

227 N. Fourth Street  
Geneva, Illinois 60134  
630/463-3000  
630/463-3020  
Fax: 630/463-3029



**Scott K. Ney**  
Director, Facility Operations

**Community Unit  
School District 304**

TO: Dr. Kent Mutchler

FROM: Scott Ney

RE: 2019-20 Direct Digital Controls (DDC) Upgrade at GMSS – Johnson Controls, Inc. - **Pay Request #1, #2 & #3**

DATE: September 18, 2019

Attached please find the Application for Payment #1, #2 & #3 from Johnson Controls, Inc.

Johnson Controls, Inc.'s Application for Payment #1 of \$132,717.00, #2 of \$34,503.00 & #3 of \$11,356.00 are the first, second & third payments for the Direct Digital Controls (DDC) upgrades at Geneva Middle School South.

**APPLICATION AND CERTIFICATION FOR PAYMENT**

AIA DOCUMENT G702

TO OWNER: SCHOOL DISTRICT 304 GENEVA PROJECT: SD 304 Middle School South Retrofit APPLICATION NO: 1  
 227 N FOURTH ST PROJECT MGR: CHAN, PAUL L FEDERAL ID: 39-0380010  
 GENEVA, IL 60134

FROM CONTRACTOR: Johnson Controls, Inc. VIA ARCHITECT: Johnson Controls  
 3007 Malmo Drive REMIT TO: Johnson Controls  
 0N01 Chicago/Rockford, IL Common Branch PO Box 730068  
 Dallas, TX 75373

CONTRACT FOR: control systems  
 PERIOD TO: 30-Jun-19  
 INVOICE NO: 00042471400  
 INVOICE DATE: 27-Jun-19  
 PROJECT NOS: 9N010460  
 P.O. NO: Signed Proposal  
 CONTRACT DATE 25-Apr-19

**CONTRACTOR'S APPLICATION FOR PAYMENT**

Application is made for payment, as shown below, in connection with the Contract.  
 Continuation Sheet, AIA Document G703, is attached.

1. ORIGINAL CONTRACT SUM \$ 246,455
2. Net change by Change Orders \$ 0
3. CONTRACT SUM TO DATE (Line 1 ± 2) \$ 246,455
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) \$ 132,717

5. RETAINAGE:
  - a. 0 % of Completed Work \$ 0  
 (Column D + E on G703)
  - b. 0 % of Stored Material \$ 0  
 (Column F on G703)  
 Total Retainage (Lines 5a + 5b or Total in Column I of G703) \$ 0

6. TOTAL EARNED LESS RETAINAGE \$ 132,717  
 (Line 4 Less Line 5 Total)
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate) \$ 0
8. CURRENT PAYMENT DUE \$ 132,717
9. BALANCE TO FINISH, INCLUDING RETAINAGE (Line 3 less Line 6) \$ 113,738

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$0	\$0
Total approved this Month	\$0	\$0
TOTALS	\$0	\$0
NET CHANGES by Change Order	\$0	\$0

AIA DOCUMENT G702 - APPLICATION AND CERTIFICATION FOR PAYMENT - 1992 EDITION - AIA® - © 1992  
 THE AMERICAN INSTITUTE OF ARCHITECTS, 1735 NEW YORK AVE., N.W., WASHINGTON, DC 20006-5292  
**This document was produced under AIA Order No. 3773622474 which expires on 10/22/2019, and is not for resale.**  
 Please reference our invoice number and amount with your payment. Send only to the address on this invoice.

Distribution to:  
 OWNER  
 ARCHITECT  
 CONTRACTOR

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.


CONTRACTOR: Johnson Controls, Inc.

