

**Department of Health and Human Services  
Administration for Children and Families  
Notice of Award (NOA)**

SAI NUMBER:

PMS DOCUMENT NUMBER:  
05CH839801

<b>1. AWARDING OFFICE:</b> OA/OGM/Region V	<b>2. ASSISTANCE TYPE:</b> Discretionary Grant	<b>3. AWARD NO.:</b> 05CH8398/01	<b>4. AMEND. NO.:</b>
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<b>5. TYPE OF AWARD:</b> SERVICE	<b>6. TYPE OF ACTION:</b> New	<b>7. AWARD AUTHORITY:</b> 42 USC 9801 ET SEQ.
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<b>8. BUDGET PERIOD:</b> 08/01/2014 THRU 07/31/2015	<b>9. PROJECT PERIOD:</b> 08/01/2014 THRU 07/31/2019	<b>10. CAT NO./CFDA:</b> 93.600
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<b>11. RECIPIENT ORGANIZATION:</b> Duluth Public School District #709 2102 North Blackman Avenue Duluth MN 55811 Michael Miernicki, Board President	<b>12. PROJECT / PROGRAM TITLE:</b> HEAD START
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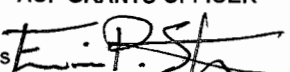
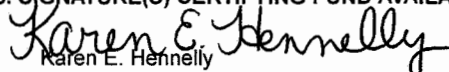
<b>13. COUNTY:</b> ST LOUIS	<b>14. CONGR. DIST.:</b> 08	<b>15. PRINCIPAL INVESTIGATOR OR PROGRAM DIRECTOR:</b> Bill Gronseth , Superintendent
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16. APPROVED BUDGET:		17. AWARD COMPUTATION:		
Personnel.....	\$ 1,150,687	A. NON-FEDERAL SHARE.....	\$ 483,518	20.00 %
Fringe Benefits.....	\$ 546,723	B. FEDERAL SHARE.....	\$ 1,934,071	80.00 %
Travel.....	\$ 7,553	<b>18. FEDERAL SHARE COMPUTATION:</b>		
Equipment.....	\$ 0	A. TOTAL FEDERAL SHARE.....	\$ 1,934,071	
Supplies.....	\$ 20,675	B. UNOBLIGATED BALANCE FEDERAL SHARE.....	\$	
Contractual.....	\$ 35,920	C. FED. SHARE AWARDED THIS BUDGET PERIOD..	\$ 1,934,071	
Facilities/Construction.....	\$ 0	<b>19. AMOUNT AWARDED THIS ACTION:</b>		
Other.....	\$ 29,248			\$ 1,934,071
Direct Costs.....	\$ 1,790,806	<b>20. FEDERAL \$ AWARDED THIS PROJECT PERIOD:</b>		
Indirect Costs.....	\$ 143,265			\$ 1,934,071
At % of \$		<b>21. AUTHORIZED TREATMENT OF PROGRAM INCOME:</b>		
In Kind Contributions.....	\$ 0	<b>22. APPLICANT EIN:</b>	<b>23. PAYEE EIN:</b>	<b>24. OBJECT CLASS:</b>
Total Approved Budget(**)...	\$ 1,934,071	1-416003776-A1	1-416003776-A1	41.51

25. FINANCIAL INFORMATION:						DUNS: 071501092
ORGN	DOCUMENT NO.	APPROPRIATION	CAN NO.	NEW AMT.	UNOBLIG.	NONFED %
OGM	05CH839801	75-4-1536	2014 G054120	\$28,958		
OGM	05CH839801	75-4-1536	2014 G054122	\$1,905,113		

**26. REMARKS:** (Continued on separate sheets)

Client Population: 273.  
Number of Delegates: 0.  
Paid by DHHS Payment Management System (PMS), see attached for payment information.  
This award is subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable to you based on your recipient type and the purpose of this award.  
This includes requirements in Parts I and II (available at <http://www.hhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf>) of the HHS GPS.  
Although consistent with the HHS GPS, any applicable statutory or regulatory requirements, including 45 CFR Part 74 or 92, directly apply to this award apart from any coverage in the HHS GPS.

