

**SOUTHWEST TEXAS JUNIOR COLLEGE
STATEMENT OF REVENUE AND EXPENDITURES
FOR THE ONE MONTH ENDED SEPTEMBER 30, 2012
UNAUDITED**

	<u>Budgeted</u>	<u>Current Month</u>	<u>YTD</u>	<u>Budget Balance</u>	<u>Page Reference</u>
Revenue					
Education & General					
State Funds	\$ 7,909,433.00	\$ 622,543.46	\$ 622,543.46	\$ 7,286,889.54	2
Federal Funds	2,134,260.00	132,402.87	132,402.87	2,001,857.13	2
Local Funds	16,596,886.00	1,668,565.15	1,668,565.15	14,928,320.85	3
Sales & Services of Educ. Dept.	8,000.00	660.25	660.25	7,339.75	3
Miscellaneous	1,921,353.00	163,793.79	163,793.79	1,757,559.21	3
Total Educational & General	\$ 28,569,932.00	\$ 2,587,965.52	\$ 2,587,965.52	\$ 25,981,966.48	3
Student Aid	\$ 12,754,253.00	\$ 2,794,669.02	\$ 2,794,669.02	\$ 9,959,583.98	3
Auxiliary Enterprises	\$ 1,125,500.00	\$ 114,114.98	\$ 114,114.98	\$ 1,011,385.02	4
Total Income	\$ 42,449,685.00	\$ 5,496,749.52	\$ 5,496,749.52	\$ 36,952,935.48	4
Expenditures					
Education & General					
General Administration	\$ 1,084,925.98	\$ 85,833.21	\$ 85,833.21	\$ 999,092.77	4
Student Services and Admissions	1,569,993.09	47,222.18	47,222.18	1,522,770.91	4
General Institutional	3,053,421.69	313,010.99	313,010.99	2,740,410.70	5
Staff Benefits	3,416,961.00	249,756.14	249,756.14	3,167,204.86	6
Resident Instruction - Academic	6,628,606.78	681,257.45	681,257.45	5,947,349.33	6
Divisional Operating - Academic	559,333.97	44,438.39	44,438.39	514,895.58	7
Resident Instruction - Tech. Voc.	2,385,708.60	263,057.54	263,057.54	2,122,650.96	8
Divisional Operating - Tech. Voc.	481,575.74	91,317.61	91,317.61	390,258.13	8
Work Force Training & Development	1,219,829.00	79,014.49	79,014.49	1,140,814.51	9
Library and Instructional Media	549,708.79	43,152.15	43,152.15	506,556.64	9
Extension & Public Service	1,796,052.45	118,275.67	118,275.67	1,677,776.78	9
Special Items	796,993.00	31,517.43	31,517.43	765,475.57	10
Physical Plant Maintenance and Oper.	2,700,560.52	203,117.91	203,117.91	2,497,442.61	10
Debt Service	847,472.94	110,155.73	110,155.73	737,317.21	10
Extension Center Facilities	957,691.37	74,845.00	74,845.00	882,846.37	10
Total Educational & General	\$ 28,048,834.82	\$ 2,435,971.89	\$ 2,435,971.89	\$ 25,612,862.93	10
Student Aid	\$ 13,204,253.00	\$ 2,794,669.02	\$ 2,794,669.02	\$ 10,409,583.98	11
Auxiliary Enterprises	\$ 1,196,597.18	\$ 125,647.21	\$ 125,647.21	\$ 1,070,949.97	11
Total Expenditures	\$ 42,449,685.00	\$ 5,356,288.12	\$ 5,356,288.12	\$ 37,093,396.88	11
Excess Revenue / (Expenditures)	\$ -	\$ 140,461.40	\$ 140,461.40		
Cash and Certificate of Deposit Balance			\$ 15,446,934.70		

**SOUTHWEST TEXAS JUNIOR COLLEGE
COMPARATIVE STATEMENT OF ACCOUNT BALANCES
YEARS 2013 AND 2012**

	<u>September 30, 2012</u>	<u>September 30, 2011</u>	<u>Difference Increase/ (Decrease)</u>
Joint	\$ 5,112,531.05	\$ 6,545,302.14	\$ (1,432,771.09)
Debt Service	1,250,000.00	1,225,000.00	25,000.00
State Appropriated	62,016.51	175,379.01	(113,362.50)
Student Center & Housing	1,098,980.66	297,700.39	801,280.27
Restricted	334,216.42	229,346.73	104,869.69
Payroll	249,376.50	240,440.52	8,935.98
General	615,810.08	385,228.14	230,581.94
Bob Culp Memorial	7,242.94	7,198.35	44.59
State Grants	508,487.12	285,524.26	222,962.86
College Work Study	42,236.37	31,936.70	10,299.67
Financial Aid	-	-	-
DFAFS	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL CURRENT FUNDS	<u>9,280,897.65</u>	<u>9,423,056.24</u>	<u>(142,158.59)</u>

