

227 N. Fourth Street  
Geneva, Illinois 60134  
630/463-3000  
630/463-3020  
Fax: 630/463-3029



**Scott K. Ney**  
Director, Facility Operations

**Community Unit  
School District 304**

TO: Dr. Kent Mutchler

FROM: Scott Ney

RE: 2019-20 Direct Digital Controls (DDC) Upgrade at MCS – Johnson Controls, Inc. - **Pay Request #2 & #3**

DATE: August 29, 2019

Attached please find the Application for Payment #2 & #3 from Johnson Controls, Inc.

Johnson Controls, Inc.'s Application for Payment #2 of \$117,706.00 & #3 of \$15,000.00 are the second & third payments for the Direct Digital Controls (DDC) upgrades at Mill Creek Elementary School.

# APPLICATION AND CERTIFICATION FOR PAYMENT

AIA DOCUMENT G702

TO OWNER: SCHOOL DISTRICT 304 GENEVA PROJECT: SD 304 Mill Creek Retrofit  
 227 N FOURTH ST PROJECT MGR: CHAN, PAUL L  
 GENEVA, IL 60134

APPLICATION NO: 2 FEDERAL ID: 39-0380010  
 PERIOD TO: 30-Jun-19  
 INVOICE NO: 00042471448  
 INVOICE DATE: 27-Jun-19  
 PROJECT NOS: 9N010461  
 P.O.NO: JCI Proposal  
 CONTRACT DATE 25-Apr-19

Distribution to:  
 OWNER  
 ARCHITECT  
 CONTRACTOR

FROM CONTRACTOR: Johnson Controls, Inc.  
 3007 Malmo Drive  
 0N01 Chicago/Rockford, IL Common Branch  
 CONTRACT FOR: control systems

VIA ARCHITECT:  
 REMIT TO: Johnson Controls  
 PO Box 730068  
 Dallas, TX 75373

## CONTRACTOR'S APPLICATION FOR PAYMENT


Application is made for payment, as shown below, in connection with the Contract. Continuation Sheet, AIA Document G703, is attached.

1. ORIGINAL CONTRACT SUM \$ 228,029
2. Net change by Change Orders \$ 0
3. CONTRACT SUM TO DATE (Line 1 + 2) \$ 228,029
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) \$ 172,418
5. RETAINAGE:
  - a. 0 % of Completed Work \$ 0
  - b. 0 % of Stored Material \$ 0
  - Total Retainage (Lines 5a + 5b or (Column D + E on G703))
6. TOTAL EARNED LESS RETAINAGE \$ 0
- (Line 4 Less Line 5 Total) \$ 172,418
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate) \$ 54,712
8. CURRENT PAYMENT DUE \$ 117,706
9. BALANCE TO FINISH, INCLUDING RETAINAGE \$ 0
- (Line 3 less Line 6) \$ 55,611

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$0	\$0
Total approved this Month	\$0	\$0
TOTALS	\$0	\$0
NET CHANGES by Change Order	\$0	\$0

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: Johnson Controls, Inc.


By:  County of: DeWitt State of Illinois  
 KATHLEEN S GRABOWSKI  
 My Commission Expires Jun 20, 2021

## ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising the application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED ..... \$ 117,706

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

ARCHITECT:  Date: 7/29/19  
 This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

# CONTINUATION SHEET

## AIA DOCUMENT G703

AIA Document G703, APPLICATION AND CERTIFICATION FOR PAYMENT, containing JCI PROJECT NO: 9N010461 APPLICATION NO: 2  
 Contractor's signed certification is attached. JCI INVOICE NO: 00042471448 APPLICATION DATE: 27-Jun-19  
 In tabulations below, amounts are stated to the nearest dollar. PERIOD TO: 30-Jun-19  
 Use Column I on Contracts where variable retainage for line items may apply. CHAN, PAUL L ARCHITECT'S PROJECT NO:

A ITEM NO.	B DESCRIPTION OF WORK	C SCHEDULED VALUE	D WORK COMPLETED		E THIS PERIOD	F MATERIALS PRESENTLY STORED (NOT IN D O R E)	G TOTAL COMPLETED AND STORED TO DATE (D+E+F)	H BALANCE TO FINISH (C - G)	I RETAINAGE (IF VARIABLE RATE)
			FROM PREVIOUS APPLICATION (D + E)	THIS PERIOD					
1	Base Contract								
2	Mobilization/General Conditions	\$22,803	\$15,962	\$6,841	\$0	\$22,803	\$0	\$0	\$0
3	Engineering	\$10,000	\$9,000	\$1,000	\$0	\$10,000	\$0	\$0	\$0
4	Installation Labor	\$85,000	\$29,750	\$20,000	\$0	\$49,750	\$35,250	\$0	\$0
5	Material	\$87,548	\$0	\$87,548	\$0	\$87,548	\$0	\$0	\$0
6	Project Management	\$9,269	\$0	\$2,317	\$0	\$2,317	\$6,952	\$0	\$0
	Start Up/Commissioning	\$13,409	\$0	\$0	\$0	\$0	\$13,409	\$0	\$0
<b>GRAND TOTALS:</b>		\$228,029	\$54,712	\$117,706	\$0	\$172,418	\$55,611	76%	\$0

This document was produced under AIA Order No. 3773622474 which expires on 10/22/2019, and is not for resale.

