited to access logs, FERPA Training, IRB approval, and Confidentiality Agreements.
- Interviewed UH ERC and University Information Security staff.

This audit was conducted to ensure compliance with the requirements established in the Agreement and Application between THECB and the UH ERC for Fiscal Year 2025.

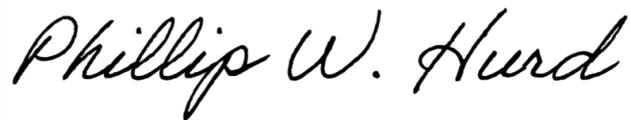
Audit Standards

The Internal Auditing Department conducted this audit in accordance with the Institute of Internal Auditors Global Audit Standards and Generally Accepted Government Auditing Standards. These standards require that the audit be planned and performed to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives.

The Texas Internal Auditing Act, Texas Government Code, Section 2102, requires each state agency that receives appropriation to establish a program of internal auditing. The Board of Regents created the University of Houston System Internal Auditing Department as an independent office reporting directly to the Chair of the Audit and Compliance Committee of the Board of Regents with access to the Chancellor. The Internal Auditing Department conducts compliance and performance audits to review aspects of the University System operations and procedures to help ensure compliance and establish accountability, improve System operations, and provide recommendations for improvement.

The UHS Internal Auditing Department would like to thank the UH ERC, University Information Technology, and Information Security Office teams for their cooperation and assistance during this audit process.

Sincerely,



**UHS Internal Auditing Department
Chief Audit Executive**

Phillip Hurd, CIA, CCEP

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Internal Auditing Department

University of Houston-Downtown Joint Admission Medical Program (JAMP)

Report to the Audit and Compliance Committee of the UHS Board of Regents
AR2026-10

March 2026



Photo courtesy of [University of Houston - University of Houston System \(uhsystem.edu\)](https://uhsystem.edu)

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Objective:

To fulfill the internal control and internal audit requirements as prescribed by the State of Texas JAMP Council Agreement. Our work was designed to ensure the University of Houston-Downtown complies with policies, procedures, laws, and regulations that significantly impact its operations and reporting of the JAMP Grants for Fiscal Years 2024 and 2025.

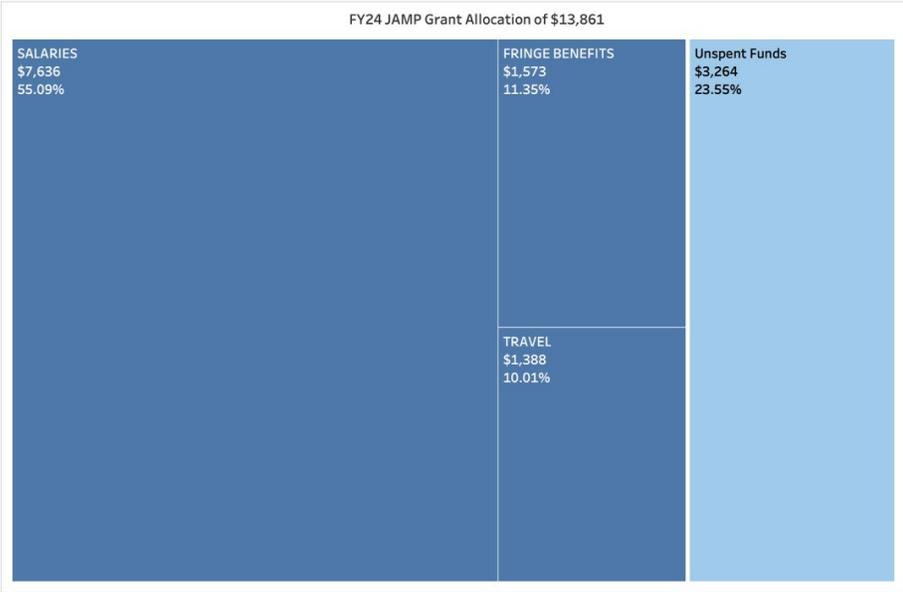
What We Found Summary

Based on the information provided to the UHS Internal Auditing Department, the expenditures for the JAMP program fiscal years 2024 and 2025 were appropriate and allowable. The audit resulted in no findings of non-compliance with the JAMP Agreement or JAMP Expenditure Guidelines.

Background

The JAMP Council was created by the Texas Legislature in 2001. It is a unique partnership between fourteen (14) Texas medical schools and sixty-eight (68) public and private four-year undergraduate institutions to assist highly qualified but economically disadvantaged Texas resident students by providing them the financial support and mentoring needed to be successful. JAMP funds grants through the Texas Higher Education Coordinating Board (THECB).

In FY24, the university expended \$10,597 (76%) of the \$13,861 received from JAMP, as indicated in the chart below. The remaining \$3,264 (24%) of the total funds received were not used and returned to JAMP.



In FY25, the university expended \$12,863 (95%) of the \$13,475 received from JAMP, as indicated in the chart below. The remaining \$611 (5%) had not been returned to JAMP as required, at the time of our testing.

We inquired about the unused funds and were informed that they are held aside to be refunded to JAMP upon receipt of an invoice for the funds from the JAMP Council, as per procedures.



Methodology and Scope

The methodology used to conduct this audit included, but was not limited to:

- Reviewing the JAMP Agreements, JAMP Expenditure Guidelines, Statutes, and applicable Policies and Procedures.
- Reviewing the JAMP approved budgets.
- Reviewing the JAMP Expenditure Reports.
- Reviewing expenditure transaction supporting documentation.
- Reconciling expenditures to the university accounting system.
- Interviewing applicable personnel.

The scope of the audit was for fiscal years 2024 and 2025 (i.e. 12 months ended 8/31/24 and 8/31/25, respectively).

Audit Standards

The Internal Auditing Department conducted this audit in accordance with the Institute of Internal Auditors Global Audit Standards and Generally Accepted Government Auditing Standards. These standards require that the audit be planned and performed to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives.

The Texas Internal Auditing Act, Texas Government Code, Section 2102, requires each state agency that receives appropriation to establish a program of internal auditing. The Board of Regents created the University of Houston System Internal Auditing Department as an independent office reporting directly to the Chair of the Audit and Compliance Committee of the Board of Regents with access to the Chancellor. The Internal Auditing Department conducts compliance and performance audits to review aspects of the University System operations and procedures to help ensure compliance and establish accountability, improve System operations, and provide recommendations for improvement.

The UHS Internal Auditing Department would like to thank the University of Houston-Downtown Office of Research and Sponsored Projects for their cooperation and assistance during this audit process.

Sincerely,



**UHS Internal Auditing Department
Chief Audit Executive**

Phillip Hurd, CIA, CCEP

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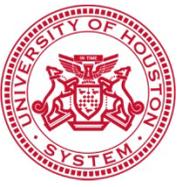
Associate Chief Audit Executive

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Audit Team

Joe Oringel, CPA, CIA, Managing Director, Visual Risk IQ

M'Shiela Hawthorne, Senior Business Analyst, Visual Risk IQ



Internal Auditing Department

University of Houston Joint Admission Medical Program (JAMP)

Report to the Audit and Compliance Committee of the UHS Board of Regents
AR2026-11

March 2026



Photo courtesy of <https://uh.edu/medicine/research/student-research-opportunities/>

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Objective

To fulfill the internal control and internal audit requirements as prescribed by the State of Texas JAMP Council Agreement. Our work was designed to ensure the University of Houston complies with policies, procedures, laws, and regulations that significantly impact its operations and reporting of the JAMP grants for Fiscal Years 2024 and 2025.

What We Found Summary

Overall, the audit reveals the need for enhanced financial oversight and grant management. This report discusses recommended changes to processes and procedures to influence ongoing compliance with the JAMP Expenditure Guidelines and the University of Houston’s policies for using State funds, as detailed in the following issues:

- Issue 1: Financial Oversight and Grant Management
- Issue 2: Timely Recording of Grant Receipts
- Issue 3: Personnel Expenditure Reporting
- Issue 4: Expenditure Reports Submission

These issues are discussed further in depth in this audit report.

Background

The JAMP was created by the Texas Legislature in 2001. It is a unique partnership between fourteen (14) Texas medical schools and sixty-eight (68) public and private four-year undergraduate institutions to assist highly qualified but economically disadvantaged Texas resident students by providing them the financial support and mentoring needed to be successful. JAMP funds grants through the Texas Higher Education Coordinating Board (THECB).

In FY24, the university expended \$19,706 (98%) of the \$20,007 received from JAMP, as indicated in the chart below (Figure 1). The remaining \$300 (2%) of the total funds received was not used and was returned to JAMP.

In FY25, the university expended \$20,240 (94%) of the \$21,586 received from JAMP, as indicated in the chart below (Figure 2). At the time of our testing, the remaining \$1,346 (6%) had not been returned to JAMP as required. We inquired about the return of these unused funds and were informed that they are held aside to be refunded to JAMP, but that an invoice from the JAMP Council is needed to be received by UH before the refund can be processed.^{15 of 53}