**SOUTHWEST TEXAS JUNIOR COLLEGE
COMPARATIVE STATEMENT OF ACCOUNT BALANCES
YEARS 2013 AND 2012**

	<u>September 30, 2012</u>	<u>September 30, 2011</u>	<u>Difference Increase/ (Decrease)</u>
Pioneer Loan	\$ 108,606.61	\$ 107,874.36	\$ 732.25
Ettie R. Garner	72,896.13	72,452.45	443.68
Will C. Miller	94,644.15	93,990.98	653.17
John N. Garner	1,774,025.33	1,762,083.86	11,941.47
Kathleen Luby	97,845.80	97,171.75	674.05
S. C. & H. Bond	144,665.43	109,079.01	35,586.42
Tom & Hope Witt Memorial	811,967.26	806,344.73	5,622.53
Ross Brumfield Memorial	98,053.46	97,422.95	630.51
Laura O. Bunton Memorial	59,872.68	59,494.18	378.50
CDA	13,617.68	13,537.78	79.90
San Antonio Livestock	90,977.58	90,396.91	580.67
Student Club	29,603.34	5,807.75	23,795.59

SOUTHWEST TEXAS JUNIOR COLLEGE
COMPARATIVE STATEMENT OF ACCOUNT BALANCES
YEARS 2013 AND 2012

	<u>September 30, 2012</u>	<u>September 30, 2011</u>	<u>Difference Increase/ (Decrease)</u>
Building Fund	\$ 2,471,530.86	\$ 40,955.97	\$ 2,430,574.89
Irene Schleicher	80,856.42	80,147.79	708.63
Gilbert C. Bermea	21,323.55	21,207.89	115.66
R. Burgess	51,185.18	50,878.95	306.23
Reed B. Parr	42,605.66	42,351.28	254.38
A.C. & Lucille Mogford	<u>101,759.93</u>	<u>90,384.11</u>	<u>11,375.82</u>
TOTAL OTHER FUNDS	<u>6,166,037.05</u>	<u>3,641,582.70</u>	<u>2,524,454.35</u>
TOTAL FUNDS	<u>\$ 15,446,934.70</u>	<u>\$ 13,064,638.94</u>	<u>\$ 2,382,295.76</u>

SOUTHWEST TEXAS JUNIOR COLLEGE
SUMMARY OF ACCOUNT BALANCES
SEPTEMBER 30, 2012

	<u>CASH IN BANK</u>	<u>CERTIFICATES OF DEPOSIT</u>	<u>INVESTMENT POOL</u>	<u>TOTAL ACCOUNT BALANCE</u>
Joint	\$ 1,703,150.75	\$ 3,400,000.00	\$ 9,380.30	\$ 5,112,531.05
Debt Service	-	\$1,250,000.00	-	1,250,000.00
State Appropriated	62,016.51	-	-	62,016.51
Student Center & Housing	1,098,980.66	\$0.00	-	1,098,980.66
Restricted Funds	334,216.42	-	-	334,216.42
Payroll	249,376.50	-	-	249,376.50
General	615,810.08	-	-	615,810.08
Bob Culp Memorial	2,242.94	5,000.00	-	7,242.94
State Grants	508,487.12	-	-	508,487.12
College Work Study	<u>42,236.37</u>	<u>-</u>	<u>-</u>	<u>42,236.37</u>
TOTAL CURRENT FUNDS	<u>4,616,517.35</u>	<u>4,655,000.00</u>	<u>9,380.30</u>	<u>\$ 9,280,897.65</u>

SOUTHWEST TEXAS JUNIOR COLLEGE
SUMMARY OF ACCOUNT BALANCES
SEPTEMBER 30, 2012

	<u>CASH IN BANK</u>	<u>CERTIFICATES OF DEPOSIT</u>	<u>INVESTMENT POOL</u>	<u>TOTAL ACCOUNT BALANCE</u>
Pioneer Loan	\$ 8,806.61	\$ 100,000.00	\$ -	\$ 108,606.61
Ettie R. Garner	7,896.13	65,000.00	-	72,896.13
Will C. Miller	4,644.15	90,000.00	-	94,644.15
John N. Garner	427,525.33	1,346,500.00	-	1,774,025.33
Kathleen Luby	7,845.80	90,000.00	-	97,845.80
S. C. & H. Bond	144,665.43	-	-	144,665.43
Tom & Hope Witt Memorial	11,967.26	800,000.00	-	811,967.26
Ross Brumfield Memorial	8,053.46	90,000.00	-	98,053.46
Laura O. Bunton Memorial	4,872.68	55,000.00	-	59,872.68
CDA	3,617.68	10,000.00	-	13,617.68
San Antonio Livestock	8,977.58	82,000.00	-	90,977.58
Student Club	29,603.34	-	-	29,603.34

