

**RESOLUTION
ADOPTING AUTHORIZED BROKER/DEALER LIST**

WHEREAS, the Public Funds Investment Act (Texas Government Code, Chapter 2256) governs local government investment; and

WHEREAS, the Public Fund Investment Act (Section 2256.025) requires the governing body or its designated investment committee, no less than annually, to review, revise, and adopt a list of qualified broker/dealers authorized to engage in investment transactions; and

WHEREAS, the following broker/dealers are recommended for approval:

NOW, THEREFORE, BE IT RESOLVED that:

Broker/Dealers	
Bank of America/Merrill Lynch	Morgan Stanley
BOK Financial	Moreton Capital Markets
BNY Capital Markets*	Multi-Bank Securities*
Cantor Fitzgerald	Nomura Securities*
CastleOak Securities*	Oppenheimer
FHN Financial	Piper Sandler & Co.
Goldman Sachs & Co.*	Raymond James
Hilltop Securities*	RBC Capital Markets
InspereX (formerly InCapital)	Robert W. Baird
Jefferies	Siebert Williams Shank
JP Morgan	Stifel Nicolaus
Keybank Capital Markets*	SunTrust Robinson Humphrey*
Loop Capital	StoneX Group
MarketAxess Corporation*	UBS Financial
Mizuho Securities	Wells Fargo

are authorized as broker/dealers for the District. (* New Broker for 2022-23)

In accordance with the Act and the Investment Policy, a copy of the Investment Policy will be sent to each broker/dealer on the list whenever a material change is made to the Policy, and each broker/dealer will be required to provide written certification of their review of the Investment Policy.

Any qualified Texas bank used for time or demand deposits may be approved by the investment officers as identified through the competitive process without Board action.

The College has complied with the requirements of the Public Funds Investment Act, and the list of authorized broker/dealers is hereby adopted.

PASSED, ADOPTED, AND APPROVED by the Board the 28th day of June 2022.

Board Secretary

Board Treasurer