<b>27. SIGNATURE - ACF GRANTS OFFICER</b> Eric P. Staples 	<b>DATE:</b> 7/23/2014	<b>28. SIGNATURE(S) CERTIFYING FUND AVAILABILITY</b>  Karen E. Hennelly
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<b>29. SIGNATURE AND TITLE - PROGRAM OFFICIAL(S)</b>  Kay Willmoth, Regional Program Manager	<b>DATE:</b> 7/21/14
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**Department of Health and Human Services  
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<b>11. RECIPIENT ORGANIZATION:</b> Duluth Public School District #709								

**26. REMARKS:** (Continued from previous page)

This award is subject to requirements or limitations in any applicable Appropriations Act.  
This award is subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104).  
For the full text of the award term, go to <https://www.acf.hhs.gov/grants/discretionary-competitive-grants>.  
This award is subject to the Federal Financial Accountability and Transparency ACT (FFATA or Transparency) of 2006 subaward and executive compensation reporting requirements.  
For the full text of the award term, go to: <https://www.acf.hhs.gov/grants/discretionary-competitive-grants>.  
This award is subject to requirements as set forth in 2 CFR 25.110 Central Contractor Registration (CCR) and DATA Universal Number System (DUNS).  
For full text go to <https://www.acf.hhs.gov/grants/discretionary-competitive-grants>.  
This award is subject to requirements as set forth in 2 CFR 25.110.  
For full text go to [http://www.acf.hhs.gov/grants/msg\\_sf425.html](http://www.acf.hhs.gov/grants/msg_sf425.html).  
This grant is subject to the requirements as set forth in 45 CFR Part 87.  
This grant is subject to the requirements set forth in 45 CFR part 74 (for non-profit organizations and educational institutions) or 45 CFR Part 92 (for state, local, and federally recognized tribal governments).  
Initial expenditure of funds by the grantee constitutes acceptance of this award.  
Future support is anticipated.  
This award is subject to HHS regulations codified at 45 CFR 1301, 1302, 1303, 1304, 1305, 1306, 1307, 1308, 1309 and 1310.(\*\*) Reflects only federal share of approved budget.

Under Section 638 of the Head Start Act, this grant action awards Duluth Public School District #709 a five year project period of 08/01/2014-07/31/2019 for the operation of the Head Start program in the designated service area. This grant action awards funds under Common Accounting Numbers (CAN) G054120 and G054122 to provide Head Start services to 255 children for the initial 08/01/2014-07/31/2015 budget period of the five year project period. Based on the final appropriation for the Head Start program in Fiscal Year 2014, the revised, annual base funding level for Head Start operations is \$1,905,113, and the training and technical assistance allocation is \$28,958.

Designated Head Start service area: City of Duluth within St. Louis County.  
Approved program options: Center-based.

This action authorizes the use of state Head Start funds of \$140,832 as non-federal share match and increases your federal Head Start enrollment by 18 children. The total client population is 273. The 18 additional children must be identified, tracked and reported in the same manner as federal Head Start children.

This grant is subject to the requirements for contribution of the non-federal share match and approval of key staff, the limitations on development and administrative costs and employee compensation, and prior approval for the purchase, construction and major renovation of facilities as specified in Attachment 1. This grant is also subject to the conditions specified in Attachment 2.

## Attachment 1

**Award Number: 05CH8398/01**

**Recipient Organization: Duluth Public School District #709**

*This grant is subject to Section 640(b) of the Head Start Act and 45 C.F.R. § 1301.20 requiring a non-federal match of 20 percent of the total cost of the program. This grant is also subject to the requirements in Section 644(b) of the Head Start Act and 45 C.F.R. § 1301.32 limiting development and administrative costs to a maximum of 15 percent of the total costs of the program, including the non-federal share contribution of such costs. The requirements for a non-federal match of 20 percent and the limitation of 15 percent for development and administrative costs apply to the 08/01/2014-07/31/2015 budget period unless a waiver is approved. Any request for a waiver of the non-federal share match, or a portion thereof, that meets the conditions under Section 640(b)(1)-(5) of the Head Start Act or 45 C.F.R. Section § 1301.21 or a waiver of the limitation on development and administrative costs that meets the conditions under 45 C.F.R. § 1301.32(g) must be submitted in advance of the end of the budget period. Any waiver request submitted after the expiration of the five year project period will not be considered.*