SOUTHWEST TEXAS JUNIOR COLLEGE
SUMMARY OF ACCOUNT BALANCES
SEPTEMBER 30, 2012

	<u>CASH IN BANK</u>	<u>CERTIFICATES OF DEPOSIT</u>	<u>INVESTMENT POOL</u>	<u>TOTAL ACCOUNT BALANCE</u>
Reed B. Parr	\$ 7,605.66	\$ 35,000.00	\$ -	\$ 42,605.66
Building Fund	231,530.86	2,240,000.00	-	2,471,530.86
Irene Schleicher	7,856.42	73,000.00	-	80,856.42
Gilbert C. Bermea	6,323.55	15,000.00	-	21,323.55
R. Burgess	6,185.18	45,000.00	-	51,185.18
A.C. & Lucille Mogford	<u>18,259.93</u>	<u>83,500.00</u>	<u>-</u>	<u>101,759.93</u>
TOTAL OTHER FUNDS	<u>946,037.05</u>	<u>5,220,000.00</u>	<u>-</u>	<u>6,166,037.05</u>
TOTAL FUNDS	<u>\$ 5,562,554.40</u>	<u>\$ 9,875,000.00</u>	<u>\$ 9,380.30</u>	<u>\$ 15,446,934.70</u>

SOUTHWEST TEXAS JUNIOR COLLEGE
INVESTMENTS IN C.D.'S
SEPTEMBER 30, 2012

<u>ACCOUNT</u>	<u>TERM</u>	<u>INT. RATE</u>	<u>MATURITY DATE</u>	<u>RESTRICTED</u>	<u>UNRESTRICTED</u>	<u>TOTAL AMT.</u>
<u>JOINT</u>						
200119167	1 MO.	0.30%	10/11/2012		\$1,500,000.00	
200119168	1 MO.	0.30%	10/10/2012		1,000,000.00	
200119284	31 days	0.30%	10/22/2012		900,000.00	\$3,400,000.00
<u>DEBT SERVICE</u>						
200116374	18 MO.	1.00%	12/03/2012	\$115,000.00		
200119285	182 days	0.45%	03/25/2013	425,000.00		
200119004	12 MO.	0.55%	07/26/2013	640,000.00		
200119236	364 days	0.55%	08/30/2013	70,000.00		1,250,000.00
<u>AUXILIARY</u>						
					0.00	\$0.00
<u>ETTIE R. GARNER</u>						
200117243	12 MO.	0.65%	10/19/2012	25,000.00		
200119022	12 MO.	0.55%	07/19/2013	30,000.00		
200119005	12 MO.	0.55%	07/26/2013	10,000.00		65,000.00
<u>ROSS BRUMFIELD</u>						
200117243	12 MO.	0.65%	10/19/2012	30,000.00		
200117836	12 MO.	0.65%	01/18/2013	40,000.00		
200119005	12 MO.	0.55%	07/26/2013	20,000.00		90,000.00
<u>LAURA O. BUNTON</u>						
200117517	12 MO.	0.65%	11/19/2012	40,000.00		
200117836	12 MO.	0.65%	01/18/2013	10,000.00		
200119005	12 MO.	0.55%	07/26/2013	5,000.00		55,000.00
<u>SAN ANTONIO LIVESTOCK</u>						
200117243	12 MO.	0.65%	10/19/2012	25,000.00		
200117836	12 MO.	0.65%	01/18/2013	40,000.00		
200119005	12 MO.	0.55%	07/26/2013	17,000.00		82,000.00

