



Board of Trustees Agenda Item

Meeting Date: June 8, 2026

Agenda Item: Act on approval of qualified investment brokers

Item Type:

- New
- Update/Revision
- Continuation/Renewal
- Mandated Action

Item Purpose:

- Information
- Recognition
- Student Learning & Support
- District Business & Operations
- Board Policy & Compliance
- Other _____

Submitted By:

Kimberly Smith

Background Information:

Policy CDA (Legal) requires the Board to, at least annually, review, revise, and adopt a list of qualified brokers that are authorized to engage in investment transactions with a district. The attached broker/dealer list has been vetted and approved by Hilltop Securities Asset Management Group (HSAM), which is engaged as the District's asset manager. All brokers included on the list meet the criteria necessary to engage in investment transactions with public school districts.

Budget Consideration:

Expenditure Amount:

N/A

Expenditure Type:

- Recurring
- One-Time
- Budgeted
- Unbudgeted
- N/A

Funding Source:

- General Fund
- Child Nutrition Fund
- Capital Fund
- Grant Fund
- Other: _____
- N/A

Budget Owner: N/A

Supporting Documentation:

HSAM Approved Broker-Dealers-Apr2026.pdf

Recommendation:

We recommend a motion to approve the list of authorized brokers as presented.

Comments: