



# WEST ORANGE – COVE CISD

North Early Learning Center  
Head Start - Pre-Kindergarten – PPCD

---

VICKIE PRICE  
Head Start Director /  
Principal

801 Cordrey – Orange, TX  
Phone: 409-882-5434 Fax: 409-882-5449

Please review the following documents for Safety Supplemental Funding at the North Early Learning Center Head Start location.

- Approval Agenda (signature requirement)
- Approval Letter (signature requirement)
- Safety Supplemental Funding Application
- G&G Enterprise Quote
- Auto Scrubber Quote
- Vehicle Purchasing Samples
- SF-424 (signature requirement)
- SF-424A
- SF-424B (signature requirement)

\_\_\_\_\_  
Board of Trustees President

\_\_\_\_\_  
Date

\_\_\_\_\_  
Policy Council President

\_\_\_\_\_  
Date

\_\_\_\_\_  
Superintendent of Schools / Executive Director

\_\_\_\_\_  
Date

\_\_\_\_\_  
Head Start Director / Principal

\_\_\_\_\_  
Date



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\_\_\_\_\_  
Board of Trustees President

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Date

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Policy Council President

\_\_\_\_\_  
Date

\_\_\_\_\_  
Superintendent of Schools / Executive Director

\_\_\_\_\_  
Date

\_\_\_\_\_  
Head Start Director / Principal

\_\_\_\_\_  
Date

**WEST ORANGE-COVE CONSOLIDATED ISD**  
**GRANT 06CH010172**  
**North Early Learning Center Head Start**  
**Building / Facility Safety Improvement Supplement Narrative**

1. Current facilities lack a completely secure environment for all students and staff. In order to prioritize funding, West Orange-Cove Consolidated ISD / North Early Learning Center Head Start program will choose to move forward with the enclosure of the walkway from main campus to annex building, and the installation of the security vestibule (complete with security hardware, access controls, surveillance systems, alarms, and bullet-resistant glass). The enclosure of the entrance drop off area can be addressed at a later date due to a partial security system being already in place.

**Enclosure of Walkway:** The Head Start facility is located centrally in an area that tends to face different challenges, such as various criminal activity (high drug traffic, gang activity, harlots, vagrants, etc.). The current walkway is a health and safety concern that we are attempting to eliminate. Students and staff have to walk from the outside building to the main building under a covered walkway to attend main events and extra-curricular opportunities (science lab, gross motor, cafeteria, student library, etc.). During this transition, students and staff are open to weather elements (extreme heat/cold, rain, and wind), possible stranger danger, and stray animals. A chain link fence with locked entrances encloses the grounds, but it does not guarantee a stranger from gaining entrance. Staff provides as much assistance in this area as possible. There are times when the wind tends to blow through the area even if it is not windy, a stray animal makes it through the fence, a visitor attempts to gain entrance through different areas other than the front entrance, or the rain ultimately gets are students and staff soaked. The North Early Learning Center Head Start program is seeking funding for

an enclosure of the walkway between the annex building and main building. The walkway would add another barrier between our students and staff with the outside world.

**Installation of Security Vestibule:** Current facilities at North Early Learning Center Head Start addresses safety of individuals from entering the building freely to an extent. The current security setup consists of minor doorbell system, cameras, and security locks. The entrance process is for an individual to ring the doorbell and wait for a front office staff member to buzz them in. Before the front office staff member buzzes for entrance, they view the cameras and ask through a microphone system the purpose of the individual's visit. The concern is when one individual is buzzed in, the possibility that others can follow in behind occurs. This prevents proper screening of all individuals before entrance to the building. It is the goal of West Orange-Cove CISD / North Early Learning Center Head Start program to improve the security with the entrance process. The improvement will allow the program to better monitor individuals from slipping in behind another visitor, visitors not going through proper tardy procedures, and will allow for better monitoring of attendance. The installation of a security vestibule will allow the program to implement these practices.

**Enclosure of Entrance Drop-off Area:** At this time, West Orange-Cove CISD / North Early Learning Center Head Start program will wait and complete this improvement at a later date.