By:  Date: 8/20/2019

State of: Illinois County of: DuPage  
 Subscribed and sworn to before me this 20 day of August 2019  
 Notary Public: KATHLEEN B. BOWSKI  
 My Commission expires: Jun 20, 2021  
 Notary Public - State of Illinois  
 Official Seal

**ARCHITECT'S CERTIFICATE FOR PAYMENT**  
 In accordance with the Contract Documents, based on on-site observations and the data comprising the application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED: \$ 132,717

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)  
 ARCHITECT:  Date: 8/21/19  
 This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

# CONTINUATION SHEET

AIA DOCUMENT G703

PAGE 2 OF 2 PAGES

AIA Document G703, APPLICATION AND CERTIFICATION FOR PAYMENT, containing JCI PROJECT NO: 9N010460 APPLICATION NO: 1  
 Contractor's signed certification is attached. JCI INVOICE NO: 00042471400 APPLICATION DATE: 27-Jun-19  
 In tabulations below, amounts are stated to the nearest dollar. CHAN, PAUL L ARCHITECTS PROJECT NO: 30-Jun-19  
 Use Column I on Contracts where variable retainage for line items may apply.

A ITEM NO.	B DESCRIPTION OF WORK	C SCHEDULED VALUE	D WORK COMPLETED		F MATERIALS PRESENTLY STORED (NOT IN D O R E)	G TOTAL COMPLETED AND STORED TO DATE (D+E+F)		H BALANCE TO FINISH (C - G)	I RETAINAGE (IF VARIABLE RATE)
			FROM PREVIOUS APPLICATION (D + E)	THIS PERIOD		COMPLETED AND STORED TO DATE (D+E+F)	% (G + C)		
1	Base Contract								
2	Mobilization/General Conditions	\$24,646	\$0	\$12,323	\$0	\$12,323	50%	\$12,323	\$0
3	Engineering	\$7,307	\$0	\$7,307	\$0	\$7,307	100%	\$0	\$0
4	Installation Labor	\$119,800	\$0	\$25,000	\$0	\$25,000	21%	\$94,800	\$0
5	Material	\$87,947	\$0	\$87,947	\$0	\$87,947	100%	\$0	\$0
6	Project Management	\$564	\$0	\$141	\$0	\$141	25%	\$423	\$0
	Start Up/Commissioning	\$6,192	\$0	\$0	\$0	\$0	0%	\$6,192	\$0
<b>GRAND TOTALS:</b>		\$246,455	\$0	\$132,717	\$0	\$132,717	54%	\$113,738	\$0

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**APPLICATION AND CERTIFICATION FOR PAYMENT**

AIA DOCUMENT G702

PAGE ONE OF 2 PAGES

TO OWNER: SCHOOL DISTRICT 304 GENEVA  
227 N FOURTH ST  
GENEVA, IL 60134

PROJECT: SD 304 Middle School South Retrofit  
PROJECT MGR: JOHNSON, JEFFREY Alan

APPLICATION NO: 2  
FEDERAL ID: 39-0380010

Distribution to:

OWNER

ARCHITECT

CONTRACTOR

FROM CONTRACTOR:

Johnson Controls, Inc.  
3007 Malmo Drive  
0N01 Chicago/Rockford, IL Common Branch  
DALLAS, TX 75373

VIA ARCHITECT:

REMIT TO: Johnson Controls  
PO Box 730068

PERIOD TO: 31-Jul-19  
INVOICE NO: 00042559818  
INVOICE DATE: 26-Jul-19  
PROJECT NOS: 9N010460  
P.O. NO: Signed Proposal  
CONTRACT DATE 25-Apr-19

**CONTRACTOR'S APPLICATION FOR PAYMENT**

Application is made for payment, as shown below, in connection with the Contract.  
Continuation Sheet, AIA Document G703, is attached.

