227 N. Fourth Street Geneva, Illinois 60134 630/463-3000 630/463-3020 Fax: 630/463-3029



Scott K. Ney Director, Facility Operations

Community Unit School District 304

TO:

Dr. Kent Mutchler

FROM:

Scott Ney

RE:

2019-20 Direct Digital Controls (DDC) Upgrade at GMSS – Johnson

Controls, Inc. - Pay Request #1, #2 & #3

DATE:

September 18, 2019

Attached please find the Application for Payment #1, #2 & #3 from Johnson Controls, Inc.

Johnson Controls, Inc.'s Application for Payment #1 of \$132,717.00, #2 of \$34,503.00 & #3 of \$11,356.00 are the first, second & third payments for the Direct Digital Controls (DDC) upgrades at Geneva Middle School South.

NET CHANGES by Change Order	TOTALS	Total approved this Month	Total changes approved in previous months by Owner	CHANGE ORDER SUMMARY	9. BALANCE TO FINISH, INCLUDING RETAINAGE (Line 3 less Line 6)	 LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate) CURRENT PAYMENT DUE 	Total in Column I of G703) 6. TOTAL EARNED LESS RETAINAGE (Line 4 Less Line 5 Total)	a. 0 % of Completed Work a. 0 % of Completed Work (Column D + E on G703) b. 0 % of Stored Material (Column F on G703) Total Retainage (Lines 5a + 5b or			CONTRACTOR'S APPLICATION FOR PAYME Application is made for payment, as shown below, in connection with the Contract Continuation Sheet, AIA Document G703, is attached.	CONTRACT FOR: control systems	0N01 Chicago/Rockford, IL Common Branch	3007 Malmo Drive	Johnson Controls, Inc.	FROM CONTRACTOR:	GENEVA, IL 60134	227 N FOURTH ST	TO OWNER: SCHOOL DISTRICT 304 GENEVA
\$0	\$0	\$0	\$0	ADDITIONS DED	Б	φ.φ. 	φ. •	0 0	& & 	S & &	N FOR PAYMENT action with the Contract.			РО Во	REMIT TO: Johnson Controls	VIA ARCHITECT:		PROJECT MGR: CHAN, PAUL L	PROJECT: SD 304
Confractor named here prejudice to any rights	\$0 This Certificate is not n	\$0 By:	Application and onthe ARCHITEGT:	DEDUCTIONS (Attach expla	0 113,738 AMOUNT C	Architect's knowledge, the quality of the Work is entitled to payment o	0 ARCHITECT 132,717 In accordance with the comprising the applicat	State of: Subscribed and swom to Notary Public: My Commission expires:	132,717 By:	246,455 0 CONTRACTOR:	The undersign information a completed in the Contracto payments rec		Dallas, TX 75373	PO Box 730068	n Controls			, PAUL L	PROJECT: SD 304 Middle School South Retrofit
Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.		ストンプ	nd onthe Continuation Sheet that ar	nation if amount certified differs fro	AMOUNT CERTIFIED	Architect's knowledge, information and belief the W the quality of the Work is in accordance with the Con is entitled to payment of the AMOUNT CERTIFIED.	ARCHITECT'S CERTIFICATE FOR PAYMENT In accordance with the Contract Documents, based on on-site observations and the da comprising the application, the Architect certifies to the Owner that to the best of the	** CF \	7		The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been pa the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now du	CONTRACT DATE 25-Apr-19		PROJECT NOS: 9N010460	INVOICE DATE: 27-Jun-19	.··	PERIOD TO: 30-Jun-19	FEDERAL ID: 39-0380010	CATION NO:
cceptance of payment are without under this Contract.	egotiable. The AMOUNT CERTIFIED is payable only to the	Date: 8/3///9	Continuation Sheet that are changed to conform with the amount certified.)	(Attach explanation if amount certified differs from the amount applied. Initial all figures on this	* <u>138,717</u>	Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.	S CERTIFICATE FOR PAYMENT Contract Documents, based on on-site observations and the data ion, the Architect certifies to the Owner that to the best of the	County st: Dupage day of KANHLERI'96 ISRABOWSKI Official Seal Notary Public – State of Illinois My Commission Expires Jun 20, 2021	Date: 8/20/2019	Johnson Controls, Inc.	The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.	9	roposal	0	9		9 CONTRACTOR		Distril

AIA DOCUMENT G702 - APPLICATION AND CERTIFICATION FOR PAYMENT - 1992 EDITION - AIA® - ® 1992

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THE AMERICAN INSTITUTE OF ARCHITECTS, 1735 NEW YORK AVE., N.W., WASHINGTON, DC 20006-5292

CONTINUATION SHEET

Contractor's signed certification is attached. In tabulations below, amounts are stated to the nearest dollar. Use Column I on Contracts where variable retainage for line items may apply.