G&G Enterprises Construction has provided the quote for addressing both facility and safety concerns. The breakdown of the quote is as follows.

- Install of security vestibule - **\$63,168**

- Enclose entrance drop off area - \$127,000
- Enclose passageway from main campus to outside building - **\$133,600**

2. The West Orange-Cove CISD / North Early Learning Center Head Start program is seeking to decrease the number of absences of staff and students. The implementation of floor auto-scrubbers will assist in this goal. The auto-scrubber will assist custodial staff in maintaining a more sanitary environment, and provide a more thorough cleaning in common areas. The efficiency of the auto-scrubber cleaning and sanitizing the large common areas allows custodial staff to focus more on disinfecting classroom efficiently each day. The results will be that the spread of illness will decrease and attendance will increase over time as staff and students enter a more germ free environment.

ICE has provided a quote to address the need for more efficient cleaning of facilities. The quote is as follows:

\$12,251 for one Walk-behind, Traction-drive Auto Scrubber with AGM Maintenance Free Batteries.

3. The West Orange-Cove CISD / North Early Learning Center Head Start program is seeking \$40,000 to implement two vehicles in the form of an SUV, mini-bus, or a combination of the two. The program is in need of means of transportation to allow for emergency situations that cannot be prevented when parents miss busing transportation in the morning or afternoon and have no means of personal transportation to get their child to and from school. The new transportation means will also allow for parents/families without personal transportation to

attend school hosted events that will increase the parent-student interactions at school and home, and transport to and from doctor / dentist appointments for parents and students to address health and wellness needs. The safety of staff traveling to trainings is also of importance to the program that will be addressed with the provided transportation of the two vehicles.

Local dealerships will be considered in the purchase process not to exceed \$40,000. Sabine River Ford and Granger Chevrolet are reputable dealerships in the Orange area. West Orange-Cove CISD / North Early Learning Center Head Start program will consider certified vehicles when making this purchase. A more accurate pricing will be obtained at time of purchase.

#### **Non-Federal Match**

In order to comply with the non-federal match guideline of 25%, West Orange-Cove CISD will ensure that the minimum of \$62,500 will be met for the implementation of the above addressed funding. West Orange-Cove CISD will provide the non-federal match with the following or a combination of the following; salary match, volunteers, bus routes, and bond funding.



executive / 501 Proctor Street, Suite #322 :: Port Arthur, TX 77640

operations / 3260 Eastex Freeway :: Beaumont, TX 77703

field services / 6354 Hwy 105 :: Orange, TX 77630

voice 409/883.5465 fax 409/883.9132

March 27, 2019

Mrs. Vickie Ocegüera, Principal  
North Early Learning Center  
801 Cordrey  
Orange, Tx 77631

Re: Estimate for NELC Improvements

Dear Mrs. Ocegüera:

Below is the summary of our cost estimates for improvements to North Early Learning Center as discussed:

- Install a Security Vestibule Complete w/ Security Hardware, Access Controls, Surveillance Systems, Alarms, Bullet-Resistant Glass = \$63,168
- Enclose Entrance Drop Off Area = \$127,000
- Enclose Walkway From Main Campus to Annex = \$133,600

Please let me know if you have any questions concerning any of the items noted above.

Thank you for allowing G&G Enterprises Construction Corp to serve you and the needs of NELC.

Best regards,

  
Colin Garrett  
President & COO

GandGEnterprises.com

GROWING OUR PEOPLE. SERVING OUR CLIENTS. BUILDING OUR COMMUNITIES.

# **i28BT Walk-Behind, Traction-Drive Auto Scrubber**

Large facility with a few tight spots? The i28BT is your solution. It too is a fully automated walk-behind scrubber with a 26 gallon solution capacity, an electrical actuator for pad pressure up to 119lb, and a durability-boosting cast aluminum brush deck with a curved squeegee. But it also has a 28in cleaning width, allowing for spotless floors in your smaller areas.

