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## **BOARD OF MANAGERS RESOLUTION**

**APRIL 22, 2025**

### **A RESOLUTION REVIEWING & ADOPTING LISTING OF QUALIFIED BROKER/DEALERS AUTHORIZED TO ENGAGE IN INVESTMENT TRANSACTIONS**

**WHEREAS**, the Nueces County Hospital District (the "Hospital District" or "District") is a body politic and corporate and a political subdivision of the State of Texas, established and created pursuant to the Texas Constitution, Article IX, Section 4 and the Texas Health and Safety Code (the "Health Code"), Chapter 281, and operated in accordance with the Health Code and other applicable laws of the State of Texas, including Texas Government Code, Chapter 2256 relating to public funds investment (the "Public Funds Investment Act" or "Act");

**WHEREAS**, the Hospital District's Board of Managers (the "Board") have been duly appointed pursuant to Health Code, §281.021(a);

**WHEREAS**, pursuant to collective authorities of Health Code, §281.047 and §281.048, the Board is the Hospital District's governing body and the Board has, and at the time of adoption of this Resolution had, full power and authority to manage, control, administer, and to adopt rules governing operation of the District;

**WHEREAS**, the Hospital District is governed by the Public Funds Investment Act and the Board has previously adopted an (i) investment policy, and (ii) list of qualified broker/dealers, both in accordance with the Act;

**WHEREAS**, the Board desires to comply with annual requirements of the Public Funds Investment Act and §2256.025 thereof requires the Board, or investment committee, to at least annually, review and adopt a list of qualified brokers that are authorized to engage in investment transactions with the Hospital District; and

**WHEREAS**, in accordance with Public Funds Investment Act, §2256.025, the Board hereby desires to: (i) acknowledge review its current listing of broker/dealers authorized to engage in investment transactions with the Hospital District; and (ii) adopt, effective June 1, 2025, the listing attached hereto and identified as Exhibit "A" as the District's authorized broker/dealers list to supersede and replace the current listing.

**NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF MANAGERS OF THE NUECES COUNTY HOSPITAL DISTRICT, THAT:**

1. The Board has reviewed the Hospital District's current list of qualified broker/dealers authorized to engage in investment transactions with the District.
2. The Board hereby adopts the Authorized Broker/Dealer List attached hereto and identified as Exhibit "A" to supersede and replace the current list as the Hospital District's list of qualified broker/dealers who are authorized to engage in investment transactions with the District (the "Authorized Broker/Dealer List"); the Authorized Broker/Dealer List is to be effective June 1, 2025.
3. The broker/dealers shown in the Authorized Broker/Dealer List shall complete and submit the questionnaire forms identified in and required by the District's Investment Policy; acceptability of submitted questionnaire forms shall be determined by the District's Administrator.
4. The Board hereby adopts this Resolution to affirm the preceding Resolves as required by Public Funds Investment Act, §2256.025.
5. The Administrator shall be and is expressly authorized and directed to do and perform all acts, and to execute all instruments and other related documents, whether herein cited, as required to carry out the intent, terms, and provisions of this Resolution, such execution to be conclusively evidenced by the performance of such acts.
6. The Administrator, in his capacity as the Secretary of the Hospital District Board, be and is hereby legally authorized and empowered to perform all acts described above and certify these resolutions and that the provisions hereof are in conformance with the laws of the State of Texas and the Governing Board Bylaws of the Hospital District.
7. This Resolution shall take effect and be in full force and effect upon and after its passage.
8. The Board retains its right to amend or repeal this Resolution at any time.

**NUECES COUNTY HOSPITAL DISTRICT  
BOARD OF MANAGERS**

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Belinda Flores, RN  
Chairman

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Vishnu V. Reddy, M.D.  
Vice Chairman

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Sylvia Tryon Oliver  
Member

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Mariana Garza  
Member

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Efrain Guerrero, Jr.  
Member

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Georgia Neblett  
Member

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Karen O'Connor Urban  
Member

Exhibit "A"

**NUECES COUNTY HOSPITAL DISTRICT**

**AUTHORIZED BROKER/DEALER LIST**

**Effective June 1, 2025**

(Attach list after this page)

# NUECES COUNTY HOSPITAL DISTRICT

## Approved Broker/Dealer List

~~12~~Effective 06/31/20242025



### Broker/Dealer

Academy Securities<sup>2</sup>  
Alamo Capital<sup>2</sup>  
Arbor Research & Trading, LLC  
Bank of America/Merrill Lynch<sup>1</sup>  
Barclays Capital Inc.<sup>1</sup>  
Blaylock Van, LLC<sup>2</sup>  
BMO Capital Markets<sup>1</sup>  
BNY Capital Markets  
BOK Financial  
Brean Capital  
Cantor Fitzgerald & Co.<sup>1</sup>  
CastleOak Securities<sup>2</sup>  
Citigroup Global Markets Inc<sup>1</sup>  
D.A. Davidson  
Daiwa Capital Markets<sup>1</sup>  
FHN Financial  
Goldman Sachs & Co.<sup>1</sup>  
Great Pacific<sup>2</sup>  
Hilltop Securities  
Huntington Bank  
InspereX (formerly InCapital)  
Jane Street  
Jefferies<sup>1</sup>  
JPMorgan Securities<sup>1</sup>  
Keybanc Capital Markets