Figure 1

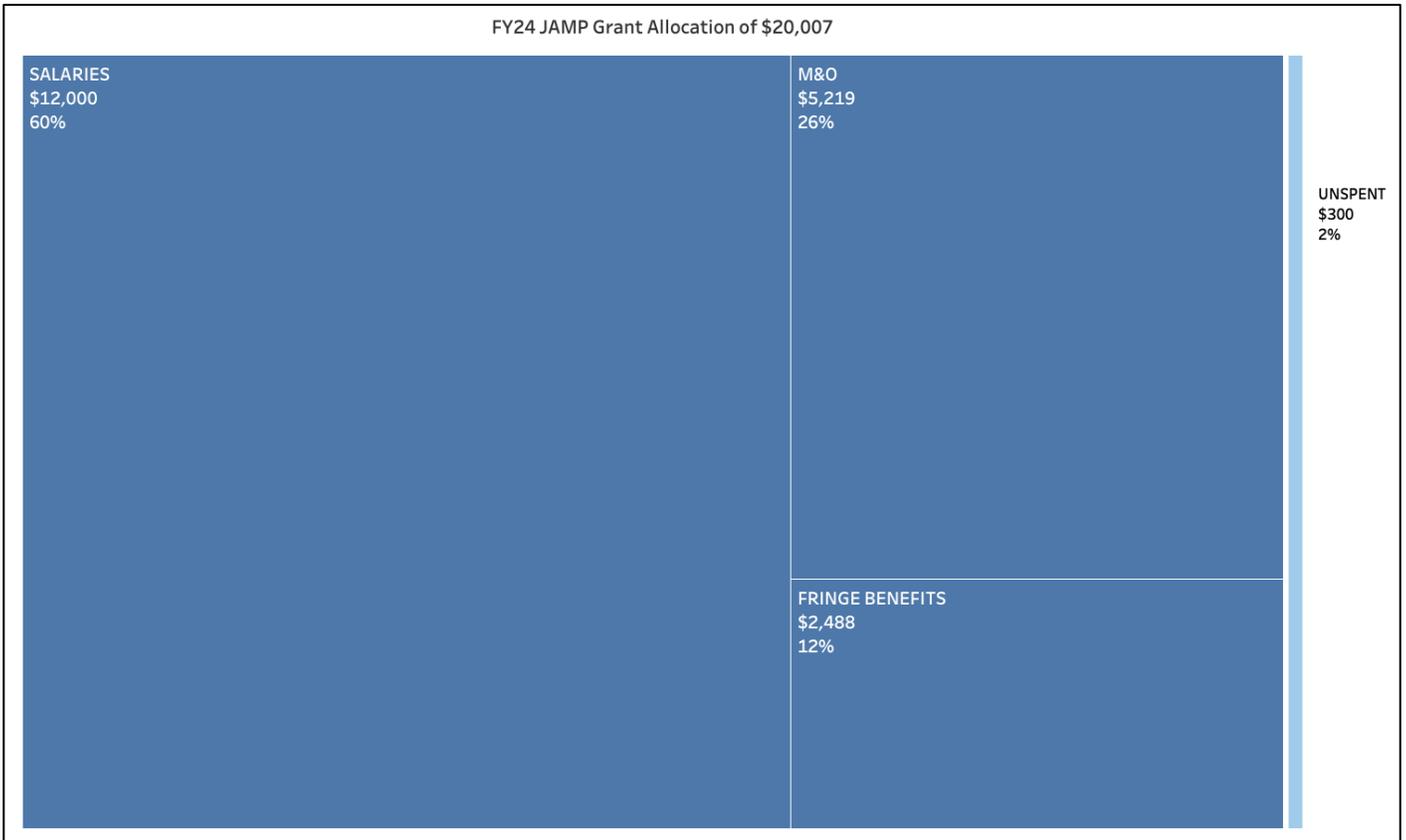
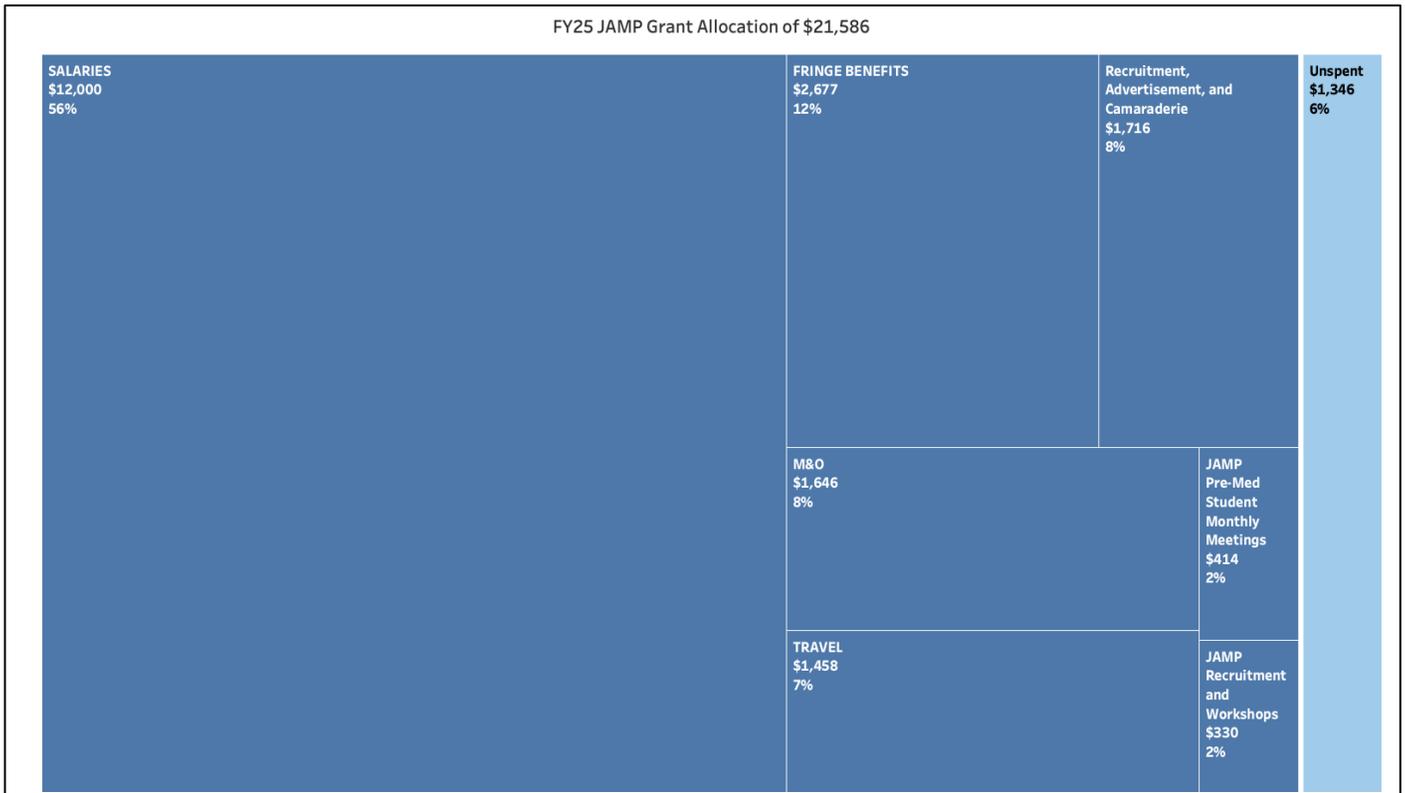


Figure 2



Issue 1 – Financial Oversight and Grant Management

The audit identified multiple deficiencies that collectively indicate the need for improved financial oversight and grant management processes. These issues increase the risk of non-compliance with grant expenditure guidelines and reporting requirements. These issues are identified below but are discussed further in separate issues.

Key deficiencies include:

- Untimely recording of grant receipts.
- Non-compliance with personnel or additional compensation reporting requirements.
- Errors and subsequent corrections in financial reports.

These conditions demonstrate a need for stronger financial controls to ensure accurate, timely, and compliant management of grant revenue and expenditures. Strengthening oversight in these areas is essential to maintaining financial integrity and supporting the institution's commitment to responsible grant stewardship.

Issue 2 – Timely Recording of Grant Receipts

The Division of Research Office of Contracts and Grants maintains grant financial records by creating a unique account (cost center) for each grant funded/awarded. The yearly expenditure reports submitted to JAMP are intended to prompt a final review and certification of allowable expenditures (based upon expenditure guidelines and university policy). Upon completion of the JAMP yearly expenditure report, the University is required to return any unused funds should they be unspent.

Application of JAMP grant payments to the appropriate cost center were not completed timely during both years of our audit:

- The delayed creation of cost centers in turn caused the need for numerous revenue and expenditure reallocations.
- Funds for the FY24 JAMP program were provided to the University on November 21, 2023. The funds were deposited and earmarked for the JAMP cost center January 30, 2024, 70 days after receipt of funds.
- Funds for the FY25 JAMP program were provided to the university on February 6, 2025. The funds were deposited into the JAMP cost center August 21, 2025, 196 days after the receipt of funds.

Grant cost centers were not set up timely, which caused revenues and expenditures to be posted to incorrect cost centers and liability accounts. This delay caused multiple reallocations and transfers of revenues and expenditures to the proper cost center.

Cost transfers and reallocations are often considered high risk transactions. Ultimately, inefficient cost center management results in operational inefficiencies, transaction errors, and an increased risk of reporting inaccuracy.

Issue 3 – Personnel Expenditures

The audit found that overload compensation (i.e. additional compensation) was approved by University leadership; however, the mandatory after-the-fact review to validate the accuracy of the charge was not conducted, as required.

Additionally, the University's Additional Compensation guidance states that additional compensation is usually a one-time lump sum payment for services or jobs with a time commitment of one month or less and is not included in effort reporting. However, the additional compensation approved was not a one-time lump sum payment, as it was paid each month, and had a time commitment of a year.

These conditions indicate non-compliance with established sponsored project compensation controls and increase the risk of inaccurate or unallowable salary charges to the grant.

Issue 4 – Expenditure Reports Submission

Expenditure Reports were not completed nor filed prior to the JAMP deadline of October 31 of each fiscal year. These delays make it difficult, if not impossible, for audits to be completed. An extension was requested and granted permitting late filing of these reports. However, this significantly affected the deadline for the audit.

Our audit identified inaccuracies in the FY24 Expenditure Report. When these inaccuracies were identified, the Division of Research submitted a corrected report to JAMP in November 2025. This results in unspent funds being returned a year later. Prior to correction, FY24 Expenditure Report was first approved on November 18, 2024, after the deadline.

The FY25 Expenditure Report was approved October 30, 2025, right before the deadline. However, this did not give auditors adequate time to complete the audit and required an extension.

Recommendations and Management's Response

1 The University's Division of Research (DOR) should consider the following enhancements to internal control for improving financial oversight and grant management. Specifically:

- Financial information recorded to each grant cost center should be reconciled promptly with the amounts reported on the JAMP Expenditure report.
- The grant award set up process should occur timely to reduce the number of required transfers and reallocations. We recommend it within 30 days or less.
- If discrepancies are identified, then they should be investigated in a timely manner and resolved prior to the annual submission of the JAMP Expenditure Report.
- Identify inefficiencies in the financial oversight and grant management processes and devise a plan of action that specifies tasks, resources, timelines, and responsibilities for implementing more timely recording of grant revenue and expenditures.

Management's Response:

Management concurs with the recommendation. The Division of Research (DOR) will strengthen internal controls governing financial oversight and grant management for JAMP awards. DOR will improve communication with the UH JAMP Director to ensure the approved Budget is received timely for grant account setup and will continue to streamline our process to decrease the time it takes to establish cost centers. DOR will also work with the UH JAMP Director and departments to enhance reconciliation procedures so that all expenditures are recorded in the accounting system and align with the amounts reported on the JAMP Expenditure Report. Additionally, DOR is in the process of reimplementing PeopleSoft Grants Billing, which will eliminate the manual process of recording AR and applying revenue to cost centers.

To ensure timely issue resolution, DOR will create a documented workflow outlining responsibilities, internal deadlines, and escalation points for addressing discrepancies before year-end reporting. This plan will include clear communication channels between DOR, the JAMP Director, and departmental administrators.

Responsible Party: David Schultz, Assistant Vice President, Sponsored Programs

Estimated Completion Date: January 31, 2026

2 The DOR should work with the UH JAMP Director to ensure the appropriate documentation is maintained to support actual personnel expenditures charged on each grant. This reporting can be based on either time expended or completion of tasks.

Management's Response:

Management agrees with the recommendation. DOR will coordinate with the State of Texas JAMP Council to clarify the requirements outlined in Uniform Guidance for the Standards for Documentation of Personnel Expenses. This will include obtaining a written position from the agency on how additional compensation is approved, documented, and reported to JAMP.

DOR will also provide guidance and training to responsible personnel based on agency feedback.

Responsible Party: David Schultz, Assistant Vice President, Sponsored Programs

Estimated Completion Date: January 31, 2026

- 3** The DOR should develop procedures to ensure the timely preparation and submission of JAMP Expenditure Reports by the required deadline. Implementing a tracking system or setting other internal deadline reminders should help prevent future delays. Additionally, the DOR should coordinate with JAMP to obtain the necessary close-out invoices to facilitate the prompt return of unused JAMP funds.

Management's Response:

Management concurs. DOR will implement a structured internal deadline calendar and build automated reminders utilizing the PeopleSoft grants milestones to ensure timely preparation and submission of JAMP Expenditure Reports ahead of the annual agency deadline (November 7th for FY2025). Internal checkpoints will be established to allow adequate time for review and correction before submission. Additionally, DOR will communicate with JAMP after Expenditure Report deadline to obtain the required close-out invoice to facilitate prompt processing and return of unused funds.

Responsible Party: David Schultz, Assistant Vice President, Sponsored Programs

Estimated Completion Date: January 31, 2026

Methodology/Scope

The methodology used to conduct this audit included, but was not limited to:

- Reviewing the JAMP Agreements, JAMP Expenditure Guidelines, Statutes, and applicable Policies and Procedures.
- Reviewing the JAMP approved budgets.
- Reviewing the JAMP Expenditure Reports.
- Reviewing expenditure transaction supporting documentation.
- Reconciling expenditures to the university accounting system.
- Interviewing applicable personnel.

The scope of the audit was for fiscal years 2024 and 2025 (i.e. 12 months ended 8/31/24 and 8/31/25, respectively).

Audit Standards

The Internal Auditing Department conducted this audit in accordance with the Institute of Internal Auditors Global Audit Standards and Generally Accepted Government Auditing Standards. These standards require that the audit be planned and performed to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives.

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The UHS Internal Auditing Department would like to thank the UH Division of Research and the Natural Sciences and Mathematics Biology Department for their cooperation and assistance during this audit process

Sincerely,



UHS Internal Auditing Department

Chief Audit Executive

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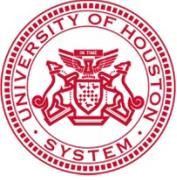
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Audit Team

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Internal Auditing Department

University of Houston Tilman J. Fertitta Family College of Medicine Joint Admission Medical Program (JAMP)

Report to the Audit and Compliance Committee of the UHS Board of Regents
AR2026-12

March 2026



Photo courtesy of [Tilman J. Fertitta Family College of Medicine | University of Houston](#)

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Objective

To fulfill the internal control and internal audit requirements as prescribed by the State of Texas JAMP Council Agreement. Our work was designed to ensure the University of Houston complies with policies, procedures, laws, and regulations that significantly impact its operations and reporting of the JAMP grants for Fiscal Years 2024 and 2025.