## **Features:**

- Variable speed traction control
- Improves working efficiency due to oversized 24 gal solution tank
- State-of-the-art, user friendly touch panel control system
- Cast aluminum brush deck and curved squeegee
- Variable pad pressure up to 119lb
- No tools needed for changing squeegee blades
- Delayed vacuum motor shut off
- Emergency shutdown system
- Working efficiency up to 31,000 square feet/hour
- 25A On-board charger
- Two 13" Pad holders included

## **List Price with Lead Acid Batteries:**

\$ 11,119 USD

## **List Price with AGM Maintenance Free Batteries:**

\$ 12,251 USD

## **List price with Lithium battery and software included:**

\$ 16,753 USD

[Brochure](#)

[Operator Manual](#)


[Request Info](#)

[Parts](#)

[Product Maintenance](#)



REAL PROPERTY STATUS REPORT SF-429  
(COVER PAGE)

Page		of	Pages
1		2	
1. Federal Agency and Organizational Element to Which Report is Submitted		2. Federal Grant(s) or Other Identifying Number(s) Assigned by Federal Agency(ies)	
HHS - ADMINISTRATION FOR CHILDREN AND FAMILIES		06CH010172-03	
3. Recipient Organization (name and complete address including zip code)			
West Orange-Cove Consolidated ISD - 801 Cordrey St, Orange, TX 77630			
4a. DUNS Number	4b. EIN	5. Recipient Account or Identifying Number	6. Contact Person for this Report
825391659	1746001837A1		Name: Vickie Price
			Phone: 409-882-5434
			Email: <a href="mailto:vipr@woccisd.net">vipr@woccisd.net</a>
			Fax: 409-882-5449
7. Report End Date (MM/DD/YYYY)			
8. Real Property Status Report - Attachments (check the applicable block(s))			
<input type="checkbox"/> Attachment A (General Reporting) attached			
<input checked="" type="checkbox"/> Attachment B (Request to Acquire, Improve or Furnish) attached			
<input type="checkbox"/> Attachment C (Disposition Request) attached			
9. Comments (attach additional sheets if necessary):			
10. Certification: I certify to the best of my knowledge and belief that all information presented in this report is true, correct and complete and constitutes a material representation of fact upon which the Federal government may rely.			
11a. Typed or Printed Name and Title of Authorized Certifying Official		11c. Telephone (area code, number, extension)	
Rickie Harris		409-882-5601	
11b. Signature of Authorized Certifying Official		11d. Email Address	
		<a href="mailto:riha@woccisd.net">riha@woccisd.net</a>	
		11e. Date Report Submitted (MM/DD/YYYY)	
12. Agency use only			

Real Property Status Report  
ATTACHMENT B  
(Request to Acquire, Improve or Furnish) SF-429-B

Federal Grant or Other Identifying Number Assigned by Federal Agency (#2 on cover page) 06CH010172-05 Page 2 of 2 Pages

Complete the applicable blocks below for each parcel of real property for which you are requesting to acquire, improve, or furnish (duplicate this page to provide information for each parcel of real property under the Federal financial assistance award identified in section 2)

13a. Description of Real Property

2012 Chevrolet Tahoe and 2015 Chevrolet Equinox

13b. Address of Real Property (legal description and complete address including zoning information)

Tract 14-N Block M Sheldon survey B Cordrey and 8th St. Facility address is 801 Cordrey Orange, Texas 77630

14a. Describe the intended use of the real property and how it will benefit the program (attach additional sheets if necessary)

Provide transportation to staff for professional development purposes and to parents for attendance to events

14b. Proposed Real Property Ownership Type(s)

☒ A. Owned ☐ B. Co-Owned ☐ C. Fee Simple ☐ D. Corporate ☐ E. Joint Tenancy ☐ F. Partnership ☐ G. Limited Liability Partnership ☐ H. Co-Operative ☐ I. Government Furnished Property ☐ J. Other (Describe)

14c. Proposed Acquisition Date (MM/DD/YYYY)

09/01/2018

14d. Land Acreage or Square Units

Enter Amount

Select Units ☐ Acres ☐ Square Feet ☐ Square Kilometers ☐ Square Meters

14e. Gross and Usable Square Footage (Meters (e.g. of building, house, etc.))