*The HHS GPS (II-56) (see above and 45 C.F.R. § 74.25(c)(2) and 45 C.F.R. § 92.30(d)(3) (as applicable) provide the authority to ACF to approve key staff of Head Start grantees. For the purposes of this grant, key staff is defined as the Head Start Director or person carrying out the duties of the Head Start Director if not under that title and the Chief Executive Officer, Executive Director and/or Chief Fiscal Officer if any of those positions is funded, either directly or through indirect cost recovery, more than 50 percent with Head Start funds.*

*Section 653 of the Head Start Act prohibits the use of any federal funds, including Head Start grant funds, to pay any portion of the compensation of an individual employed by a Head Start agency if that individual's compensation exceeds the rate payable for Level II of the Executive Schedule.*

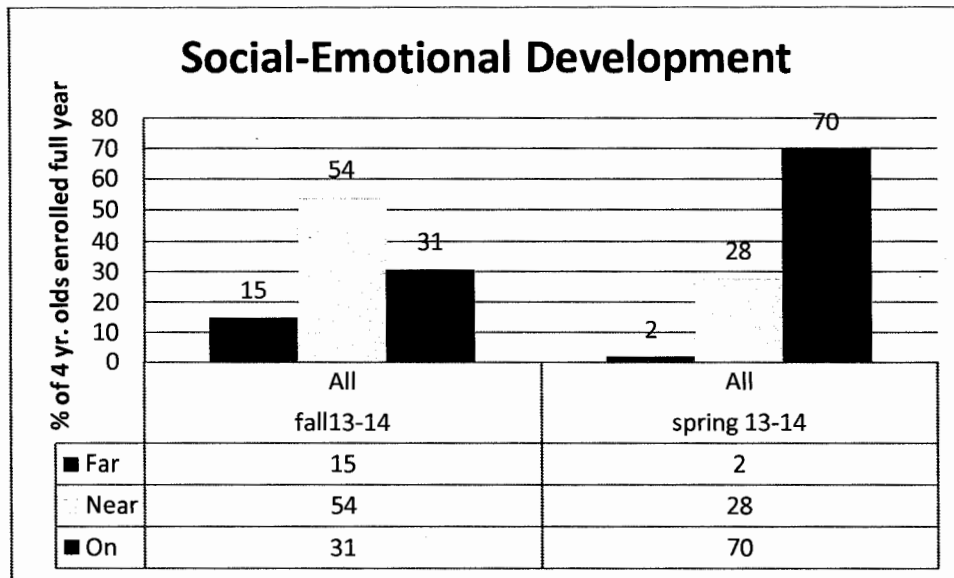
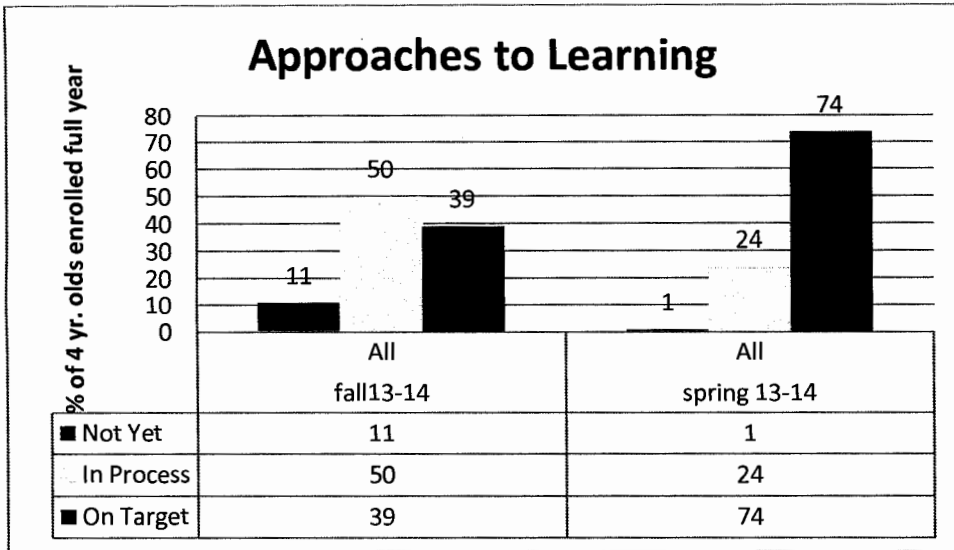
*Prior approval must be obtained under 45 C.F.R. Part 1309 to use Head Start grant funds for the initial or ongoing purchase, construction and major renovation of facilities. No Head Start grant funds may be used toward the payment of one-time expenses, principal and interest for the acquisition, construction or major renovation of a facility without the express written approval of the Administration for Children and Families.*