1. ORIGINAL CONTRACT SUM \$ 246,455
2. Net change by Change Orders \$ 0
3. CONTRACT SUM TO DATE (Line 1 ± 2) \$ 246,455
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) \$ 185,800
5. RETAINAGE:
  - a. 10 % of Completed Work \$ 18,580  
(Column D + E on G703)
  - b. 10 % of Stored Material \$ 0  
(Column F on G703)  
Total Retainage (Lines 5a + 5b or Total in Column I of G703) \$ 18,580
6. TOTAL EARNED LESS RETAINAGE \$ 167,220  
(Line 4 Less Line 5 Total)
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate) \$ 132,717
8. CURRENT PAYMENT DUE \$ 34,503
9. BALANCE TO FINISH, INCLUDING RETAINAGE (Line 3 less Line 6) \$ 79,235

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$0	\$0
Total approved this Month	\$0	\$0
TOTALS	\$0	\$0
NET CHANGES by Change Order	\$0	\$0

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: Johnson Controls, Inc.

By:  Date: 8/20/2019


State of: Illinois County of: DuPage  
Subscribed and sworn to before me this 20 day of August 2019  
Notary Public: KATHLEEN S. GRABOWSKI  
My Commission expires: [blank]  
Official Seal  
Notary Public - State of Illinois

**ARCHITECT'S CERTIFICATE FOR PAYMENT**

In accordance with the Contract Documents, based on on-site observations and the data comprising the application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED: \$ 34,503

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

By:  Date: 8/21/19  
This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

**CONTINUATION SHEET**

AIA DOCUMENT G703

AIA Document G703, APPLICATION AND CERTIFICATION FOR PAYMENT, containing JCI PROJECT NO: 9N010460 APPLICATION NO: 2  
 Contractor's signed certification is attached. JCI INVOICE NO: 00042559818 APPLICATION DATE: 26-Jul-19 PERIOD TO: 31-Jul-19  
 In tabulations below, amounts are stated to the nearest dollar. JOHNSON, JEFFREY Alan ARCHITECTS PROJECT NO:  
 Use Column I on Contracts where variable retainage for line items may apply.

A ITEM NO.	B DESCRIPTION OF WORK	C SCHEDULED VALUE	D WORK COMPLETED		F MATERIALS STORED (NOT IN D OR E)	G TOTAL COMPLETED AND STORED TO DATE (D+E+H)	H % (G + C)	I BALANCE TO FINISH (C - G)	J RETAINAGE (IF VARIABLE RATE)
			FROM PREVIOUS APPLICATION (D + E)	THIS PERIOD					
	Base Contract								
1	Mobilization/General Conditions	\$24,646	\$12,323	\$12,323	\$0	\$24,646	100%	\$0	\$2,465
2	Engineering	\$7,307	\$7,307	\$0	\$0	\$7,307	100%	\$0	\$731
3	Installation Labor	\$119,800	\$25,000	\$40,000	\$0	\$65,000	54%	\$54,800	\$6,500
4	Material	\$87,947	\$87,947	\$0	\$0	\$87,947	100%	\$0	\$8,795
5	Project Management	\$564	\$141	\$141	\$0	\$282	50%	\$282	\$28
6	Start Up/Commissioning	\$6,192	\$0	\$619	\$0	\$619	10%	\$5,573	\$62
<b>GRAND TOTALS:</b>		\$246,455	\$132,717	\$53,083	\$0	\$185,800	75%	\$60,655	\$18,580

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**APPLICATION AND CERTIFICATION FOR PAYMENT**

AIA DOCUMENT G702

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TO OWNER: SCHOOL DISTRICT 304 GENEVA  
 227 N FOURTH ST  
 GENEVA, IL 60134

PROJECT: SD 304 Middle School South Retrofit  
 PROJECT MGR: JOHNSON, JEFFREY Alan  
 FEDERAL ID: 39-0380010

APPLICATION NO: 3  
 DISTRIBUTION TO:

OWNER  
 ARCHITECT  
 CONTRACTOR

FROM CONTRACTOR: Johnson Controls, Inc.  
 3007 Malmo Drive  
 0N01 Chicago/Rockford, IL Common Branch  
 CONTRACT FOR: control systems

VIA ARCHITECT: REMIT TO: Johnson Controls  
 PO Box 730068  
 Dallas, TX 75373

PERIOD TO: 31-Aug-19  
 INVOICE NO: 00042588337  
 INVOICE DATE: 7-Aug-19  
 PROJECT NOS: 9N010460  
 P.O.NO: Signed Proposal  
 CONTRACT DATE 25-Apr-19

**CONTRACTOR'S APPLICATION FOR PAYMENT**

Application is made for payment, as shown below, in connection with the Contract.  
 Continuation Sheet, AIA Document G703, is attached.