What We Found Summary

Overall, the audit reveals the need for enhanced financial oversight over grant management. This report discusses recommended changes to processes and procedures to influence ongoing compliance with the JAMP Expenditure Guidelines and the University of Houston’s policies for using State funds, as detailed in the following issues:

- Issue 1: Financial Oversight for Grant Management
- Issue 2: Timely Recording of Grant Receipts
- Issue 3: Personnel Expenditure Reporting
- Issue 4: Expenditure Reports Submission

These issues are discussed further in depth in this audit report.

Background

The JAMP was created by the Texas Legislature in 2001. It is a unique partnership between fourteen (14) Texas medical schools and sixty-eight (68) public and private four-year undergraduate institutions to assist highly qualified but economically disadvantaged Texas resident students by providing them the financial support and mentoring needed to be successful. JAMP funds grants through the Texas Higher Education Coordinating Board (THECB).

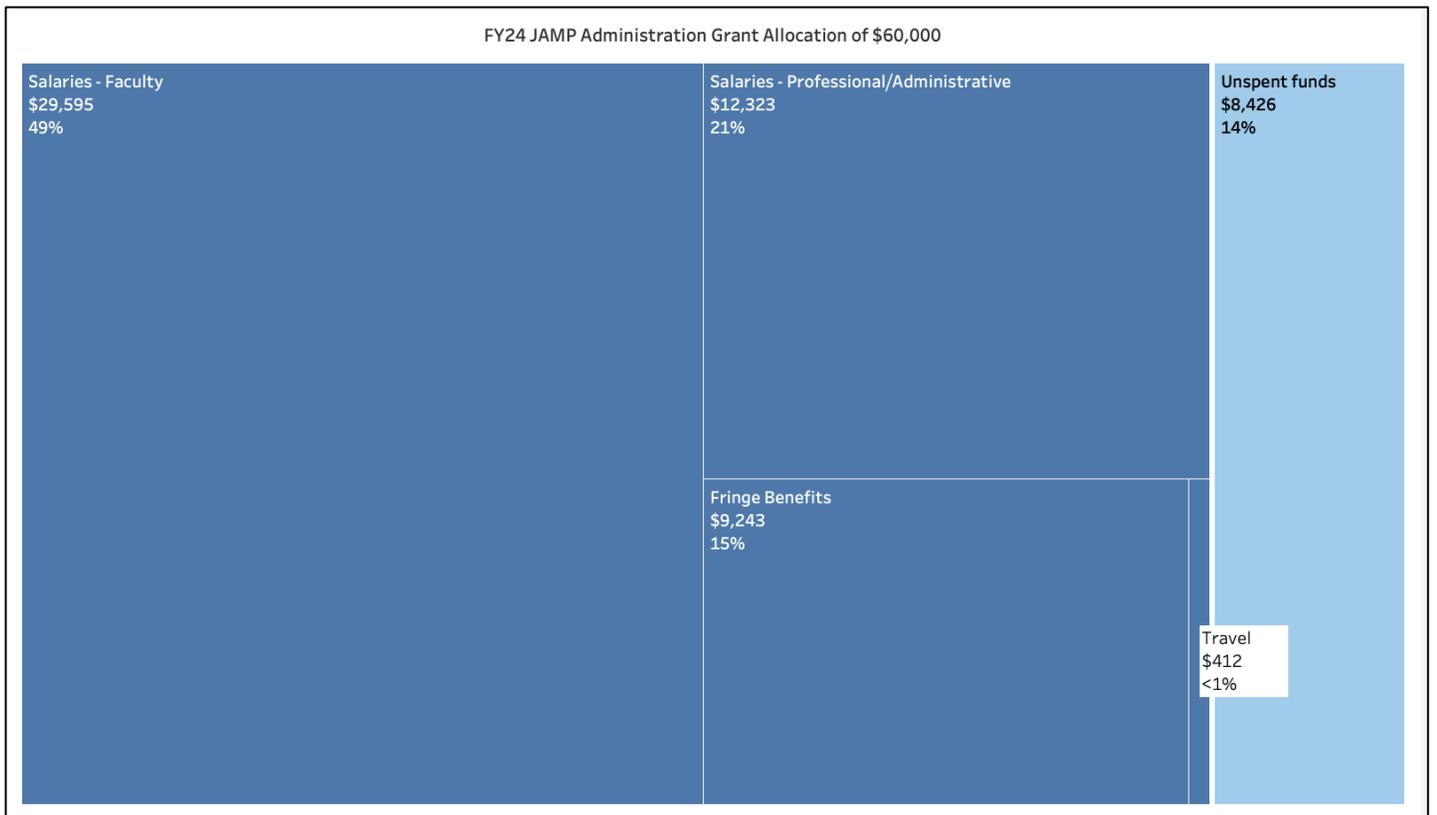
Below is a chart representing the amount of funds received, expended, and the remaining unspent funds for each fiscal year reviewed.

Fiscal Year	Grant	Total	Used	Unspent
2024	Administration	\$ 60,000.00	\$ 51,573.52	\$ 8,426.48
	Summer Program	\$ 112,625.00	\$ 106,921.63	\$ 5,703.37
2025	Administration	\$ 60,000.00	\$ 39,300.81	\$ 20,699.19
	Summer Program	\$ 117,500.00	\$ 104,703.34	\$ 12,796.66

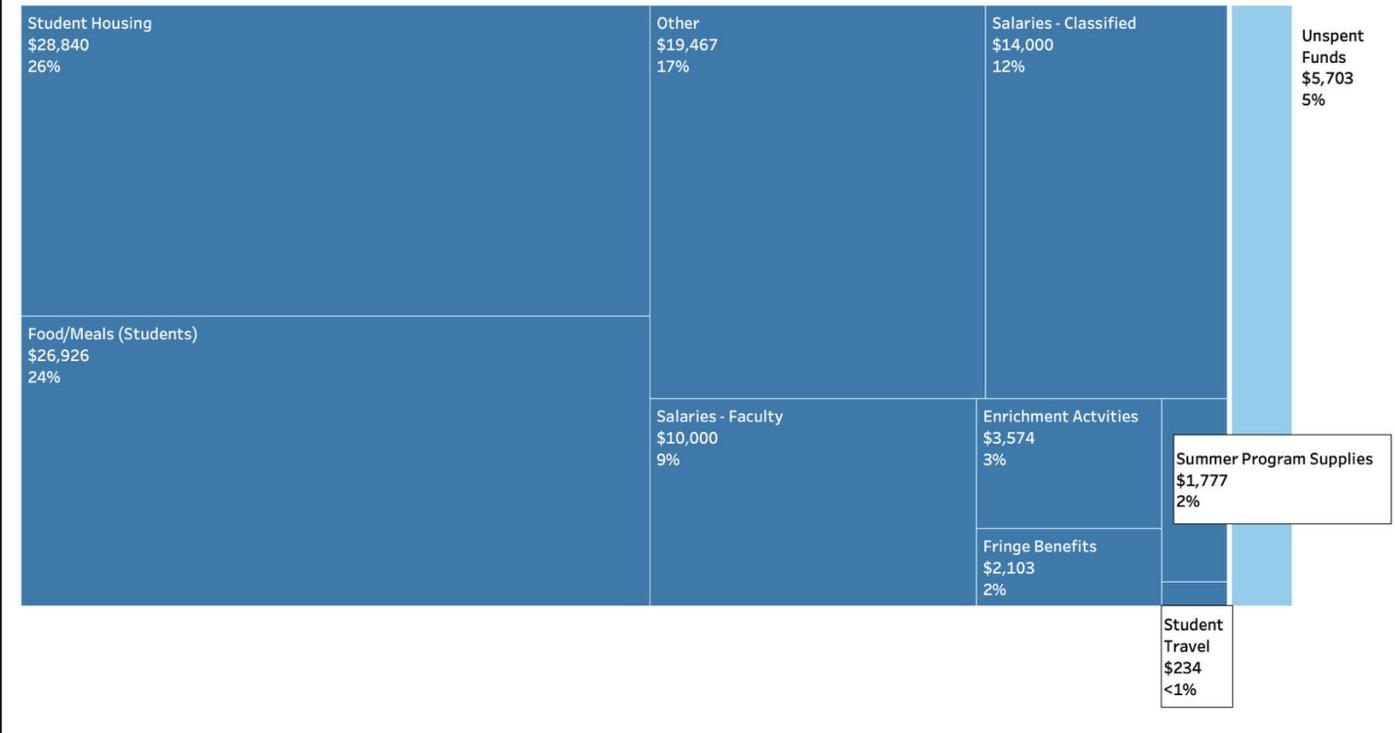
The FY 24 unspent funds were returned to JAMP for both the Summer Program and for Administration expenses, as required.

We inquired about the return of FY25 unused funds and were informed that they are held aside to be refunded to JAMP, but that an invoice from the JAMP Council is needed to be received by UH before the refund can be processed.

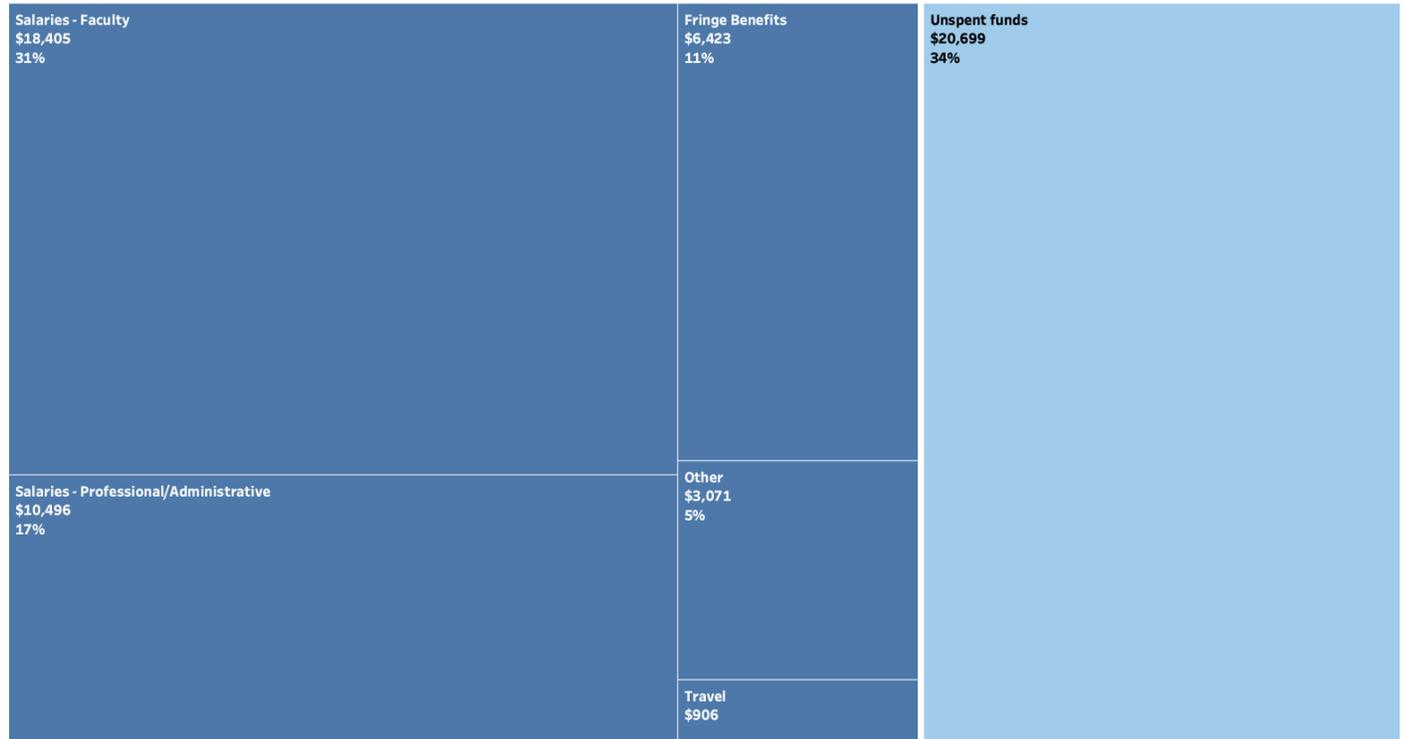
The charts below represent the expenditure categories for the administration and summer programs for fiscal years 2024 and 2025.