SOUTHWEST TEXAS JUNIOR COLLEGE
INVESTMENTS IN C.D.'S
SEPTEMBER 30, 2012

<u>ACCOUNT</u>		INT. RATE	MATURITY DATE	RESTRICTED	<u>UNRESTRICTED</u>	<u>TOTAL AMT.</u>
<u>JOHN N. GARNER</u>						
200117243	12 MO.	0.65%	10/19/2012	75,000.00		
200117405	364 days	0.65%	11/16/2012	238,000.00		
200117517	12 MO.	0.65%	11/19/2012	372,000.00		
200119130	364 days	0.55%	08/23/2013	70,000.00		
200119129	18 MO.	0.65%	02/25/2014	106,500.00		
200119152	18 MO.	0.65%	03/04/2014	485,000.00		1,346,500.00
<u>IRENE SCHLIECHER</u>						
200117243	12 MO.	0.65%	10/19/2012	5,000.00		
200117517	12 MO.	0.65%	11/19/2012	8,000.00		
200119322	18 MO.	0.65%	03/29/2014	60,000.00		73,000.00
<u>GILBERT C. BERMEA</u>						
200117243	12 MO.	0.65%	10/19/2012	15,000.00		15,000.00
<u>TOM & HOPE WITT</u>						
200117243	12 MO.	0.65%	10/19/2012	105,000.00		
200117517	12 MO.	0.65%	11/19/2012	305,000.00		
200117867	12 MO.	0.65%	01/25/2013	250,000.00		
200119022	12 MO.	0.55%	07/19/2013	120,000.00		
200119130	364 days	0.55%	08/23/2013	10,000.00		
200119129	18 MO.	0.65%	02/25/2014	10,000.00		800,000.00
<u>KATHLEEN LUBY</u>						
200117836	12 MO.	0.65%	01/18/2013	15,000.00		
200119005	12 MO.	0.55%	07/26/2013	75,000.00		90,000.00
<u>WILL C. MILLER</u>						
200119005	12 MO.	0.55%	07/26/2013	10,000.00		
200119130	364 days	0.55%	08/23/2013	20,000.00		
200119236	364 days	0.55%	08/30/2013	60,000.00		90,000.00

SOUTHWEST TEXAS JUNIOR COLLEGE
INVESTMENTS IN C.D.'S
SEPTEMBER 30, 2012

ACCOUNT		INT. RATE	MATURITY DATE	RESTRICTED	UNRESTRICTED	TOTAL AMT.
<u>REED B. PARR</u>						
200117243	12 MO.	0.65%	10/19/2012	25,000.00		
200119005	12 MO.	0.55%	07/26/2013	10,000.00		35,000.00
<u>R. BURGESS</u>						
200117243	12 MO.	0.65%	10/19/2012	35,000.00		
200117836	12 MO.	0.65%	01/18/2013	10,000.00		45,000.00
<u>PIONEER FUND</u>						
200117243	12 MO.	0.65%	10/19/2012	25,000.00		
200119005	12 MO.	0.55%	07/26/2013	75,000.00		100,000.00
<u>CDA FUND</u>						
200117243	12 MO.	0.65%	10/19/2012	5,000.00		
200119005	12 MO.	0.55%	07/26/2013	5,000.00		10,000.00
<u>BUILDING FUND</u>						
200117243	12 MO.	0.65%	10/19/2012	40,000.00		
200119008	90 days	0.35%	10/25/2012	1,000,000.00		
200119216	92 days	0.35%	12/26/2012	450,000.00		
200119009	182 days	0.45%	01/25/2013	500,000.00		
200119217	6 MO.	0.45%	03/26/2013	250,000.00		2,240,000.00
<u>BOB CULP</u>						
200119005	12 MO.	0.55%	07/26/2013	5,000.00		5,000.00
<u>A.C. & LUCILLE MOGFORD</u>						
200119129	18 MO.	0.65%	02/25/2014	83,500.00		83,500.00
				<u>\$ 6,475,000.00</u>	<u>\$3,400,000.00</u>	<u>\$ 9,875,000.00</u>

SOUTHWEST TEXAS JUNIOR COLLEGE
INVESTMENTS IN C.D.'S
SEPTEMBER 30, 2012

<u>ACCOUNT</u>	<u>CD #</u>	<u>INT. RATE</u>	<u>MATURITY DATE</u>	<u>TERM</u>	<u>AMOUNT</u>
<u>MATURITIES</u>					
JOINT	200119103	0.30%	09/21/2012	1 MO.	\$1,500,000.00
JOINT	200118960	0.30%	09/10/2012	32 days	1,000,000.00
JOHN N. GARNER	200115758	1.00%	09/04/2012	18 MO.	\$485,000.00
IRENE SCHLIECHER	200115922	1.00%	09/29/2012	18 MO.	\$60,000.00
BUILDING FUND	200119007	0.35%	09/25/2012	60 days	\$450,000.00
 <u>RENEWALS/NEW</u>					
JOINT	200119167	0.30%	10/11/2012	1 MO.	\$1,500,000.00
JOINT	200119168	0.30%	10/10/2012	1 MO.	\$1,000,000.00
JOINT	200119284	0.30%	10/22/2012	31 days	\$900,000.00
DEBT SERVICE	200119285	0.45%	03/25/2013	182 days	\$425,000.00
JOHN N. GARNER	200119152	0.65%	03/04/2014	18 MO.	\$485,000.00
IRENE SCHLIECHER	200119322	0.65%	03/29/2014	18 MO.	\$60,000.00
BUILDING FUND	200119216	0.35%	12/26/2012	92 days	\$450,000.00
BUILDING FUND	200119217	0.45%	03/26/2013	6 MO.	\$250,000.00