Enter Amount(s) Gross Usable  
Select Units ☐ Square Feet ☐ Square Meters

14f. Appraised Value (Valuation)

\$ Share Percentage %

Federal Share \$ 35,184.00 (100.00%)

Non-Federal Share \$ %

Total (sum of Federal and Non-Federal Share) \$ 35,184.00 (100.00%)

14g. Are there any Uniform Relocation Act (URA) requirements applicable to this real property? ☐ Yes ☒ No

14h. Are there any environmental compliance requirements related to the real property? ☐ Yes ☒ No

If yes, describe them (attach additional sheets if necessary)

1. In accordance with the National Historic Preservation Act (NHPA), does the property possess historic significance, and/or is it listed or eligible for listing in the National Register of Historic Places? ☐ Yes ☒ No

If yes, describe them (attach additional sheets if necessary)

14. Does the proposed action employ green/sustainable practices (check all that apply)?

A ☐ Integrated Design Principles?

B ☐ Enhances Indoor Environmental Quality?

C ☐ Protects and Conserves Water (anticipated water reduction)?

D ☐ Reduces Environmental Impact of Materials?

E ☐ Optimizes Energy Performance (anticipated energy reduction)?

14i. What was the cumulative energy consumption for the facility in the past 12 months?

A. Electric (kWh) \_\_\_\_\_ or (Btu) \_\_\_\_\_ B. Petroleum (Gal) \_\_\_\_\_ C. Natural Gas (cu ft) \_\_\_\_\_ D. Other (Specify) \_\_\_\_\_

14. What is the anticipated cumulative energy use for the 12 months following completion of the proposed acquisition/construction/renovation project?

A. Electric (kWh) \_\_\_\_\_ or (Btu) \_\_\_\_\_ B. Petroleum (Gal) \_\_\_\_\_ C. Natural Gas (cu ft) \_\_\_\_\_ D. Other (Specify) \_\_\_\_\_

15. Remarks (attach additional sheets if necessary)

The contribution made for non-federal share is all vehicle insurance is covered by West Orange-Cove Consolidated ISD on behalf of the Head Start Program

Attachment B (Request to Acquire, Improve or Furnish) to Real Property Status Report SF-429

## Detailed Pricing

Price

**\$25,553**

Check Availability

Sample Payment<sup>2</sup>: **\$454 /mo**

60 Months @ 6.9% A.P.R. (estimated financing rate) (\$2,555 Down Payment)

[Calculate another payment<sup>2</sup>](#)



[Save This Car!](#)

[Get Price Alerts](#)

17 mpg

EPA-Est City MPG<sup>6</sup>

24 mpg

EPA-Est Highway MPG<sup>6</sup>

3.5L 6-Cylinder SMPI DOHC  
6-SPEED AUTOMATIC WITH  
SELECT-SHIFT  
FWD

Exterior Color : Blue  
Interior Color : MEDIUM LIGHT  
CAMEL

Mileage : 9,732 Miles

Stock # : P1979

VIN : 1FM5K7D87HGC51623

## Next Steps

[Schedule a Test Drive](#)

[Request More Info](#)

[Window Sticker](#)

[Value Your Trade<sup>5</sup>](#)

[Apply For Credit](#)

[Certified Program Overview](#)

Call **888-691-3406**



## Launch HD Experience

Photos Video

Picture may not represent actual vehicle.<sup>8</sup>



## Detailed Specifications

### FUNCTIONAL

4.2 LCD CTR	AM/FM BRAKES, SINGLE 4- CD/MP3/ <del>6 SPEAKERS</del> DISC/ABS	STACK CURVE HILL SCREENCONTROL ASSIST	INTELLIGENT ACCESSSTART W/PUSH
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### INTERIOR

1TOUCH2ND UP/DOWN 60/40	3RD ROW -	DR/PASS FOLD WIN FLAT	50/50 FOLD FLAT	CARPETED FLOOR SEATING MATS CRUISE CONTROL SURFACES
-------------------------------	-----------------	--------------------------	-----------------------	--



Year Make Model Trim

## PRE-OWNED 2011 CHEVROLET SUBURBAN 2WD 1500 LTZ

MARKET PRICE **\$19,290**

[See Important Disclosures Here](#)

[Home](#) > [Used](#) > [2011](#) > [Chevrolet](#) > [Suburban](#) > [2WD 1500 LTZ](#)



### Hi-res still images

Browse our gallery of 14 detailed photos that highlight the specific features and options of this vehicle.