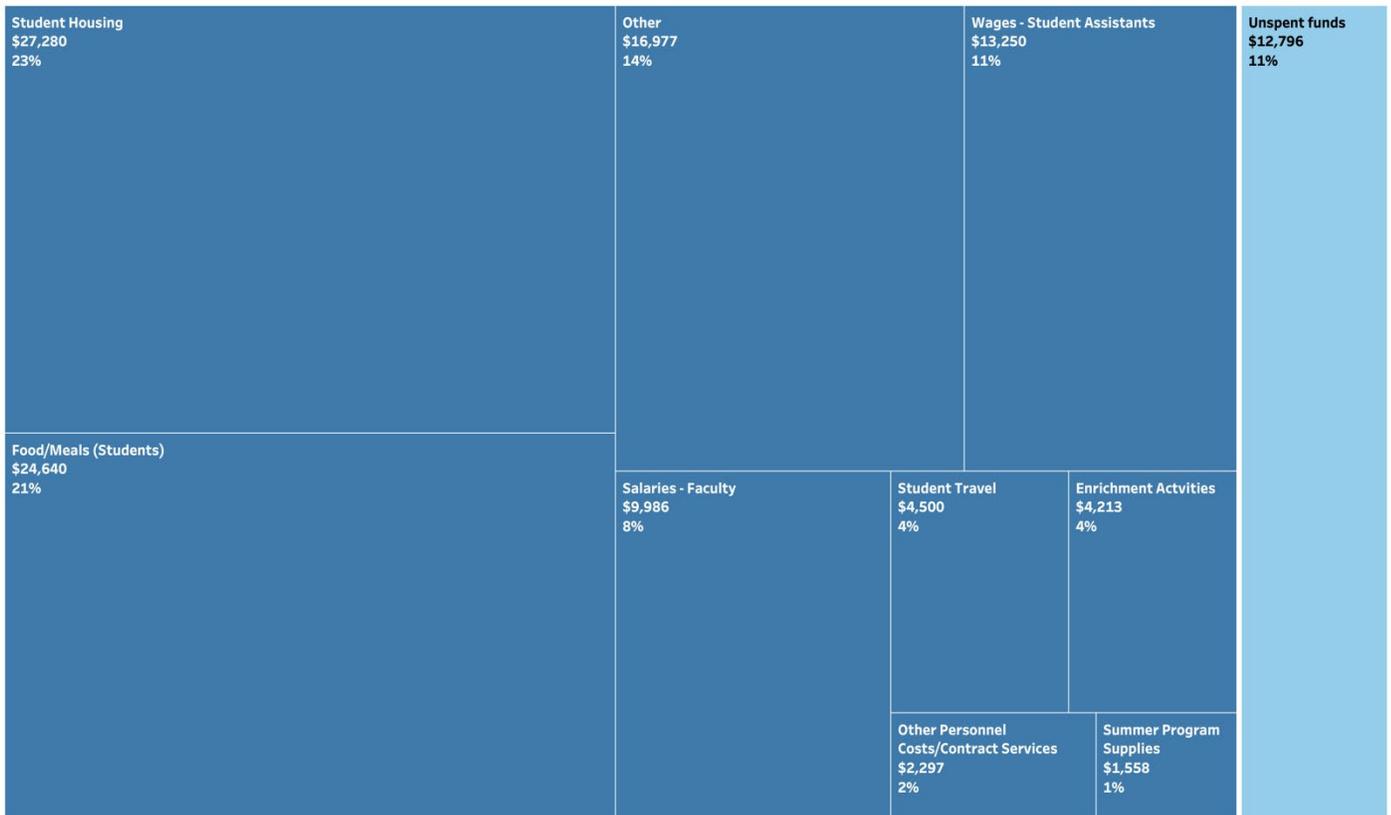


FY24 JAMP Summer Program Grant Allocation of \$112,625



FY25 JAMP Administration Grant Allocation of \$60,000





Issue 1 – Financial Oversight and Grant Management

The audit identified multiple deficiencies that collectively indicate the need for improved financial oversight for grant management processes. These issues increase the risk of non-compliance with grant expenditure guidelines and reporting requirements. These issues are identified below and are discussed further in separate, detailed issues.

These deficiencies include:

- Untimely recording of grant receipts.
- Non-compliance with effort reporting requirements.
- Errors and subsequent corrections in submitted financial reports.

These conditions demonstrate a need for stronger financial controls to ensure accurate, timely, and compliant management of grant revenue and expenditures. Strengthening oversight in these areas is essential to maintaining financial integrity and supporting the institution’s commitment to responsible grant stewardship.

Issue 2 – Timely Recording of Grant Receipts

The Division of Research Office of Contracts and Grants maintains grant financial records by creating a unique account (i.e. cost center) for each grant funded/awarded. The yearly expenditure reports submitted to JAMP are intended to prompt a final review and certification of allowable expenditures (based upon expenditure guidelines and university policy). Upon completion of the JAMP yearly expenditure report, the University is required to return any unused funds should they be unspent.

Application of JAMP grant payments to the appropriate cost center were not completed timely during both years of our audit. The earliest application of payments took seven months, and the latest took nearly twenty months.

- The delayed creation of cost centers in turn caused the need for numerous revenue and expenditure reallocations.
- The chart below represents the date funds were received from JAMP and the date the funds were deposited by UH into the grant cost center.

Fiscal Year	Grant	Date UH Received Funds	Date Deposited in Grant	Days to Post to Grant
2024	Administration	12/13/23	6/25/25	560
	Summer Program	5/1/24	6/25/25	420
2025	Administration	11/20/24	6/25/25	217
	Summer Program	4/1/25	11/12/25	225

Grant cost centers were not set up timely, which caused revenues and expenditures to be posted to incorrect cost centers and liability accounts. This delay caused multiple reallocations and transfers of revenues and expenditures to the proper cost center.

Cost transfers and reallocations are often considered high risk transactions. Ultimately, inefficient cost center management results in operational inefficiencies, transaction errors, and an increased risk of reporting inaccuracy.

Issue 3 – Non-Compliance with Effort Reporting Requirements

The effort portion of audit testing could not be completed because the FY 2025 effort reports were not yet certified. Additionally, FY2024 reports were certified on 11/11/2025, after the 10/31/25 JAMP audit deadline.

University of Houston’s policies for timeliness of effort reporting require quarterly certification within 180 days of the close of each quarter. Applying this policy to individuals working on the JAMP grant means that this grant has been late in their effort reporting for the last three financial quarters.

Issue 4 – Expenditure Reports Submission

Expenditure Reports were not completed nor filed prior to the JAMP deadline of October 31 of each fiscal year. These delays make it difficult, if not impossible, for audits to be completed. The chart below represents the timeliness of the expenditure reports for fiscal years 2024 and 2025.

An extension was requested and granted permitting late filing of these reports. However, this significantly affected the deadline for the audit.

Fiscal Year	Grant	Expenditure Report Date Due 10/31
2024	Administration	2/13/25
	Summer Program	2/13/25
2025	Administration	11/17/25
	Summer Program	11/17/25

Recommendations and Management's Response

1 The University's Division of Research (DOR) should consider the following enhancements to internal control for improving financial oversight and grant management. Specifically:

- Financial information recorded to each grant cost center should be reconciled promptly with the amounts reported on the JAMP Expenditure report.
- The grant award set up process should occur timely to reduce the number of required transfers and reallocations. We recommend set up be completed within 30 days or less.
- If discrepancies are identified, then they should be investigated in a timely manner and resolved prior to the annual submission of the JAMP Expenditure Report.
- Identify inefficiencies in the financial oversight and grant management processes and devise a plan of action that specifies tasks, resources, timelines, and responsibilities for implementing more timely recording of grant revenue and expenditures.

Management's Response:

Management concurs with the recommendation. The Division of Research (DOR) will strengthen internal controls governing financial oversight and grant management for JAMP awards. DOR will improve communication with the UH JAMP Director to ensure the approved Budget is received timely for grant account setup and will continue to streamline our process to decrease the time it takes to establish cost centers. DOR will also work with the UH JAMP Director and departments to enhance reconciliation procedures so that all expenditures are recorded in the accounting system and align with the amounts reported on the JAMP Expenditure Report. Additionally, DOR is in the process of reimplementing PeopleSoft Grants Billing, which will eliminate the manual process of recording AR and applying revenue to cost centers.

To ensure timely issue resolution, DOR will create a documented workflow outlining responsibilities, internal deadlines, and escalation points for addressing discrepancies before year-end reporting. This plan will include clear communication channels between DOR, the JAMP Director, and departmental administrators.

Responsible Party: David Schultz, Assistant Vice President, Sponsored Programs

Estimated Completion Date: January 31, 2026

2 The DOR should work with the UH COM Research Office to ensure the appropriate documentation is maintained to support actual personnel expenditures charged on each grant.

Management's Response:

Management agrees with the recommendation. DOR will coordinate with the UH JAMP Director and COM Research Office for timely review and approval of Effort Certifications as they are released to the campus. DOR will also provide guidance and training to responsible personnel as needed.

Responsible Party: David Schultz, Assistant Vice President, Sponsored Programs

Estimated Completion Date: January 31, 2026

- 3** The DOR should develop procedures to ensure the timely preparation and submission of JAMP Expenditure Reports by the required deadline. Implementing a tracking system or setting other internal deadline reminders should help prevent future delays. Additionally, the DOR should coordinate with JAMP to obtain the necessary close-out invoices to facilitate the prompt return of unused JAMP funds.

Management's Response:

Management concurs. DOR will implement a structured internal deadline calendar and build automated reminders utilizing the PeopleSoft grants milestones to ensure timely preparation and submission of JAMP Expenditure Reports ahead of the annual agency deadline (November 7th for FY2025). Internal checkpoints will be established to allow adequate time for review and correction before submission. Additionally, DOR will communicate with JAMP after Expenditure Report deadline to obtain the required close-out invoice to facilitate prompt processing and return of unused funds.

Responsible Party: David Schultz, Assistant Vice President, Sponsored Programs

Estimated Completion Date: January 31, 2026

Methodology/Scope

The methodology used to conduct this audit included, but was not limited to:

- Reviewing the JAMP Agreements, JAMP Expenditure Guidelines, Statutes, and applicable Policies and Procedures.
- Reviewing the JAMP approved budgets.
- Reviewing the JAMP Expenditure Reports.
- Reviewing expenditure transaction supporting documentation.
- Reconciling expenditures to the university accounting system.
- Interviewing applicable personnel.

The scope of the audit was for fiscal years 2024 and 2025 (i.e. 12 months ended 8/31/24 and 8/31/25, respectively).

Audit Standards

The Internal Auditing Department conducted this performance audit in accordance with the Institute of Internal Auditors Global Audit Standards and Generally Accepted Government Auditing Standards. These standards require that the audit be planned and performed to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives.

The Texas Internal Auditing Act, Texas Government Code, Section 2102, requires each state agency that receives appropriation to establish a program of internal auditing. The Board of Regents created the University of Houston System Internal Auditing Department as an independent office reporting directly to the Chair of the Audit and Compliance Committee of the Board of Regents with access to the Chancellor. The Internal Auditing Department conducts performance audits to review aspects of the University System operations and procedures to help establish accountability, improve System operations, and provide recommendations for improvement.

The UHS Internal Auditing Department would like to thank the UH Division of Research and Tilman J. Fertitta College of Medicine, for their cooperation and assistance during this audit process

Sincerely,



UHS Internal Auditing Department

Chief Audit Executive

Phillip Hurd, CIA, CCEP

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Brandee O'Neal, CIA, CFE, CCEP

Audit Team

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M'Shiela Hawthorne, CIA, CFE, Senior Business Analyst, Visual Risk IQ



Internal Auditing Department

University of Houston System Contracts Requiring UHS Board of Regents Approval

Report to the Audit and Compliance Committee of the UHS Board of Regents
AR2026-13

March 2026



Photo courtesy of University of Houston Information Technology Services Webcams

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Scope of Work	1
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Executive Summary

The Internal Auditing Department (IAD) reviewed the solicitation/purchasing process surrounding contracts that required UHS Board of Regents approval from October 1, 2025, through December 31, 2025. IAD noted no unusual items or other matters that we considered non-compliant with university policies and procedures or state statutes.

