

**RESOLUTION
ADOPTING AUTHORIZED BROKER/DEALER LIST**

WHEREAS, the Public Funds Investment Act (Texas Government Code, Chapter 2256) governs local government investment; and

WHEREAS, the Public Fund Investment Act (Section 2256.025) requires the governing body or its designated investment committee, no less than annually, to review, revise and adopt a list of qualified broker/dealers authorized to engage in investment transactions; and

WHEREAS, the following broker/dealers are recommended for approval:

Broker/Dealer List		
Academy Securities*	Hilltop Securities	Raymond James
Bank of America/Merrill Lynch	Huntington Bank*	RBC Securities
Barclays Capital Inc.*	InspereX (formerly InCapital)	Rice Financial*
Blaylock Van, LLC*	Jefferies	Robert W. Baird
BMO Capital Markets*	JPMorgan Securities	Santander US Capital Markets*
BNY Capital Markets	Keybanc Capital Markets	Siebert Williams Shank
BOK Financial	Loop Capital Markets	Stephens Inc*
Brean Capital*	MarketAxess Corporation	Stifel Nicolaus
Cantor Fitzgerald & Co.	Mizuho Securities	StoneX Group Inc.
CastleOak Securities	Moreton Capital Markets	TD Securities*
D.A. Davidson*	Morgan Stanley	TRUIST Securities Inc.
Daiwa Capital Markets*	Multi-Bank Securities	(formally Suntrust)
FHN Financial	Oppenheimer	UBS Financial
Goldman Sachs & Co.	Piper Sandler & Co	UMB Financial Services*
Great Pacific*	PNC Capital Markets LLC*	Wells Fargo

NOW, THEREFORE, BE IT RESOLVED that the companies on the list provided are authorized as broker/dealers for the District. (* New Broker for 2023-24)

In accordance with the Act and the Investment Policy, a copy of the Investment Policy will be sent to each broker/dealer on the list whenever a material change is made to the Policy, and each broker/dealer will be required to provide written certification of their review of the Investment Policy.

Any qualified Texas bank used for time or demand deposits may be approved by the investment officers as identified through the competitive process without Board action.

The College has complied with the requirements of the Public Funds Investment Act, and the list of authorized broker/dealers is hereby adopted.

PASSED, ADOPTED, AND APPROVED by the Board the 27th day of June 2023.

Board Secretary

Board Treasurer