**Duluth Public Schools / Duluth Head Start  
Presentation to the Duluth Public Schools Education Committee  
August 12, 2014**

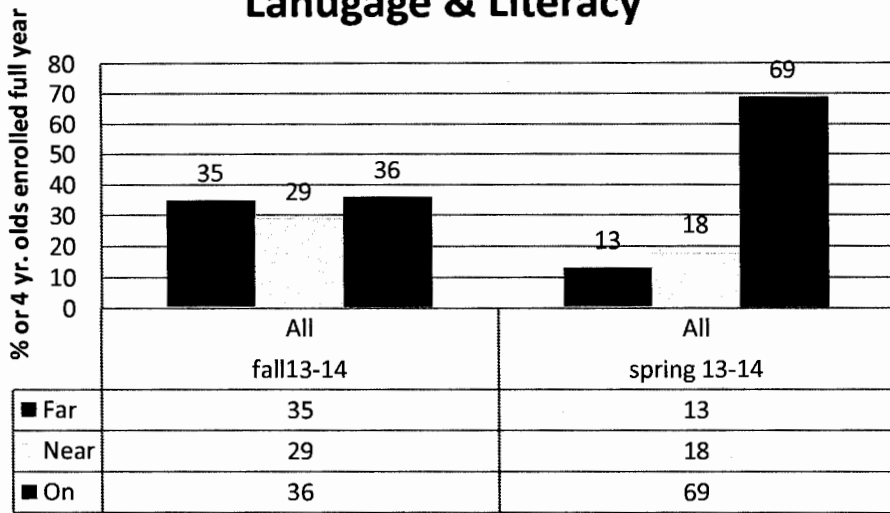
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- **Duluth Public Schools/Duluth Head Start  
Child Outcomes from 2013-2014 school year.**
  
- **Duluth Public Schools/Duluth Head Start Federal Grant approval and  
conditions including restoration of sequestered funds, 32 enrollment slots  
and cost of living increase.**

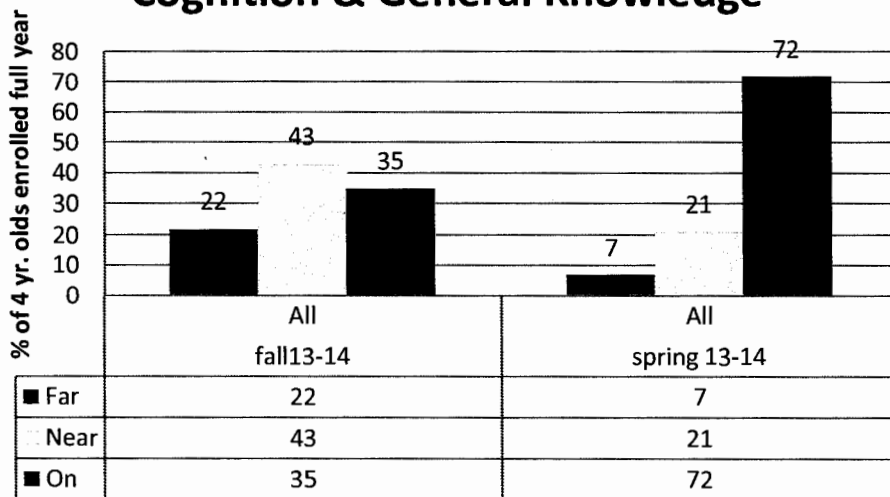
Duluth Head Start Child Outcomes 2013-2014