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**Application for Federal Assistance SF-424****\* 1. Type of Submission:**

- ☐ Preapplication  
☒ Application  
☐ Changed/Corrected Application

**\* 2. Type of Application:**

- ☐ New  
☐ Continuation  
☒ Revision

**\* If Revision, select appropriate letter(s):**

Increase Award

**\* Other (Specify):****\* 3. Date Received:**

03/29/2019

**4. Applicant Identifier:**

06CH010172

**5a. Federal Entity Identifier:**

N/A

**5b. Federal Award Identifier:**

06CH010172

**State Use Only:****6. Date Received by State:****7. State Application Identifier:****8. APPLICANT INFORMATION:****\* a. Legal Name:**

WEST ORANGE-COVE CONSOLIDATED INDEPENDENT SCHOOL

**\* b. Employer/Taxpayer Identification Number (EIN/TIN):**

74-6001837

**\* c. Organizational DUNS:**

825391659

**d. Address:****\* Street1:**

801 Cordrey St

**Street2:****\* City:**

Orange

**County/Parish:**

Orange County

**\* State:**

TX: Texas

**Province:****\* Country:**

USA: UNITED STATES

**\* Zip / Postal Code:**

77630-3420

**e. Organizational Unit:****Department Name:****Division Name:****f. Name and contact information of person to be contacted on matters involving this application:****Prefix:**

Mrs.

**\* First Name:**

Vickie

**Middle Name:****\* Last Name:**

Price

**Suffix:****Title:**

Director / Principal

**Organizational Affiliation:****\* Telephone Number:**

(409) 882-5434

**Fax Number:**

(409) 882-5449

**\* Email:**

vipr@woccisd.net

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

Independent School District

**Type of Applicant 2: Select Applicant Type:**

**Type of Applicant 3: Select Applicant Type:**

**\* Other (specify):**

**\* 10. Name of Federal Agency:**

ACF-Head Start

**11. Catalog of Federal Domestic Assistance Number:**

93.600

**CFDA Title:**

Head Start

**\* 12. Funding Opportunity Number:**

eGrants-N/A

**\* Title:**

N/A

**13. Competition Identification Number:**

Not Applicable

**Title:**

Not Applicable

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Orange County: Cities of Orange, West Orange

**\* 15. Descriptive Title of Applicant's Project:**

Head Start

Attach supporting documents as specified in agency instructions.

**Application for Federal Assistance SF-424****16. Congressional Districts Of:**

\* a. Applicant

TX-036

b. Program/Project

TX-036

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:

08/01/2019

\* b. End Date:

07/31/2020

**18. Estimated Funding (\$):**

\* a. Federal

249,019

\* b. Applicant

62,255

\* c. State

\* d. Local

\* e. Other

0

\* f. Program Income

\* g. TOTAL

311,274

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☒ c. Program is not covered by E.O. 12372.**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ \*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:

Mrs.

\* First Name:

Ruth

Middle Name:

\* Last Name:

Hancock

Suffix:

\* Title:

Board of Trustees President

\* Telephone Number:

(409) 882-5601

Fax Number:

(409) 882-5467

\* Email:

rhan@woccisd.net

\* Signature of Authorized Representative:

/s signed \*/ Ruth Hancock

\* Date Signed:

03/29/2019

# BUDGET INFORMATION - Non-Construction Programs

OMB Number: 4040-0006  
Expiration Date: 01/31/2019

## SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Head Start: Program Operations	93.600	\$	\$	\$ 249,019	\$ 62,255	\$ 311,274
2. Head Start: TTA	93.600			0	0	0
3.						
4.						
5. Totals		\$ 0	\$ 0	\$ 249,019	\$ 62,255	\$ 311,274



# SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) Head Start: Program Operations	(2) Head Start: TTA	(3)	(4)	
a. Personnel	\$ 0	\$ 0	\$	\$	\$ 0
b. Fringe Benefits	0	0			0
c. Travel	0	0			0
d. Equipment	52,251	0			52,251
e. Supplies	0	0			0
f. Contractual	0	0			0
g. Construction	0	0			0
h. Other	196,768	0			196,768
i. Total Direct Charges (sum of 6a-6h)	249,019	0			\$ 249,019
j. Indirect Charges	0	0			\$ 0
k. TOTALS (sum of 6i and 6j)	\$ 249,019	\$ 0	\$	\$	\$ 249,019
7. Program Income	\$	\$	\$	\$	\$

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Standard Form 424A (Rev. 7-97)  
Prescribed by OMB (Circular A -102) Page 1A

SECTION C - NON-FEDERAL RESOURCES				
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. Head Start: Program Operations	\$ 62,255	\$	\$	\$ 62,255
9. Head Start: TTA				0
10.				
11.				
12. TOTAL (sum of lines 8-11)	\$ 62,255	\$ 0	\$ 0	\$ 62,255

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 249,019	\$ 62,254	\$ 62,255	\$ 62,255	\$ 62,255
14. Non-Federal	\$ 62,255	15,563	15,564	15,564	15,564
15. TOTAL (sum of lines 13 and 14)	\$ 311,274	\$ 77,817	\$ 77,819	\$ 77,819	\$ 77,819

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT				
(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.	\$	\$	\$	\$
17.				
18.				
19.				
20. TOTAL (sum of lines 16 - 19)	\$	\$	\$	\$

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges:	22. Indirect Charges:
23. Remarks:	

**U.S. DEPARTMENT OF HEALTH AND HUMAN  
SERVICES COMPENDIUM OF REQUIRED  
CERTIFICATIONS AND ASSURANCES**

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**Office of Head Start**

Updated July 29, 2014

# U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES COMPENDIUM OF REQUIRED CERTIFICATIONS AND ASSURANCE

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# U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES COMPENDIUM OF REQUIRED CERTIFICATIONS AND ASSURANCE

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## SF424B Assurances – Non-Construction Programs

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

## U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES COMPENDIUM OF REQUIRED CERTIFICATIONS AND ASSURANCE

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9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

# U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES COMPENDIUM OF REQUIRED CERTIFICATIONS AND ASSURANCE

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## Certification Regarding Lobbying

### Certification for Contracts, Grants, Loans, and Cooperative Agreements

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form- LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### Statement for Loan Guarantees and Loan Insurance

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### Regarding Compliance with Compensation Cap (Level II of the Executive Schedule)

Federal funds will not be used to pay any part of the compensation of an individual employed by a Head Start and/or Early Head Start agency if that individual's compensation exceeds the rate payable for Level II of the Executive Schedule.

# U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES COMPENDIUM OF REQUIRED CERTIFICATIONS AND ASSURANCE

## Certification of Filing and Payment of Federal Taxes

As required by the Departments of Labor, Health and Human Services, and Education and Related Agencies Appropriation Act, 2008 (Public Law 110-161, Division G, Title V, section 523), as a prospective financial assistance recipient entering into a grant or cooperative agreement of more than \$5,000,000, I, as the duly authorized representative of the applicant, do hereby certify to the best of my knowledge and belief, that:

1. The applicant has filed all Federal tax returns required during the three years preceding this certification
2. The applicant has not been convicted of a criminal offense pursuant to the Internal Revenue Code of 1986 (U.S. Code - Title 26, Internal Revenue Code)
3. The applicant has not, more than 90 days prior to this certification, been notified of any unpaid Federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

## Submission Statement

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

☒ \*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

### Authorized Representative:

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

\* Submitted by:  Date Submitted: