

Date of Board Meeting: December 9, 2025

Subject: Qualified Investment Brokers

Recommendation: Adopt attached **REVISED** list of qualified brokers previously approved by the Board of Trustees on October 24, 2025, adding TexPool Participant Services to the list of those authorized to engage in investment transactions with Wharton County Junior College.

Background and Rationale:

Section 2256.025 of the Public Funds Investment Act requires the governing body of an Entity subject to the Public Funds Investment Act to review, revise, and adopt a list of qualified brokers that are authorized to engage in investment transactions with the entity. On September 9, 2025, the qualified brokers were emailed the Investment Policy for Wharton County Junior College District along with a Certification page to be signed and returned. The attached list of brokers have all received and have returned a certification that they have reviewed and have implemented reasonable procedures and controls in an effort to preclude imprudent investment activities arising out of investment transactions between WCJC and the broker.

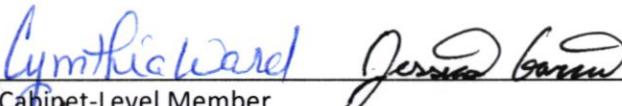
Budgetary Implications: N/A

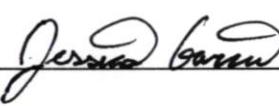
Strategic Priority Alignment: Student Success Community Impact
 Resource Optimization Institutional Excellence

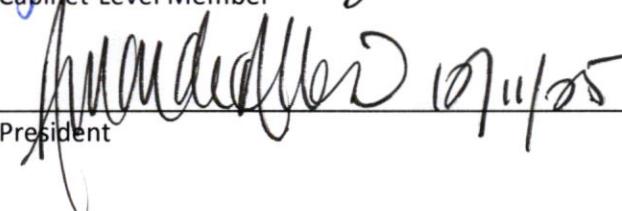
Resource Personnel:

Cynthia Ward, AVP of Financial Operations/Controller
Jessica Garcia, Assistant Controller

Approval:


Cynthia Ward
Cabinet-Level Member


Jessica Garcia


President
10/11/25

WHARTON COUNTY JUNIOR COLLEGE
INVESTMENT BROKER LIST
December 9, 2025

FHN Financial Capital Markets
John Buddy" Saragusa, Jr.
401 RR 620 S, #350
Austin, TX 78734

Buddy.Saragusa@fhnfinancial.com
Kara.Galloway@fhnfinancial.com
713-435-4475 (o)
817-471-7646 (c)

Frost Bank Capital Markets
P.O. Box 1600
100 West Houston St.
San Antonio, TX 78296-1400

cody.hundley@frostbank.com
javier.vielma@frostbank.com
800-438-4891 ext 56156 (o)
210-220-6156 (c)

Hilltop Securities, Inc.
Mr. Rob Nash
1201 Elm St., Ste. 3500
Dallas, TX 75270-2180

Walt.Parrish@hilltopsecurities.com
Cory.Clark@hilltopsecurities.com
214-859-6617 (o)
214-225-6979 (c)

Raymond James
Kathryn Perry
1130 N. Carroll Ave., Ste. 100
Southlake, TX 76092

Kathryn.perry@RaymondJames.com
800-564-0595 (o) 901-531-3387 (o)
901-210-5823 (c)

The Independent Banker's Bank
Mr. Bruce Taylor
11701 Luna Rd.
Farmers Branch, TX 75234

BTaylor@tib.bank
972-444-3652 (o)
214-335-5187 (c)

TexPool Participant Services
Meljamelyn (Mel) Stevenson
1001 Texas Avenue, Ste. #1400
Houston, TX 77002

meljamelyn.stevenson@federatedhermes.com
866-839-7665 (o)