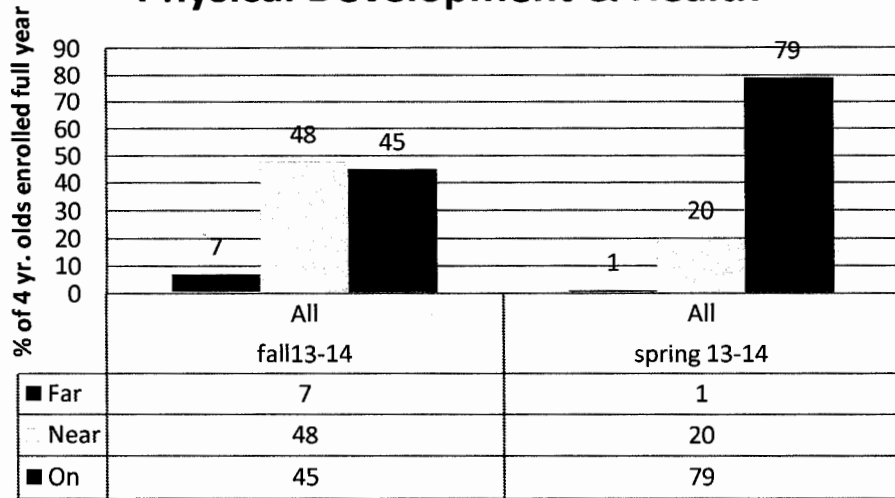
## Language & Literacy



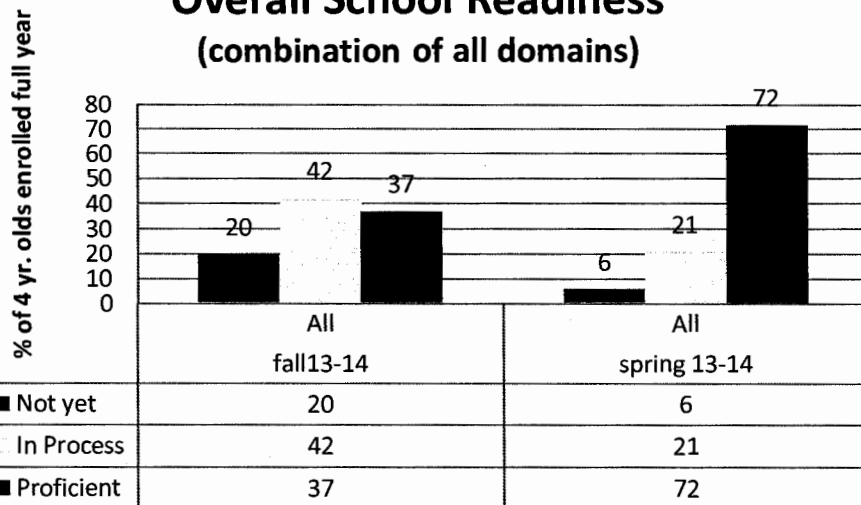
## Cognition & General Knowledge



## Physical Development & Health



## Overall School Readiness (combination of all domains)



## **Attachment 2**

### **Budget Period 1 Five Year Project Period**

**Award Number: 05CH8398/01**

**Recipient Organization: Duluth Public School District # 709**

***Head Start Grantees must comply with the terms and conditions for the five year project period award in the specified timeframes.***

#### **Health and Safety**

- *Conduct a screening of the health and safety environment of each center and/or family child care home where services are provided within 45 days of the start of the program or school year, or within 45 calendar days of the start of the five year project period when the five year project period begins during the program or school year.*
- *Complete a certification of compliance with all Office of Head Start (OHS) health and safety requirements within 75 calendar days of the start of the program or school year, or within 75 calendar days of the start of the five year project period when the five year project period begins during the program or school year. Submit to OHS in the Head Start Enterprise System (HSES) immediately thereafter.*

#### **Governance**

- *Conduct a screening of the organization's governance and leadership capacity within 60 calendar days of the start of the project period.*
- *Complete a certification that the governance and leadership capacity screening was conducted and a training plan was developed. Submit the certification to the OHS in HSES within 75 calendar days of the start of the project period.*

#### **School Readiness**

- *Participate in school readiness progress meetings with the OHS.*

#### **Single Audits**

- *Attend an OHS-sponsored single audit webinar within six months of the start of the project period.*