SOUTHWEST TEXAS JUNIOR COLLEGE
SCHOLARSHIPS
SEPTEMBER 30, 2012

SCHOLARSHIP	AMOUNT
Anna Baker	\$ 11,749.25
Reva Burns	17,678.16
Charles & Polly Chandler	35,240.07
Del Rio Intl	11,752.03
Rodolfo R. Flores	12,415.90
Sterling Fly	11,230.06
K & H Hornby	12,159.49
Locke & Zaiglin	36,239.25
A.C. & Lucille Mogford	103,358.50
Kilpatrick Memorial	10,403.79
Jason Kincaid	20,861.12
Kirchner	107,777.13
Rose Koehl	10,560.09
Stephen Lindsey	12,314.69
Rory Malloy	12,720.59
Mary Powers	12,557.59
Bill Nunley	13,535.99
SWTJC Memorial	10,886.30
SWTJC Pioneer	42,657.98
Baltasar Ramirez	11,698.06
Eloy Reyes	12,033.13
Lupe E. Hernandez	13,528.86
Wayne, Evalyn and Gary Matthews	14,890.27
Bobby Wayne Hatley	11,567.66
Kenneth Shackelford	18,197.10
Dr. Tomas Rivera	10,287.56
Bob McKinney	15,944.49
SWTJC Phil Lyne	29,657.44
Estella Finley	10,732.88
Los Palominos	30,770.37
TOTAL	\$ 685,405.80

SCHOLARSHIPS UNDER \$10,000.00	AMOUNT
Georgia Gauthier	5,947.38
Gordon Hudson	\$ 7,216.65
Haskell Peanuts Hester	6,965.60
Vic Leon Tucker	9,326.25
Colonel Victoria Zamarippa	5,251.86
Toney Keeney	3,977.53
SWTJC Del Rio Student Government	609.44
Garry Merritt	6,613.86
TOTAL UNDER \$10,000.00	\$ 45,908.57
TOTAL SCHOLARSHIPS	\$ 731,314.37

SOUTHWEST TEXAS JUNIOR COLLEGE
OTHER INVESTMENTS
SEPTEMBER 30, 2012

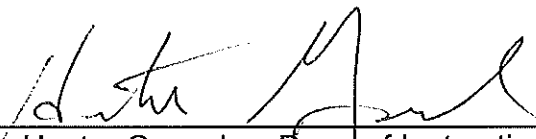
<u>ACCOUNT</u>	<u>Book Value</u>	<u>Market Value</u>
<u>WILL C. MILLER</u>		
Corporate stocks - Balance 8/31/2012	\$ 51,957.56	\$ 95,066.05
Current Month Activity	<u>-</u>	<u>2,586.65</u>
Corporate stocks - Balance 9/30/2012	\$ 51,957.56	\$ 97,652.70
<u>PLANT FUNDS</u>		
Corporate stocks - Balance 8/31/2012	\$ 5,446.00	\$ 45,300.00
Current Month Activity	<u>-</u>	<u>-</u>
Corporate stocks - Balance 9/30/2012	\$ 5,446.00	\$ 45,300.00

**SOUTHWEST TEXAS JUNIOR COLLEGE
INVESTMENT COMPLIANCE REPORT
SEPTEMBER 30, 2012**

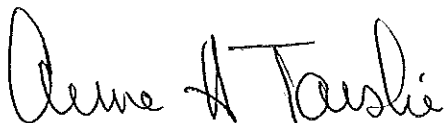
All investments of Southwest Texas Junior College are in certificates of deposit or State sponsored AAA-rated local government investment pools with the exception of various stocks and bonds that have been endowed to the College. The market value of each certificate of deposit is the same as the book value. The investment portfolio of Southwest Texas Junior College is in substantial compliance with the investment strategy and other relevant provisions expressed in the SWTJC investment policy.



Dr. Ismael Sosa, Jr., President



Dr. Hector Gonzales, Dean of Instructional Services & CFO



Anne H. Tarski, Associate Dean of Finance