Background

UHS procures construction services under the provisions of the Texas Education Code, Sections 51.778 – 51.785. These statutes address the following construction methods: Design-Build, Construction Manager-Agent, Construction Manager-At-Risk, Construction Services through Competitive Sealed Proposals and Job Order Contracts. The UHS Facilities, Planning and Construction Department and the UH Purchasing Department have developed policies and procedures to help ensure compliance with these statutes. For major construction projects, UHS primarily uses the Design-Build and Construction Manager-At-Risk contract methods. The IAD reviews the RFQ / RFP publication, evaluation, and selection process for contracts requiring Board of Regents approval on an ongoing basis. The Internal Audit Department also reviews the procurement for other contracts requiring Board of Regents approval (RFQ/RFP, Invitation to Bid and Sole Source).

Objective

The objective of this review are to determine whether UHS is complying with its policies and procedures and the Texas Education Code in selecting its contractors for contracts requiring Board of Regents approval.

Scope of Work

The IAD review of procurement of contracts requiring Board of Regents approval covers the time period from October 1, 2025, through December 31, 2025.

Audit Procedures

The IAD performed a review of the solicitation/procurement process surrounding contracts requiring UHS Board of Regents approval (totaling \$1 million or more) for compliance with policies and procedures. For each solicitation we reviewed the following for compliance with:

1. Requirements for publication of solicitation documents on the State Comptroller's Electronic State Business Daily;
2. Document inclusion in the bid/evaluation packet (e.g. bid receipt/review, subcontracting probability form, HUB subcontracting form, etc.), as required on the solicitation checklist;
3. Use of published bid evaluation criteria by the selection team;
4. The Purchasing Department's compilation procedures of the selection team members' rankings for clerical accuracy and agreement of evaluation criteria to solicitation documents;
5. Procedures for submission of recommendation to VC/VP; and
6. Evaluation procedures for VC/VP approval.

Conclusion

IAD noted no unusual items or other matters that we considered non-compliant with university policies and procedures or state statutes.

Appendices

Analysis of internal audit activity related to the review of contracts requiring UHS Board of Regents approval award evaluations can be found in Appendix 1. The project and contract amounts listed in Appendix 1 reflect the figures provided by the UH Purchasing Department at the time of our review.

Contracts Requiring Board of Regents Approval
Internal Audit Activity
October 1, 2025, through December 31, 2025

<u>Project or Contract Description</u>	<u>Solicitation Type/Number</u>	(\$ Millions) <u>Amount</u>	BOR <u>Approval</u>
<u>Construction Projects \$10 Million and Over</u>			
No activity to report.			
<u>Construction Projects Over \$1 Million and Under \$10 Million</u>			
No activity to report.			
<u>Non-Construction Contracts Over \$1 Million</u>			
Travel Management System FY25 Shortlist	RFP-783-UofH-3050	\$1.5	PENDING
UHD Welcome Center & One Main Furniture FY26	ITB-730-UofH-3067	\$1.0	12/1/2022
Investment Consulting Services FY25 Shortlist & Award	RFP-783-UofH-3035	\$3.0	PENDING
DAS Distributed Antenna System for TDECU Stadium Step 2	RFP-730-UofH-3047	\$1.0	PENDING
Trademark Licensing Agency Representation Agreement Award	RFP-783-UofH-3055	\$5.0	8/21/2025

Legend

CMAR - Construction Manager at Risk

AE - Architectural and Engineering Services (RFQ only)

RFP - Request for Proposal

RFQ - Request for Qualifications

ITB - Invitation to Bid

**Contracts Requiring Board of Regents Approval
Internal Audit Activity
January 1, 2025, through December 31, 2025**

Project Category1	Project or Contract Description1	Solicitation Type/Number1				
Construction Projects \$10 Million and Over	A&E Services for Agrawal Building - Laboratory Shell Space Buil..	RFQ730-UH-3034		\$35,000,000		
	A&E Student Center North Expansion - Award	RFQ730-25006		\$39,300,000		
	Campus Lighting + Security Phase II	RFP730-UH-3051		\$18,000,000		
	CMAR New Medical Research Building - Step 2	RFP730-24086		\$77,500,000		
	CMAR University of Houston Student Center North Expansion S..	RFP730-UH-3036		\$30,000,000		
Construction Projects Over \$1 Million and Under \$10 Million	Houston Science Center - New Fire Alarm Panel, Pump Replacem..	RFP730-25000		\$3,000,000		
	Landscape Services at University of Houston Sugar Land_Katy	RFP730-UH-3025		\$2,000,000		
	Mailbox Area Renovation and Lounge Refresh	RFP730-25004		\$4,500,000		
	Medium Voltage Electrical Services	RFP730-25007		\$3,400,000		
	Plant Chiller #3 Replacement	RFP730-UH-3019		\$6,500,000		
	UH Interior Renovation for the College of Liberal Arts and Social..	RFP730-25009		\$5,750,000		
Non-Construction Contracts Over \$1 Million	(DAS)Distributed Antenna System for TDECU Stadium Award	RFP-730-UofH-3047		\$1,000,000		
	Continuing Services for Landscape Architecture	RFQ730-25005		\$1,800,000		
	Custody Services	RFP-783-UH-3015		\$1,400,000		
	Energy Savings Performance Contract	RFQ730-24090		\$5,000,000		
	Investment Consulting Services FY25 Shortlist & Award	RFP-783-UofH-3035		\$3,000,000		
	Municipal and Financial Advisory Services	RFP730-UH-3012		\$1,800,000		
	Parking Enterprise Systems - Award	RFP730-UH-3007		\$7,500,000		
	Trademark Licensing Agency Representation Agreement Award	RFP-783-UofH-3055		\$5,000,000		
	Travel Management System FY25 Shortlist	RFP-783-UofH-3050		\$1,500,000		
	UHD Welcome Center & One Main Furniture FY26	ITB-730-UofH-3067		\$1,000,000		
UHV Custodial Services - Award	RFP730-25001		\$4,000,000			

Grand Total



The Texas Internal Auditing Act, Texas Government Code, Section 2102, requires each state agency that receives appropriation to establish a program of internal auditing. The University of Houston System Internal Auditing Department was created by the Board of Regents as an independent office reporting directly to the Chair of the Audit and Compliance Committee of the Board of Regents with access to the Chancellor. We conduct performance audits to review aspects of the University System operations and procedures to help establish accountability, improve System operations, and provide recommendations for improvement.

The UHS Internal Auditing Department would like to thank the UH Purchasing Department for their cooperation and assistance during this audit process.

Sincerely,



UHS Internal Auditing Department
Chief Audit Executive

Phillip Hurd, CIA, CCEP

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Associate Chief Audit Executive

Brandee O'Neal, CIA, CFE, CCEP

Audit Team

Eric Porter, Audit Supervisor

Stephanie Duron, Auditor I



Internal Auditing Department

University of Houston System Annual Procurement Report, FY 2025

Report to the Audit and Compliance Committee of the UHS Board of Regents
AR2026-15

March 2026



Photo courtesy of University of Houston: [Centennial Project From E-Cullen Performance Hall Time-lapse](#)

The UHS Annual Procurement Report provides information regarding the System's payments made to a single entity during the previous fiscal year from System-wide sources when payments exceed specific thresholds. In addition, the report provides information on active contracts, over \$1 million, which require enhanced monitoring. The report is compiled by the Financial Compliance and Contract Reporting Department and reviewed by Internal Audit for completeness, accuracy, and appropriate methodology in preparing the report.

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Objective

Objective 1

Determine whether UHS has adequate procedures in place to ensure compliance with the following:

- UHS Board of Regents Policy 55.01.04, Reporting to the Board.
- Texas Government Code, Chapter 2261, State Contracting Standards and Oversight.

Objective 2

Verify the accuracy of the Annual Procurement Report completed by the Financial Compliance and Contract Reporting Department.

Background

The Board of Regents' contracting policy 55.01.4, Reporting to the Board, requires that a report be submitted to the Board each fiscal year. The report must include the following:

- A. All payments for professional and consulting services made during the previous fiscal year from System-wide sources to a single entity that exceeded \$250,000.
- B. All payments for construction, equipment, goods and service contracts during the previous fiscal year from System-wide sources to a single entity that exceeded \$1,000,000.
- C. All contracts that require enhanced contract or performance monitoring under Sections 2261.253, 2261.254 and 2261.255 of the Texas Government Code.

The report shall be subject to the Department of Internal Auditing's review for compliance with the applicable laws and UHS policies. Investment agreements are not included in this reporting requirement.

What We Found Summary

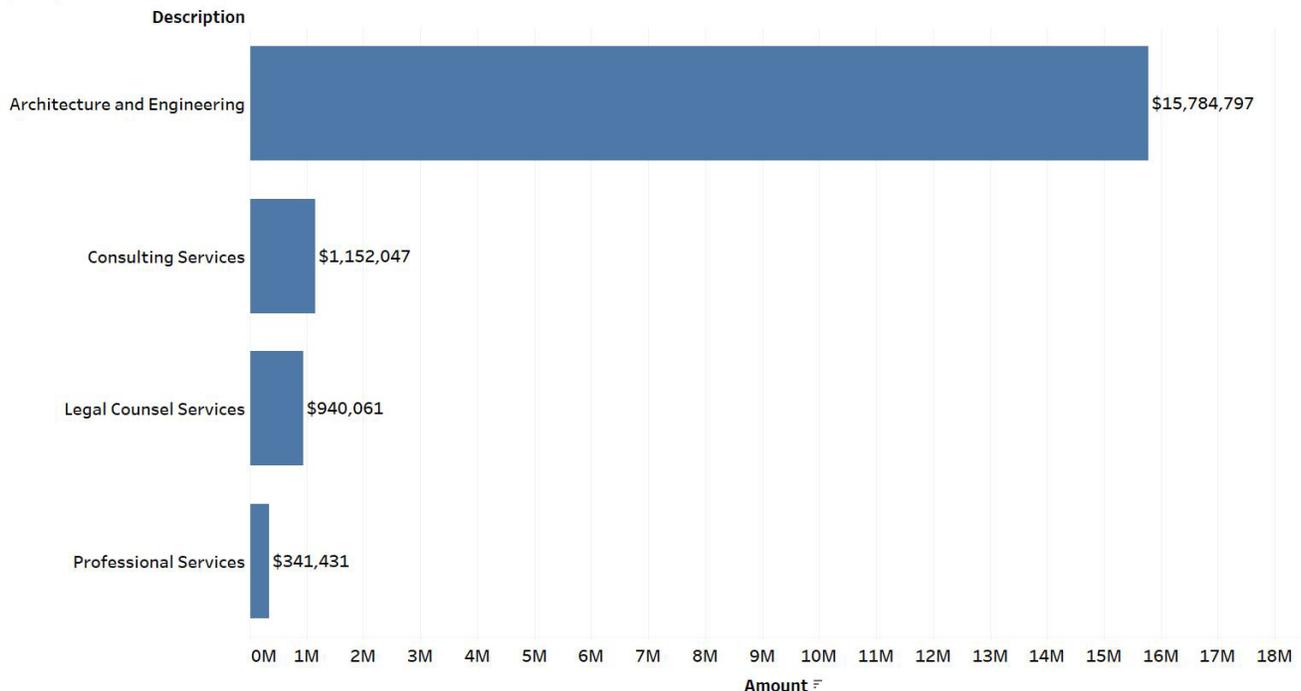
The report preparation methodology appears to be reasonable, the report appears to be accurate, and the report satisfies the annual reporting requirement for procurement activity, as outlined in BOR policy 55.01.04.

For the fiscal year 2025, the amount for consulting and professional services expended to a single entity exceeding \$250,000 (per entity) from a systemwide source totaled approximately \$18.2 million, down from \$20.5 million in FY 2024. This represents an 11% decrease from the prior year.

For fiscal year 2025, the total amount for construction, equipment, goods and service contracts to a single entity exceeding \$1,000,000 (per entity) was approximately \$399.3 million, up from \$308.2 million in FY 2024. This represents a 29% increase over the prior year.

The chart below represents payments by category for professional/consulting services for fiscal year 2025. Attachment A lists the payments by vendor.