### Broker/Dealer

Loop Capital Markets<sup>2</sup>  
MarketAxess Corporation  
Mizuho Securities<sup>1</sup>  
Moreton Capital Markets  
Morgan Stanley<sup>1</sup>  
Multi-Bank Securities<sup>2</sup>  
Oppenheimer  
Piper Sandler & Co  
PNC Capital Markets LLC  
Raymond James  
RBC Securities<sup>1</sup>  
Rice Financial  
Robert W. Baird  
Santander US Capital Markets<sup>1</sup>  
Siebert Williams Shank<sup>2</sup>  
Stephens Inc  
Stifel Nicolaus  
StoneX Group Inc.  
TD Securities<sup>1</sup>  
Truist Securities Inc.  
UBS Financial<sup>1</sup>  
UMB Financial Services  
U.S. Bancorp Investments  
Wells Fargo<sup>1</sup>

Note: Direct issuers of CP and CDs are considered to be approved counterparties if approved as an issuer.

*1 Primary Government Securities Dealer*

*2 Minority, Woman owned, or Service Disabled-Veteran owned Enterprise*

### Important Disclosures

This list is current as of the effective date only and is subject to change without notice. This list is for informational purposes only, and may not be relied upon for any other purpose. The list does not imply counterparty approval for derivatives of any type. This information is confidential and may not be distributed without prior written consent of Meeder Public Funds, Inc.

**CERTIFICATE FOR RESOLUTION**

**A RESOLUTION REVIEWING & ADOPTING  
LISTING OF QUALIFIED BROKER/DEALERS  
AUTHORIZED TO ENGAGE IN INVESTMENT TRANSACTIONS**

THE STATE OF TEXAS     §  
                                      §  
COUNTY OF NUECES     §

I the undersigned Secretary of the Board of Managers of the Nueces County Hospital District, hereby attest as follows:

1. The Board of Managers of said District convened in regular meeting on the 22<sup>nd</sup> day of April 2025, at the regular meeting place, and the roll was called of the duly constituted officers and members of said Board of Managers, to wit:

Belinda Flores, RN, Chairman  
Vishnu V. Reddy, M.D, Vice-Chairman  
Sylvia Tryon Oliver  
Mariana Garza  
Efrain Guerrero, Jr.  
Georgia Neblett  
Karen O'Connor Urban

and all said persons were present, except the following absentees: \_\_\_\_\_,  
\_\_\_\_\_, \_\_\_\_\_, and \_\_\_\_\_ thus constituting a quorum. Whereupon, among other  
business, the following was transacted at said Meeting: A written

**A RESOLUTION REVIEWING & ADOPTING  
LISTING OF QUALIFIED BROKER/DEALERS  
AUTHORIZED TO ENGAGE IN INVESTMENT TRANSACTIONS**

was introduced for the consideration of said District and read in full. It was then duly moved and seconded that said Resolution be passed, and, after due discussion, said motion, carrying with it the passage of said Resolution, prevailed, and carried by the following vote:

YEAS: \_\_\_\_\_  
NAYS: \_\_\_\_\_  
PRESENT NOT VOTING: \_\_\_\_\_  
ABSENT: \_\_\_\_\_

2. That a true, full and correct copy of the aforesaid Resolution passed at the meeting described in the above and forgoing paragraph is attached to and follows this Certificate; that said Resolution has been duly recorded in the minutes of said Meeting; that the above and forgoing paragraph is a true, full, and correct excerpt from the minutes of said Meeting pertaining to and passage of said Resolution; that the persons named in the above and forgoing paragraph are the duly appointed, qualified, and acting members of the Board of Managers of said District as indicated therein; that each of the members of the Board of Managers of said District was duly and sufficiently notified officially and personally, in advance, of the time, place, and purpose of aforesaid Meeting, and that said Resolution would be introduced and considered for passage at said Meeting, and that each of said members consented, in advance, to holding of said Meeting for such purpose; and that said Meeting was open to the public, and public notice of the date, time, place, and purpose of said Meeting was given all as required by Texas Government Code, §551.001 et.seq.

SIGNED AND SEALED THIS 22<sup>nd</sup> day of April 2025.

**ATTEST:**

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Jonny F. Hipp  
Secretary, Board of Managers  
Nueces County Hospital District

{SEAL}