AIA DOCUMENT G703

PAGE 2 OF 2 PAGES

APPLICATION NO:

30-Jun-19

ALA Document G703, APPLICATION AND CERTIFICATION FOR PAYMENT, containing JCI PROJECT NO: 9N010460 JCI INVOICE NO: 00042471400

CHAN, PAUL L

APPLICATION DATE: 27-Jun-19
PERIOD TO: 30-Jun
ARCHITECT'S PROJECT NO:

			7 1	П
_	400		ITEM NO.	A
GRAND TOTALS: \$246,455 \$0 \$132,717 \$0 \$132,717 \$0 \$132,717	Material Project Management Start Up/Commissioning	Base Contract Mobilization/General Conditions Engineering Installation Labor	DESCRIPTION OF WORK	В
\$246,455	\$87,947 \$564 \$6,192	\$24,646 \$7,307 \$119,800	SCHEDULED VALUE	С
\$0	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$		FROM PREVIOUS APPLICATION (D+E)	D
\$132,717	\$87,947 \$141 \$0	\$12,323 \$7,307 \$75,000	AEVIOUS ATION THIS PERIOD +E)	E
\$0 2019 and is not f	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	\$ \$ 50	PRESENTLY STORED (NOT IN D OR E)	F
\$132,717	\$87,947 \$141 \$0	\$12,323 \$7,307 \$25,000	COMPLETED AND STORED TO DATE (D+E+F)	G
54%	100% 25% 0%	50% 100%	% (G+C)	
\$113,738		\$12,323 \$0 \$94.800	BALANCE TO FINISH (C - G)	Н
\$0	08 08 08	\$0 \$0	RETAINAGE (IF VARIABLE RATE)	I

TO OWNER: SCHOOL DISTRICT 304 GENEVA 227 N FOURTH ST GENEVA, IL 60134	PROJ	PROJECT: SD 304 Middle School South Retrofit PROJECT MGR: JOHNSON, JEFFREY Alan	trofit APPLICATION NO: 2 FEDERAL ID: 3): 2 39-0380010	Distribution to: OWNER ARCHITECT
FROM CONTRACTOR:	VIA ARCHITECT:		INVOICE NO:	00042559818	
Johnson Controls, Inc.	REMIT TO:	REMIT TO: Johnson Controls	INVOICE DATE:	26-Jul-19	
3007 Malmo Drive	2	PO Box 730068	PROJECT NOS:	9N010460	
0N01 Chicago/Rockford, IL Common Branch	n Branch	Dallas, TX 75373	P.O.NO:	Signed Proposal	
CONTRACT FOR: control systems			CONTRACT DATE 25-Apr-19	25-Apr-19	
CONTRACTOR'S APPLICATION FOR PAYMENT Application is made for payment, as shown below, in connection with the Contract Continuation Sheet, AIA Document G703, is attached.	N FOR PAYN mection with the Contr	TN	The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.	actor certifies that to the best of the Contractor's knowledge, the Work covered by this Application for Payment has been the Work contract Documents, that all amounts have been k for which previous Certificates for Payment were issued an the Owner, and that current payment shown herein is now	ractor's knowledge, ractor's knowledge, rayment has been amounts have been ment were issued a nown herein is now
 ORIGINAL CONTRACT SUM Net change by Change Orders CONTRACT SUM TO DATE (Line 1 ± 2) TOTAL COMPLETED & STORED TO 	ક્ર ક્ર ક્ર ક્ર	246,455 0 246,455 185,800	CONTRACTOR:	Johnson Controls, Inc.	
			1		Date: 8/20/2019
a. 10 % of Completed Work (Column D + E on G703) b. 10 % of Stored Material (Column F on G703) Total Retainage (Lines 5a + 5b or	18,580		ed and uniois ublic: mission expire	20 County of day	August 2019 August 2019 KATHLEEN S GRABOWSKI Official Seal Notary Public – State of Illinois
Total in Column I of G703) 6. TOTAL EARNED LESS RETAINAGE (Line 4 Less Line 5 Total)	. 59 59	18,580 167,220	ARCHITECT'S CERTIFICATE FOR PAYMENT AND IN accordance with the Contract Documents, based on on-site observations and the da comprising the application, the Architect certifies to the Owner that to the best of the	S CERTIFICATE FOR PAYMENT AND TO CONTROL TO SELECTION OF THE CONTROL TO SELECT SERVICE OF THE CONTROL TO SERVICE OF THE CONTROL TO SELECT SERVICE OF THE CONTROL TO SERVICE OF THE CONTROL TO SELECT SERVICE OF THE SERVICE OF THE CONTROL TO SELECT SERVICE OF THE SERVICE OF	PAYMENT Apressor bactrations and the data that to the best of the
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate) 8. CURRENT PAYMENT DUE	. 69 69	132,717 34,503 0	Architects knowledge, information and belief the West the quality of the Work is in accordance with the Con is entitled to payment of the AMOUNT CERTIFIED.	information and belief the Work has progressed as indicated, is in accordance with the Contract Documents, and the Contractor the AMOUNT CERTIFIED.	ogressed as indicate ments, and the Cor
9. BALANCE TO FINISH, INCLUDING RETAINAGE (Line 3 less Line 6)	.v €9	79,235	AMOUNT CERTIFIED		\$ 34,503
CHANGE ORDER SUMMARY Total changes approved in previous months by Owner	ADDITIONS \$0	DEDUCTIONS (Attack Appli \$0 ARCI	(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and onthe Continuation Sheet that are changed to conform with the amount certified.) ARCHITECT	d differs from the amount heet that are changed to	applied. Initial all conform with the a
Total approved this Month	\$0		Jones A.	lah	Date: 8/21/19
TOTALS	\$0	\$0 This	This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the	AMOUNT CERTIFIED	is payable only to the
NET CHANGES by Change Order	\$0		Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.	yment and acceptance of properties of the contractor under this Co	payment are withou

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Please reference our invoice number and amount with your payment. Send only to the address on this invoice. AAA DOCUMENT G702 - APPLICATION AND CERTIFICATION FOR PAYMENT : 1992 EDITION : AIA® : © 1992

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Contractor's signed certification is attached. In tabulations below, amounts are stated to the nearest dollar. Use Column I on Contracts where variable retainage for line items may apply. AIA Document G703, APPLICATION AND CERTIFICATION FOR PAYMENT, containing JCI PROJECT NO: 9N010460 JCI INVOICE NO: 00042559818

JOHNSON, JEFFREY Alan

31-Jul-19

APPLICATION NO: 2
APPLICATION DATE: 26-Jul-19
PERIOD TO: 31-Ju
ARCHITECT'S PROJECT NO:

			6 5 4 3 2 1	(TEM NO	A
This document was produced under AIA Order No. 3773622474 which expires on 10/22/2019, and is not for resale.	GRAND TOTALS:		Mobilization/General Conditions Engineering Installation Labor Material Project Management Start Up/Commissioning	DESCRIPTION OF WORK	В
er AlA Orger No.	\$246,455		\$24,646 \$7,307 \$119,800 \$87,947 \$564 \$6,192	SCHEDULED VALUE	C
3773622474 which	\$132,717		\$12,323 \$7,307 \$25,000 \$87,947 \$141	WORK CO FROM PREVIOUS APPLICATION (D + E)	D
expires on 10/22/	\$53,083	ÿ +	\$12,323 \$0 \$40,000 \$141 \$619	WORK COMPLETED EVIOUS ATION THIS PERIOD + E)	תו
2019, and is not for	\$0		\$\$ \$\$ \$\$ \$\$ \$\$ \$\$	MATERIALS PRESENTLY STORED (NOT IN D OR E)	'n
or resale.	\$185,800		\$24,646 \$7,307 \$65,000 \$87,947 \$282 \$619	TOTAL COMPLETED AND STORED TO DATE (D+E+F)	G
	75%		100% 100% 54% 100% 50%	% (G ÷ C)	
	\$60,655		\$0 \$0 \$54,800 \$0 \$282 \$282 \$5,573	BALANCE TO FINISH (C - G)	Н
	\$18,580	>	\$2,465 \$731 \$6,500 \$8,795 \$28 \$62	RETAINAGE (IF VARIABLE RATE)	

