

**RESOLUTION  
ADOPTING AUTHORIZED BROKER/DEALER LIST**

WHEREAS, the Public Funds Investment Act (Texas Government Code, Chapter 2256) governs local government investment; and

WHEREAS, the Public Fund Investment Act (Section 2256.025) requires the governing body or its designated investment committee, no less than annually, to review, revise, and adopt a list of qualified broker/dealers authorized to engage in investment transactions; and

WHEREAS, the following broker/dealers are recommended for approval:

Broker/Dealer List		
Academy Securities	Great Pacific	Raymond James
Arbor Research & Trading, LLC*	Hilltop Securities	RBC Securities
Bank of America/Merrill Lynch	Huntington Bank	Rice Financial
Barclays Capital Inc.*	InspereX (InCapital)	Robert W. Baird
Blaylock Van, LLC*	Jefferies	Santander US Capital Markets
BMO Capital Markets*	JPMorgan Securities	Siebert Williams Shank
BNY Capital Markets	Keybanc Capital Markets	Stephens Inc
BOK Financial	Loop Capital Markets	Stifel Nicolaus
Brean Capital	MarketAxess Corporation	StoneX Group Inc.
Cantor Fitzgerald & Co.	Mizuho Securities	TD Securities
CastleOak Securities	Moreton Capital Markets	TRUIST Securities Inc. (Suntrust)
Citigroup Global Markets Inc*	Morgan Stanley	UBS Financial
D.A. Davidson	Multi-Bank Securities	UMB Financial Services
Daiwa Capital Markets	Oppenheimer	U.S. Bancorp Investments*
FHN Financial	Piper Sandler & Co	Wells Fargo
Goldman Sachs & Co.	PNC Capital Markets LLC	

NOW, THEREFORE, BE IT RESOLVED that the companies on the list provided are authorized as broker/dealers for the District. (\* New Broker for 2024-25)

In accordance with the Act and the Investment Policy, a copy of the Investment Policy will be sent to each broker/dealer on the list whenever a material change is made to the Policy, and each broker/dealer will be required to provide written certification of their review of the Investment Policy.

Any qualified Texas bank used for time or demand deposits may be approved by the investment officers as identified through the competitive process without Board action.

The College has complied with the requirements of the Public Funds Investment Act, and the list of authorized broker/dealers is hereby adopted.

PASSED, ADOPTED, AND APPROVED by the Board the 27th day of August 2024.

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Board Secretary

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Board Treasurer