1. ORIGINAL CONTRACT SUM \$ 246,455
2. Net change by Change Orders \$ 0
3. CONTRACT SUM TO DATE (Line 1 ± 2) \$ 246,455
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) \$ 198,418

5. RETAINAGE:
  - a. 10 % of Completed Work \$ 19,842  
 (Column D + E on G703)
  - b. 10 % of Stored Material \$ 0  
 (Column F on G703)  
 Total Retainage (Lines 5a + 5b or Total in Column I of G703) \$ 19,842

6. TOTAL EARNED LESS RETAINAGE \$ 178,576  
 (Line 4 Less Line 5 Total)
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate) \$ 167,220
8. CURRENT PAYMENT DUE \$ 11,356

9. BALANCE TO FINISH, INCLUDING RETAINAGE \$ 67,879  
 (Line 3 less Line 6)

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$0	\$0
Total approved this Month	\$0	\$0
<b>TOTALS</b>	\$0	\$0
NET CHANGES by Change Order	\$0	\$0

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: Johnson Controls, Inc.



By:  Date: 8/20/2019

State of: Illinois County of: DuPage  
 Subscribed and sworn to before me this 20 day of August 2019  
 Notary Public: KATHLEEN S GRABOWSKI  
 My Commission expires: 08-21-21 Notary Public - State of Illinois

**ARCHITECT'S CERTIFICATE FOR PAYMENT**

In accordance with the Contract Documents, based on on-site observations and the data comprising the application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED: \$ 11,356

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)  
 ARCHITECT:  Date: 8/21/19  
 By:  Date: 8/21/19  
 This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

# CONTINUATION SHEET

AIA DOCUMENT G703

PAGE 2 OF 2 PAGES

AIA Document G703, APPLICATION AND CERTIFICATION FOR PAYMENT, containing JCI PROJECT NO: 9N010460 APPLICATION NO: 3  
 Contractor's signed certification is attached. JCI INVOICE NO: 00042588337 APPLICATION DATE: 7-Aug-19  
 In tabulations below, amounts are stated to the nearest dollar. JOHNSON, JEFFREY Alan ARCHITECTS PROJECT NO: 31-Aug-19  
 Use Column I on Contracts where variable retainage for line items may apply.

A ITEM NO.	B DESCRIPTION OF WORK	C SCHEDULED VALUE	D WORK COMPLETED		F MATERIALS PRESENTLY STORED (NOT IN D OR E)	G TOTAL COMPLETED AND STORED TO DATE (D+E+F)	H BALANCE TO FINISH (C - G)	I RETAINAGE (IF VARIABLE RATE)
			FROM PREVIOUS APPLICATION (D + E)	THIS PERIOD				
1	Base Contract							
1	Mobilization/General Conditions	\$24,646	\$24,646	\$0	\$0	\$24,646	100%	\$0
2	Engineering	\$7,307	\$7,307	\$0	\$0	\$7,307	100%	\$0
3	Installation Labor	\$119,800	\$65,000	\$10,000	\$0	\$75,000	63%	\$44,800
4	Material	\$87,947	\$87,947	\$0	\$0	\$87,947	100%	\$0
5	Project Management	\$564	\$282	\$141	\$0	\$423	75%	\$141
6	Start Up/Commissioning	\$6,192	\$619	\$2,477	\$0	\$3,096	50%	\$3,096
<b>GRAND TOTALS:</b>		\$246,455	\$185,800	\$12,618	\$0	\$198,418	81%	\$48,037

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