Contract.	prejudice to any rights of the Owner or Contractor under this Contract.		\$0	NET CHANGES by Change Order
f payment are without	Contractor named herein. Issuance, payment and acceptance of payment are without		40	1011110
J is narrable only to the	te is not negotiable. The MOUNT CERTIFIED	\$0 This Certifica	0.8	TOTALS
Date: 8/21/19	Et Links	\$0 By:	\$0	Total approved this Month
o conform with the amount certified.)	Application and onthe Continuation Sheet that are changed to conform with the amount certified.) ARCHITECT:	\$0 ARCHITECT	\$0	in previous months by Owner
nt applied. Initial all figures on this	Attach explanation if amount certified differs from the amount applied. Initial all figures on this	DEDUCTIONS (Attach expla	ADDITIONS	CHANGE ORDER SUMMARY
s 11,356	RTIFIED	67,879 AMOUNT CERTIFIED	AGE \$	9. BALANCE TO FINISH, INCLUDING RETAINAGE (Line 3 less Line 6)
rrogressed as indicated, cuments, and the Contractor	Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.	Architect's knowledge, 167,220 the quality of the Work 11,356 is entitled to payment o	<i>५</i> ० ५०	 LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate) CURRENT PAYMENT DUE
ta		19,842 ARCHITECT 178,576 In accordance with the comprising the applicat	બ બ	
Official Seal Notary Public – State of Illinois	State of: Subscribed and sworp to before this Notary Public: My Commission expires: County of day of day of the day of t	State of: Subscribed and sworn of Notary Public: My Commission expires	\$ 19,842 \$ 0	a. 10 % of Completed Work (Column D + E on G703) b. 10 % of Stored Material (Column F on G703) Total Retainage (Lines 5a + 5b or
Date: 8/20/2019	1	198,418 By:	<i>€</i> 9 €	
	OR: Johnson Controls, Inc.	246,455 0 CONTRACTOR:	જ સ્ત્ર સ્ત્	1. ORIGINAL CONTRACT SUM 2. Net change by Change Orders 3. CONTRACT STIM TO DATE (1 inc 1 + 2)
ntractor's knowledge, for Payment has been fl amounts have been paid by ayment were issued and shown herein is now due.	The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.	Z	ION FOR PAYMENT n connection with the Contract.	CONTRACTOR'S APPLICATION FOR PAYME Application is made for payment, as shown below, in connection with the Contract Continuation Sheet, AIA Document G703, is attached.
	CONTRACT DATE 25-Apr-19			CONTRACT FOR: control systems
		Dallas, TX 75373		0N01 Chicago/Rockford, IL Common Branch
		PO Box 730068		3007 Malmo Drive
	E	REMIT TO: Johnson Controls	REMIT TO:	Johnson Controls, Inc.
CONTRACTOR	PERIOD TO: 31-Aug-19 INVOICE NO: 00042588337		VIA ARCHITECT:	FROM CONTRACTOR:
ARCHITECT				GENEVA, IL 60134
OWNER	FEDERAL ID: 39-0380010	PROJECT MGR: JOHNSON, JEFFREY Alan	PROJECT MGR:	227 N FOURTH ST
Distribution to:	APPLICATION NO: 3	PROJECT: SD 304 Middle School South Retrofit		TO OWNER: SCHOOL DISTRICT 304 GENEVA

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Use Column I on Contracts where variable retainage for line items may apply

In tabulations below, amounts are stated to the nearest dollar. Contractor's signed certification is attached. AIA Document G703, APPLICATION AND CERTIFICATION FOR PAYMENT, containing JCI PROJECT NO: 9N010460 JCI INVOICE NO: 00042588337 APPLICATION DATE: 7-Aug-19
PERIOD TO: 31-Au APPLICATION NO:

JOHNSON, JEFFREY Alan

ARCHITECT'S PROJECT NO:

31-Aug-19

ITEM NO. Start Up/Commissioning Mobilization/General Conditions Base Contract Material Installation Labor Engineering Project Management This document was produced under AIA Order No. 3773622474 which expires on 10/22/2019, and is not for resale. DESCRIPTION OF WORK GRAND TOTALS: SCHEDULED VALUE \$119,800 \$246,455 \$87,947 \$24,646 \$7,307 \$6,192 \$564 FROM PREVIOUS APPLICATION WORK COMPLETED \$185,800 \$24,646 \$7,307 \$65,000 \$87,947 \$282 \$619 THIS PERIOD \$0 \$10,000 \$10 \$12,618 \$2,477 \$141 PRESENTLY MATERIALS STORED D OR E) (NOT IN \$0 \$0 \$0 AND STORED COMPLETED TO DATE (D+E+F) TOTAL \$198,418 \$7,307 \$75,000 \$24,646 \$87,947 \$3,096 \$423 G (G + C) % 100% 63% 100% 75% 50% 100% 81% BALANCE TO FINISH (C-G) \$44,800 \$0 \$141 \$48,037 \$3,096 \$0 (IF VARIABLE RETAINAGE RATE) \$19,842 \$7,500 \$8,795 \$2,465 \$42 \$310 \$731