Active Directory Internal Audit

Evaluation of Management's Mitigating Controls

AUDIT # 25-02-B

October 21, 2025

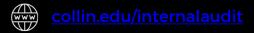**Respectfully Submitted by:**

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EXECUTIVE SUMMARY

AUDIT OBJECTIVES & SCOPE

The objective of the audit was to evaluate the effectiveness of mitigating processes and controls identified by Management in response to the recommendations of the initial Active Directory (AD) Internal Audit performed in 2024 specifically in areas where Management had additional data to be considered for evaluation.

AUDIT RECOMMENDATIONS

Based on procedures performed, the following was noted:

Recommendation	Risk Level	Implementation Date	Page Number
Develop a process to maintain and keep current the inventory of computer lab computers in Active Directory.	High	September 1, 2026	9
Validate that anti-malware, endpoint protection, and Deepfreeze are installed across all computer labs.	High	September 1, 2026	10
Create and finalize the Data Privacy policy.	Medium	December 1, 2025	11
Implement Data Classification for on-premises solutions.	Medium	December 1, 2026	11

DESIGNATED MANAGEMENT

Shane Ammons, Chief Information Security Officer

CONCLUSION

Overall, based on the audit work performed, we conclude that mitigating processes and controls are in place for eight of the ten recommendations related to Active Directory from the initial audit procedure results shared with the College in January 2025. The two remaining partially mitigating controls have four recommendations as part of this audit.

Recommendations (prior audit procedures)	Mitigation Controls Introduced By Management (Internal Audit's Scope)	Mitigation Controls Fully In Place	Mitigation Controls Partially In Place
5 recommendations resulting in 15 procedures	10	8	2*

*The two mitigating controls partially in place are related to the four findings noted above under Audit Recommendations.