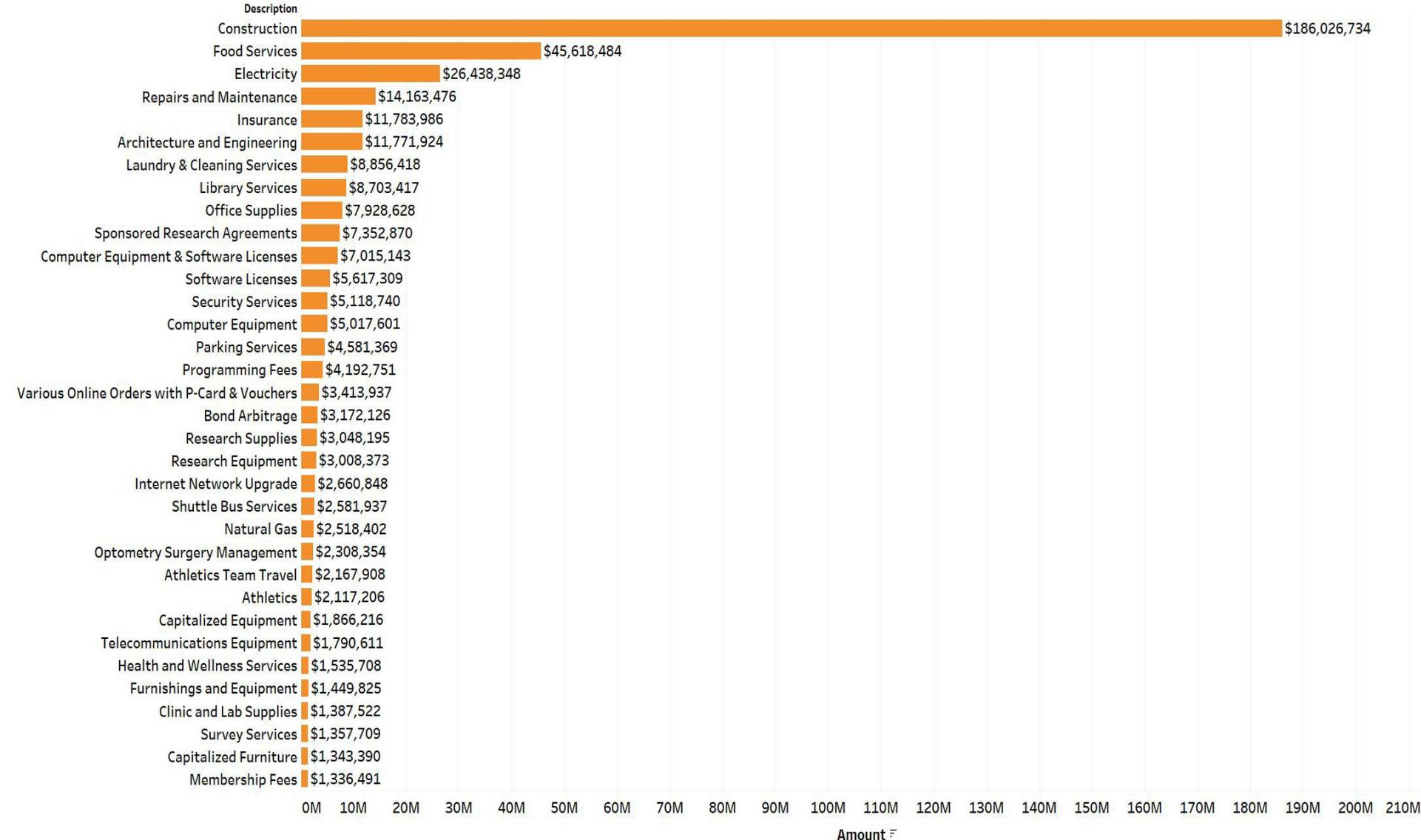
Attachment A
All Payments for Professional or Consulting Services from System-Wide Sources to a Single Entity that Exceeded \$250,000



The chart below shows vendor payments for construction, equipment, goods and service contracts by category for fiscal year 2025. Attachment B in the appendix lists payments by vendor.

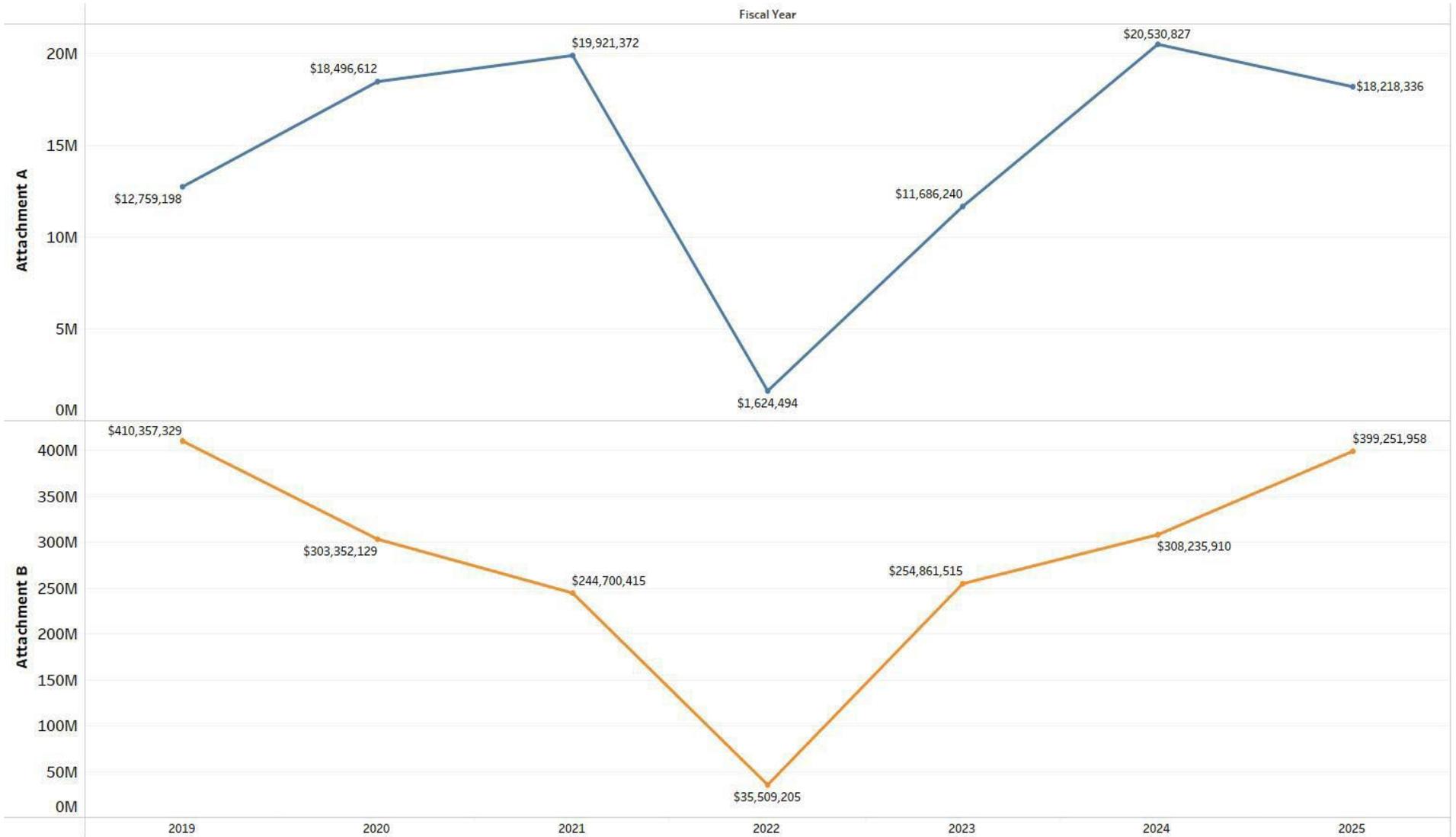
Attachment B

All Payments for Construction, Equipment, Goods and Service Contracts from System-Wide Sources to a Single Entity that Exceeded \$1,000,000



The graph below shows payments to vendors by year for the last six fiscal years, FY2019-FY2025

Payments to a Single Entity FY2019 - FY2025



Methodology and Scope

The methodology used to conduct this audit included:

- Reviewing UHS policies and procedures related to completing the Annual Procurement Report.
- Reviewing statutes related to completing the Annual Procurement Report.
- Interviewing employees of the UH Financial Reporting Department to identify processes related to completing the Annual Procurement Report.
- Interviewing project managers for contracts included in the report.
- Running queries independently to verify the amounts listed in the Annual Procurement Report.
- Reviewing past reports to identify spending trends.

The Internal Auditing Department reviewed the report procedures methodology and the report to determine the methodology used would ensure the report was accurate for the fiscal year 2025. Internal Auditing did not review contracts to ensure individual contracts were monitored.

Audit Standards

The Internal Auditing Department conducted this audit in accordance with the Institute of Internal Auditor's Global Audit Standards and Generally Accepted Government Auditing Standards. These standards require that the audit be planned and performed to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives.

The Texas Internal Auditing Act, Texas Government Code, Section 2102, requires each state agency that receives appropriation to establish a program of internal auditing. The Board of Regents created the University of Houston System Internal Auditing Department as an independent office reporting directly to the Chair of the Audit and Compliance Committee of the Board of Regents with access to the Chancellor. The Internal Auditing Department conducts compliance and performance audits to review aspects of the University System operations and procedures to help ensure compliance and establish accountability, improve System operations, and provide recommendations for improvement.

The UHS Internal Auditing Department would like to thank the Financial Compliance and Contract Reporting Department for their cooperation and assistance during this audit process.

Sincerely,



**UHS Internal Auditing Department
Chief Audit Executive**

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Director, Assoc. Chief Audit Exec.

Brandee O'Neal, CIA, CFE, CCEP

Audit Team

Eric Porter, CFE, Audit Supervisor

Aiden Ho, CIA, Auditor II

Stephanie Duron, Auditor I

Attachment A

All Payments for Professional or Consulting Services during FY2025 from System-Wide Sources to a Single Entity that Exceeded \$250,000

Vendor	Description	FY25 Pmt Total
PAGE SOUTHERLAND PAGE INC	Architecture and Engineering	5,832,791
CANNON DESIGN INC	Architecture and Engineering	2,312,600
OFFICE OF JAMES BURNETT INC	Architecture and Engineering	2,089,372
LAKE FLATO ARCHITECTS INC	Architecture and Engineering	1,493,148
SMITHGROUP INC	Architecture and Engineering	911,262
AECOM TECHNICAL SERVICES INC	Architecture and Engineering	819,048
DLR GROUP INC OF TEXAS	Architecture and Engineering	789,595
SHEPLEY BULFINCH RICHARDSON & ABBOTT INC	Architecture and Engineering	691,202
BRAILSFORD & DUNLAVEY INC	Consulting Services	627,047
A-G SPECIALTY INSURANCE LLC	Consulting Services	525,000
KIRKSEY ARCHITECTS INC	Architecture and Engineering	475,564
ZIEGLER COOPER INC	Architecture and Engineering	370,214
FORVIS MAZARS LLP	Professional Services	341,431
COMPUTER PACKAGES INC	Legal Counsel Services	317,013
CONLEY ROSE PC	Legal Counsel Services	314,148
SHACKELFORD, BOWEN, MCKINLEY AND NORTON,	Legal Counsel Services	308,900
Grand Total		18,218,336

Attachment B

All Payments for Construction, Equipment, Goods and Service Contracts during FY2025 from System-Wide Sources to a Single Entity that Exceeded \$1,000,000

