

**RESOLUTION  
ADOPTING AUTHORIZED BROKER/DEALER LIST**

WHEREAS, the Public Funds Investment Act (Texas Government Code, Chapter 2256) governs local government investment; and

WHEREAS, the Public Fund Investment Act (Section 2256.025) requires the governing body or its designated investment committee, no less than annually, to review, revise and adopt a list of qualified broker/dealers authorized to engage in investment transactions; and

WHEREAS, the following broker/dealers are recommended for approval:

NOW, THEREFORE, BE IT RESOLVED that:

Broker/Dealers	
Cantor Fitzgerald	Oppenheimer
FHN Financial	Piper Sandler & Co.
InCapital	Raymond James
Bank of America/Merrill Lynch	RBC Capital Markets
BOK Financial	Robert W. Baird
Jefferies*	Siebert Williams Shank
JP Morgan*	Stifel Nicolaus
Loop Capital*	StoneX Group
Mizuho Securities	UBS Financial Services
Moreton Securities*	Vining Sparks
Morgan Stanley	Wells Fargo

are authorized as broker/dealers for the District. (\* New Broker for 2021-22)

In accordance with the Act and the Investment Policy, a copy of the Investment Policy will be sent to each broker/dealer on the list whenever a material change is made to the Policy, and each broker/dealer will be required to provide written certification of their review of the Investment Policy.

Any qualified Texas bank used for time or demand deposits may be approved by the investment officers as identified through the competitive process without Board action.

That the College has complied with the requirements of the Public Funds Investment Act, and the list of authorized broker/dealers is hereby adopted.

PASSED, ADOPTED, AND APPROVED by the Board this the 22rd day of June 2021.

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Board Secretary

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Board Treasurer