Vendor	Description	FY2025 Pmt Total
AUSTIN COMMERCIAL, LP	Construction	71,530,640
J T VAUGHN CONSTRUCTION LLC	Construction	48,529,873
COMPASS GROUP USA INC	Food Services	37,708,051
TELLEPSEN BUILDERS LP	Construction	28,965,416
VISTRA PREFERRED LLC	Electricity	26,438,348
ARTHUR J GALLAGHER & CO.	Insurance	11,783,986
WHITING-TURNER CONTRACTING COMPANY	Construction	10,441,276
BARTLETT COCKE GENERAL CONTRACTORS LLC	Construction	10,317,961
SOJI SERVICES INC	Laundry & Cleaning Services	8,856,418
TEJAS OFFICE PRODUCTS INC	Office Supplies	7,928,628
PAGE SOUTHERLAND PAGE INC	Architecture and Engineering	5,841,135
HIED INC	Computer Equipment & Software Licenses	5,516,934
ANDY FRAIN SERVICES INC	Security Services	5,118,740
OVG FACILITIES LLC	Food Services	5,069,338
EBSCO INDUSTRIES INC	Library Services	4,673,146
COTTON COMMERCIAL USA INC	Repairs and Maintenance	4,110,785
E CONTRACTORS USA LLC	Construction	3,932,323
AMAZON	Various Online Orders with P-Card & Vouchers	3,413,937
DAVID E HARVEY BUILDERS INC	Construction	3,351,051
TURNER CONSTRUCTION COMPANY	Construction	3,240,221
INTERNAL REVENUE SERVICE	Bond Arbitrage	3,172,126
PGAL INC	Construction	3,163,065
ELSEVIER INC	Library Services	2,874,632
ARAMARK SERVICES INC	Food Services	2,841,094
ORACLE AMERICA, INC	Software Licenses	2,724,872
MGT IMPACT SOLUTIONS LLC	Internet Network Upgrade	2,660,848
GROOME TRANSPORTATION OF TEXAS LLC	Shuttle Bus Services	2,581,937
PUBLIC BROADCASTING SERVICE	Programming Fees	2,543,158
COKINOS ENERGY LLC	Natural Gas	2,518,402
SUMMUS INDUSTRIES INC	Computer Equipment	2,478,838
LANIER PARKING METER SERVICES LLC	Parking Services	2,452,580
CANNON DESIGN INC	Architecture and Engineering	2,312,600
ADVANTAGE SURGICAL PARTNERS LLC	Optometry Surgery Management	2,308,354
ENDEAVOR OLE PARENT LLC	Athletics Team Travel	2,167,908
PSX INC	Parking Services	2,128,789
OFFICE OF JAMES BURNETT INC	Architecture and Engineering	2,125,041
NATIONAL COLLEGIATE ATHLETIC ASSN	Athletics	2,117,206

NOBLE TEXAS BUILDERS LLC	Repairs and Maintenance	2,017,096
JEOL USA INC	Capitalized Equipment	1,866,216
BRIGHTVIEW HOLDINGS INC	Repairs and Maintenance	1,847,675
NETSYNC NETWORK SOLUTIONS INC	Telecommunications Equipment	1,790,611
NATIONAL PUBLIC RADIO	Programming Fees	1,649,593
SUNAM CO LTD	Research Equipment	1,614,000
VWR FUNDING INC	Research Supplies	1,563,167
UNIV OF TEXAS HEALTH SCIENCE CTR-HOUSTON	Health and Wellness Services	1,535,708
JONMAR ELECTRIC INC	Repairs and Maintenance	1,498,884
SHI GOVERNMENT SOLUTIONS INC	Computer Equipment & Software Licenses	1,498,210
LAKE FLATO ARCHITECTS INC	Architecture and Engineering	1,493,148
FISHER SCIENTIFIC CO LLC	Research Supplies	1,485,029
MARK III SYSTEMS GOVERNMENT SOLUTION LLC	Software Licenses	1,459,081
J TYLER SERVICES INC	Furnishings and Equipment	1,449,825
INSTRUCTURE INC	Software Licenses	1,433,357
BASELINE PAVING & CONSTR INC	Construction	1,415,361
QUANTUM DESIGN INC	Research Equipment	1,394,373
ALCON VISION LLC	Clinic and Lab Supplies	1,387,522
CTI FIELD SERVICES INC	Repairs and Maintenance	1,358,073
NATIONAL OPINION RESEARCH CENTER	Survey Services	1,357,709
WRG LLC	Capitalized Furniture	1,343,390
EAB GLOBAL INC	Membership Fees	1,336,491
MOBIUS PARTNERS INC	Computer Equipment	1,334,699
BOARD OF REGENTS OF THE UNIV OF OKLAHOMA	Sponsored Research Agreements	1,324,956
JOHNSON CONTROLS INC	Sponsored Research Agreements	1,301,638
NIKE RETAIL SERVICES INC	Sponsored Research Agreements	1,276,054
BAYLOR COLLEGE OF MEDICINE	Sponsored Research Agreements	1,249,251
APPLE INC	Computer Equipment	1,204,063
UNIVERSITY OF MASSACHUSETTS	Sponsored Research Agreements	1,179,163
GREATER WESTERN LIBRARY ALLIANCE	Library Services	1,155,639
KITCHELL CONTRACTORS INC OF ARIZONA	Construction	1,139,545
RNDI COMPANIES INC	Repairs and Maintenance	1,131,113
SIEMENS INDUSTRY, INC	Repairs and Maintenance	1,125,361
HEWLETT PACKARD ENTERPRISE COMPANY	Repairs and Maintenance	1,074,489
WILLIAM MARSH RICE UNIVERSITY	Sponsored Research Agreements	1,021,809

Grand Total

399,251,958

Attachment C-1

Existing UH System Contracts that Require Enhanced Contract or Performance Monitoring under Sections 2261.253, 2261.254, and 2261.255 of the Texas Government Code As of August 31, 2025

Vendor Name	Contract Description	Contract Start Date	Contract End Date	Contract Amount/Max	Procurement Method	Board Approved	Performance
3L USA LLC	University Vehicle Fueling	2/1/2023	2/28/2026	\$ 2,585,000.00	Request for Proposal	8/1/2022	Satisfactory
Advantage Surgical Partners LLC	Ambulatory Surgery Center Services	11/1/2022	10/31/2025	\$ 17,000,000.00	Request for Proposal	5/19/2022	Satisfactory
AECOM Technical Services Inc	Architectural Services CSA	6/1/2022	6/1/2025	\$ 1,500,000.00	Request for Qualifications	12/3/2020	Satisfactory
AECOM Thechnical Services Inc	Tech Bridge 14 Roofing	5/15/2023	12/31/2026	\$ 1,515,022.00	Request for Qualifications	5/23/2022	Satisfactory
All things Commercial LLC	Facilities Make Ready Services	12/1/2023	11/30/2026	\$ 5,000,000.00	Request for Proposal	5/18/2023	Satisfactory
AMB Architects PLLC	Architectural Services CSA	6/1/2022	6/1/2025	\$ 1,500,000.00	Request for Qualifications	12/3/2020	Satisfactory
Arthur J Gallagher & Co	Risk management services	8/16/2023	8/31/2025	\$ 1,986,366.00	Sole Source	2/23/2023	Satisfactory
Bartlett Cocke General Contractors LLC	UHV Health and Wellness Phase 1	3/21/2024	1/31/2025	\$ 22,982,063.00	Request for Proposal	2/24/2022	Satisfactory
Bell Tex Construction	Exterior building cleaning	12/1/2023	11/30/2026	\$ 1,500,000.00	Request for Proposal	8/26/2021	Satisfactory
Brightview Holdings Inc	Campus wide irrigation, landscaping and mowing services	8/1/2023	7/31/2026	\$ 9,800,000.00	Request for Proposal	12/1/2022	Satisfactory
Campos Engineering Inc	CSA Testing, adjusting and balancing services as needed	12/1/2023	12/1/2026	\$ 3,000,000.00	Request for Qualifications	5/18/2023	Satisfactory
Cannon Design Inc	Bayou Building renovation	6/4/2024	8/31/2025	\$ 3,100,000.00	Request for Qualifications	12/1/2022	Satisfactory
Canteen Compass USA	UHS Snack Vending Revenue Contract	2/1/2023	1/31/2028	\$ 1,146,500.00	Request for Proposal	12/1/2022	Satisfactory
Chartwells Education Services (Compass Group)	UHS Dining Services Operations	7/1/2017	6/30/2037	\$ 214,956,796.00	Request for Proposal	5/18/2017	Satisfactory
Con-Real Support Group LP	Project Management Augmentation	12/1/2021	11/30/2026	\$ 5,000,000.00	Request for Proposals	2/28/2019	Satisfactory
Courtney Harper + Partners LC.	Architectural Services CSA	6/1/2022	6/1/2025	\$ 1,500,000.00	Request for Qualifications	12/3/2020	Satisfactory
David E Harvey Builders Inc	Agnes Arnold Core Renovation infrastructure upgrades	7/1/2024	12/30/2027	\$ 9,193,383.00	CMAR	8/23/2023	Satisfactory
Dowley Security Systems Inc	Campus Cabling, AV, and Security Systems Infrastructure	11/7/2022	11/6/2027	\$ 2,000,000.00	Request for Proposal	8/1/2022	Satisfactory
E Contractors USA LLC	Cullen Blvd landscape improvements segment B	10/1/2023	11/1/2025	\$ 3,085,271.00	Request for Proposal	5/23/2022	Satisfactory
EBSCO Information Services	Library Subscription Services	9/1/2020	8/31/2025	\$ 4,940,325.00	Request for Proposal	2/28/2019	Satisfactory
EMR Elevator, Inc	Campus Elevator Maintenance and Modernization	12/1/2021	11/30/2026	\$ 2,026,704.35	Request for Proposals	2/27/2020	Satisfactory
Engineered Air Balance Co Inc	CSA Testing, adjusting and balancing services as needed	12/1/2023	12/1/2026	\$ 3,000,000.00	Request for Qualifications	5/18/2023	Satisfactory
Groome Transportation of Texas	Shuttle Bus Services	1/1/2019	12/31/2026	\$ 26,232,400.00	Request for Proposal	11/16/2017	Satisfactory
Hellmuth, Obata and Kassabaum LP.	Architectural Services CSA	6/1/2022	6/1/2025	\$ 1,500,000.00	Request for Qualifications	12/3/2020	Satisfactory
Hewlett Packard Enterprise	High Performance Computing System	3/12/2020	6/30/2025	\$ 2,491,351.12	Sole Source	2/27/2020	Satisfactory
Huitt-Zollars, Inc.	Architectural Services CSA	6/1/2022	6/1/2025	\$ 1,500,000.00	Request for Qualifications	12/3/2020	Satisfactory

Infrastructure Canvas LMS	Learning Management System	1/1/2023	12/31/2025	\$ 2,863,523.00	Sole Source	5/19/2022	Satisfactory
Jaggaer LLC	E-procurement and e-Sourcing software modules	10/30/2023	9/31/2028	\$ 1,442,750.00	Request for Proposal	8/25/2022	Satisfactory
JEOL USA Inc	University level core facility acquisition	3/25/2024	3/31/2029	\$ 5,000,000.00	Sole Source	5/18/2002	Satisfactory
Johnson Controls Inc	Central Plant Upgrades	11/7/2023	2/1/2025	\$ 2,408,979.00	Sole Source	8/23/2023	Satisfactory
Jonmar Electric Inc	Electrical Systems Maintenance and repair services	11/1/2023	10/30/2026	\$ 2,500,000.00	Request for Proposal	8/23/2023	Satisfactory
Kirksey Architects Inc	Architectural Services CSA	6/1/2022	6/1/2025	\$ 1,500,000.00	Request for Qualifications	12/3/2020	Satisfactory
Lake Flato Architects	Hobby School of Public Affairs	9/1/2023	1/31/2026	\$ 2,833,315.00	Request for Qualifications	2/24/2022	Satisfactory
Market Engenuity, Inc	Public Radio and TV Underwriting Services for Houston Public Media	9/1/2015	8/31/2025	\$ 13,200,000.00	Request for Proposal	2/27/2020	Satisfactory
MGT Impact Solutions LLC	Campus Network Infrastructure	8/12/2021	8/11/2026	\$ 12,000,000.00	Request for Proposal	5/20/2021	Satisfactory
Mobile Communications America	Upgrade campus camera infrastructure	3/1/2024	2/28/1930	\$ 1,440,000.00	Cooperative Contract	2/23/2024	Satisfactory
Mueller Water Conditioning Inc	Campus reverse osmosis de-ionized water service program	10/1/2023	9/30/2026	\$ 1,500,000.00	Request for Proposal	8/23/2023	Satisfactory
Nalco Company LLC	Campus water chemistry, distribution and maintenance	9/15/2023	9/14/2026	\$ 3,500,000.00	Request for Proposal	5/18/2023	Satisfactory
O'Connell Roberston & Associates Inc	Architectural Services CSA	6/1/2022	6/1/2025	\$ 1,500,000.00	Request for Qualifications	12/3/2020	Satisfactory
OJB Landscape Architecture	Centennial Project	11/15/2023	9/1/2026	\$ 2,974,961.00	Request for Qualifications	5/23/2022	Satisfactory
Oracle America, LLC	Oracle Enterprise System Software Maintenance and Support	9/1/2021	8/31/2031	\$ 28,675,000.00	Sole Source	8/26/2021	Satisfactory
Paciolan (Spectra)	UH Ticket Software	2/1/2017	6/30/2027	\$ 1,500,000.00	Request for Proposal	12/1/2016	Satisfactory
PageUp People Limited	Applicant tracking system	8/17/2023	3/28/2029	\$ 1,479,946.00	Sole Source	8/23/2023	Satisfactory
PBK Architects Inc.	Architectural Services CSA	6/1/2022	6/1/2025	\$ 1,500,000.00	Request for Qualifications	12/3/2020	Satisfactory
PBK Architects Inc.	Northwest Campus Phase 2 and University North Building	3/1/2023	10/17/2025	\$ 1,820,850.00	Request for Qualifications	5/19/2022	Satisfactory
PGAL Inc	UH Downtown Welcome Center addition/renovation & One Main renovations	10/10/2023	11/1/2026	\$ 3,646,160.00	Request for Qualifications	11/30/2022	Satisfactory
Quadient Inc. (formerly known Neopost USA)	Package Lockers	4/1/2020	8/31/2028	\$ 1,502,392.00	Texas Smart Buy	5/20/2021	Satisfactory
Siemens Industry Inc	UH Lab HVAC Maintenance and Repairs	12/1/2022	11/31/25	\$ 2,500,000.00	Request for Proposal	2/24/2022	Satisfactory
SOJI Services Inc. DBA Metroclean	Custodial Services	5/1/2022	4/30/2029	\$ 24,000,000.00	Request for Proposals	5/20/2021	Satisfactory
Stern and Bucek Architects PLLC	Architectural Services CSA	6/1/2022	6/1/2025	\$ 1,500,000.00	Request for Qualifications	12/3/2020	Satisfactory
Technical Aire Balance Texas LLC	CSA Testing, adjusting and balancing services as needed	12/1/2023	12/1/2026	\$ 3,000,000.00	Request for Qualifications	5/18/2023	Satisfactory
Technolutions	Customer Relationship Management (CRM) for Enrollment Services	3/1/2022	6/30/2027	\$ 2,000,342.00	Request for Proposals	8/26/2021	Satisfactory
Tejas Office Products Inc	MRO Supply Chain and services	3/1/2024	3/1/2027	\$ 38,000,000.00	Request for Proposal	5/22/2023	Satisfactory
Thermo Fisher Scientific	Scanning Electron Microscope	12/22/2021	12/31/2026	\$ 2,049,350.00	Sole Source	12/2/2021	Satisfactory
Vendor: Aramark Educational Services LLP	Conrad N. Hilton College of Global Hospitality Leadership Food and Beverage Management Services	6/28/2016	12/31/2025	\$ 7,250,000.00	Request for Proposal	12/1/2022	Satisfactory
Zayo Group Fiber Optics	Fiber Optic Cable Services	12/30/2004	10/12/2025	\$ 1,500,000.00	Request for Proposal	5/16/2019	Satisfactory
Ziegler Cooper Inc DBA Ziegler Cooper Architects	Architectural Services CSA	6/1/2022	6/1/2025	\$ 1,500,000.00	Request for Qualifications	12/3/2020	Satisfactory

Attachment C-2

New UH System Contracts that Require Enhanced Contract or Performance Monitoring under Sections 2261.253, 2261.254, and 2261.255 of the Texas Government Code As of August 31, 2025

Vendor Name	Contract Description	Contract Start Date	Contract End Date	Contract Amount/Max	Procurement Method	Board Approved
Accenture LLP	Digital Marketing	9/27/2023	8/31/2026	4,500,000.00	RFP	5/18/2023
Andy Frain Services Inc	Security guard services	2/1/2024	1/31/2027	6,375,000.00	RFP	11/16/2023
Anthony Travel LLC	Charter Air services to support athletics department	9/1/2025	8/31/2026	1,892,725.00	Coop	11/21/2024
Austin Commercial	Freshman Student Housing	3/21/2025	8/1/2027	143,980,687.00	RFP	8/22/2024
Bartlett Cocke General Contractors, LLC	UHD Gator Expansion	3/21/2024	3/19/2027	26,493,183.00	RFP	11/30/2022
Coca-Cola Southwest Beverages LLC	Pouring rights and cold beverage vending services	5/1/2020	4/30/2026	7,619,777.00	RFP	2/1/2020
Compass Groups USA Inc	Master food service agreement and extension	7/1/2017	6/30/2037	214,956,796.00	RFP	11/16/2023
Congress Holdings, Ltd	Office Space for UH System Office of Government Relations	4/1/2025	3/31/2032	1,156,592.23	Sole Source	2/19/2025
Cotton Commercial USA Inc	College of Architecture roof - Beryl	11/21/2024	12/31/2024	3,034,002.26	RFP	8/22/2024
CTI Field Services, Inc	Mechanical Services	2/28/2025	2/28/2028	3,100,000.00	RFP	2/21/2024
Daikin Applied Americas Inc	Mechanical Services	1/31/2025	1/31/2028	3,100,000.00	RFP	2/21/2024
David E. Harvey Builders, Inc	Agens Arnold Core Renovations	7/1/2024	2/1/2027	39,929,323.54	RFP	8/24/2023
E Contractors USA, LLC	College of Technology renovations	7/15/2025	12/12/2025	3,592,000.00	RFP	11/21/2024
Elsevier B.V.	Abstract & citation database for academic journals	9/1/2024	12/31/2024	1,323,827.44	Sole Source	8/22/2024
Elsevier B.V.	Science Direct full-text database of scholarly journals and ebooks	1/1/2026	12/31/2027	6,837,676.97	Sole Source	8/22/2024
Elsevier B.V.	Scopus abstract and citation index database for research and academics	1/17/2025	12/31/2029	1,087,901.00	Sole Source	8/22/2024
Executive Flight Services LLC	Air transportation for the Chancellor/President and other executives	6/1/2025	5/31/2030	2,216,550.00	RFP	5/14/2025
First Choice Temporary Service LLC	Hilton Hotel housekeeping services	2/1/2022	1/31/2027	1,925,486.00	RFP	8/22/2024
GCB Industries, LLC	UH Campus boiler services	2/28/2025	2/28/2028	3,100,000.00	RFP	2/21/2024
Gowan/Garrett, Inc	Central Plant Chiller 3 replacement	6/23/2025	11/29/2025	2,552,000.00	RFP	11/21/2024
Hunton Services	Mechanical Services	2/28/2025	2/28/2028	3,100,000.00	RFP	2/21/2024
IPEC, Inc	UH Campus boiler services	1/31/2025	1/31/2028	3,100,000.00	RFP	2/21/2024
J Tyler Services, Inc	Sugar Land Academic Building 2	6/12/2023	5/1/2025	1,446,593.35	ITB	12/2/2022
J. T. Vaughn Construction, LLC	Texas Medical Center Renovation	6/12/2023	2/1/2025	23,633,717.00	RFP	11/30/2022
J. T. Vaughn Construction, LLC	Ezekiel Cullen Door replacement, new canopies, replace locksets	9/18/2025	3/30/2026	1,126,300.00	RFP	11/16/2023
J. T. Vaughn Construction, LLC	Technology Bridge Structural investigation	3/3/2025	11/26/2025	2,232,191.00	Coop	11/16/2023
J. T. Vaughn Construction, LLC	Houston Science Center New Fire Alarm Panel, pump replacement	3/24/2024	2/24/2027	2,574,600.00	RFP	3/15/2024
J. T. Vaughn Construction, LLC	Renovation FY23 CRP Social Work 102	10/31/2024	7/18/2025	1,122,700.00	RFP	8/24/2023
Jonmar Electric, Inc	Medium Voltage Electrical Service	6/16/2025	6/16/2028	3,400,000.00	RFP	2/19/2024
Kirksey Architects, Inc	Student center north expansion	6/1/2025	11/1/2027	2,942,000.00	RFQ	11/21/2024
Kitchell Construction, Inc	UHCL Bayou Building Renovation	10/28/2024	6/1/2026	35,782,180.00	RFP	12/2/2022
Lanier Parking Meter Services LLC	Parking Management services	4/1/2023	3/31/2026	9,778,000.00	RFP	12/2/2022
Leona Marketing Group LLC	Multi-media rights consulting and negotiation engagement	3/23/2023	6/30/2027	1,270,223.00	Coop	4/25/2023
Medved Global Inc	Urania Project	3/6/2024	4/15/2025	1,314,432.00	ITB	2/19/2024
National Opinion Research Center	Building a household panel of the Houston metropolitan area	10/1/2024	9/30/2026	2,112,530.00	Sole Source	5/8/2024
National Public Radio	FY25 NPR Annual membership fee for programming	9/25/2024	9/30/2025	1,626,718.00	Sole Source	8/22/2024
National Public Radio	FY26 NPR annual membership fee for programming	9/1/2025	9/30/2026	1,725,000.00	Sole Source	5/14/2025

Oracle America	Enterprise Resource Planning (ERP) Software Annual Support	12/2/2024	8/31/2031	2,621,915.92	Sole Source	8/21/2021
PSX, Inc	Parking Enterprise System Agreement	3/26/2025	4/30/2030	5,572,546.00	RFP	2/19/2025
Public Broadcasting Service	FY25 PBS Annual programming membership fee	2/5/2025	9/30/2025	2,543,480.00	Sole Source	8/22/2024
Public Broadcasting Service	FY26 PBS Annual membership fee for programming	9/1/2025	9/30/2026	2,823,000.00	Sole Source	5/14/2025
RNDI Companies, Inc	Mailbox area renovation and lounge refresh	4/14/2025	1/20/2026	2,939,975.85	RFP	5/15/2024
Shepley Bulfinch Richardson & Abbott Inc	New Dining Commons	10/1/2024	4/1/2027	4,655,000.00	RFQ	11/16/2023
SmithGroup, Inc	New Medical research facility	3/26/2025	7/1/2027	5,281,500.00	RFQ	5/15/2024
Soji Services Inc	UH Downtown Custodial Services	1/1/2025	6/30/2029	12,435,147.00	RFP	2/21/2024
Soji Services Inc	Custodial Services for Auxiliary and E&G Facilities	5/1/2022	3/31/2029	28,263,270.51	RFP	5/20/2021
Sunam Co LTD	Reel to Reel Physical Vapor Deposition System	4/15/2024	4/15/2026	2,690,000.00	Sole Source	8/23/2023
Sunbelt Solomon Services, LLC	Medium Voltage Electrical Service	6/16/2025	6/16/2028	3,400,000.00	RFP	2/19/2024
UCAID	Software subscription for UH Clearlake	1/1/2023	12/31/2025	4,074,469.91	Sole Source	5/19/2022
University and Student Services, Inc	Assisting SHRL with Fall 2025/2026 move in for residential students	6/16/2025	8/31/2026	1,070,100.00	Sole Source	5/14/2025
Way Engineering	Mechanical Services	2/28/2025	2/28/2028	3,100,000.00	RFP	2/21/2024
Whiting-Turner Contracting Company	Wilhelminas Grove, Gateway Signage, Fire Lane	9/16/2024	10/2/2027	27,950,214.00	RFP	5/19/2022
Whiting-Turner Contracting Company	College of Engineering Fire Protection System Upgrades	11/25/2024	6/24/2025	3,836,870.00	RFP	5/15/2024
Whiting-Turner Contracting Company	Loop Road Improvements	9/24/2025	10/24/2025	4,800,000.00	RFP	8/22/2025
WRG, LLC	Football Operations center	1/10/2024	5/1/2025	1,409,033.19	ITB	2/24/2022
Yellowstone Landscape-Central, Inc	Landscape services at UH Sugar Land & Katy	8/1/2025	8/31/2028	2,000,000.00	RFP	2/19/2025