**APPLICATION AND CERTIFICATION FOR PAYMENT**

AIA DOCUMENT G702

TO OWNER: SCHOOL DISTRICT 304 GENEVA PROJECT: SD 304 Mill Creek Retrofit  
 227 N FOURTH ST PROJECT MGR: JOHNSON, JEFFREY Alan  
 GENEVA, IL 60134

Distribution to:

OWNER  ARCHITECT  CONTRACTOR

APPLICATION NO: 3 FEDERAL ID: 39-0380010  
 PERIOD TO: 31-Jul-19  
 INVOICE NO: 00042558088  
 INVOICE DATE: 25-Jul-19  
 PROJECT NOS: 9N010461  
 P.O.NO: JCI Proposal  
 CONTRACT DATE: 25-Apr-19

FROM CONTRACTOR: Johnson Controls, Inc.  
 3007 Malmo Drive  
 0N01 Chicago/Rockford, IL Common Branch  
 Dallas, TX 75373  
 VIA ARCHITECT: Johnson Controls  
 PO Box 730068  
 Dallas, TX 75373  
 CONTRACT FOR: control systems

**CONTRACTOR'S APPLICATION FOR PAYMENT**

Application is made for payment, as shown below, in connection with the Contract. Continuation Sheet, AIA Document G703, is attached.

1. ORIGINAL CONTRACT SUM \$ 228,029
2. Net change by Change Orders \$ 0
3. CONTRACT SUM TO DATE (Line 1 + 2) \$ 228,029
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) \$ 187,418
5. RETAINAGE:
  - a. 0 % of Completed Work (Column D + E on G703) \$ 0
  - b. 0 % of Stored Material (Column F on G703) \$ 0
  - Total Retainage (Lines 5a + 5b or Total in Column I of G703) \$ 0
6. TOTAL EARNED LESS RETAINAGE (Line 4 Less Line 5 Total) \$ 187,418
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate) \$ 172,418
8. CURRENT PAYMENT DUE \$ 15,000
9. BALANCE TO FINISH, INCLUDING RETAINAGE (Line 3 less Line 6) \$ 40,611

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$0	\$0
Total approved this Month	\$0	\$0
TOTALS	\$0	\$0
NET CHANGES by Change Order	\$0	\$0

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: Johnson Controls, Inc.

By:  Date: 7/26/2019

State of: Illinois County of: KAT PUGH GRABOWSKI  
 Subscribed and sworn to before me this 26 day of July 2019  
 Notary Public:  My Commission Expires Jun 20, 2021

**ARCHITECT'S CERTIFICATE FOR PAYMENT**

In accordance with the Contract Documents, based on on-site observations and the data comprising the application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED ..... \$ 15,000

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

By:  Date: 7/29/19

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

This document was produced under AIA Order No. 3773622474 which expires on 10/22/2019, and is not for resale.

Please reference our invoice number and amount with your payment. Send only to the address on this invoice.

# CONTINUATION SHEET

## AIA DOCUMENT G703

AIA Document G703, APPLICATION AND CERTIFICATION FOR PAYMENT, containing JCI PROJECT NO: 9N010461 APPLICATION NO: 3  
 Contractor's signed certification is attached. APPLICATION DATE: 25-Jul-19  
 In tabulations below, amounts are stated to the nearest dollar. JCI INVOICE NO: 00042558088 PERIOD TO: 31-Jul-19  
 Use Column I on Contracts where variable retainage for line items may apply. JOHNSON, JEFFREY Alan ARCHITECT'S PROJECT NO:

A ITEM NO.	B DESCRIPTION OF WORK	C SCHEDULED VALUE	D WORK COMPLETED		E THIS PERIOD	F MATERIALS PRESENTLY STORED (NOT IN D OR E)	G TOTAL COMPLETED AND STORED TO DATE (D+E+F)	H BALANCE TO FINISH (C - G)	I RETAINAGE (IF VARIABLE RATE)
			FROM PREVIOUS APPLICATION (D + E)	THIS PERIOD					
1	Base Contract								
2	Mobilization/General Conditions	\$22,803	\$22,803	\$0	\$0	\$0	\$22,803	\$0	\$0
3	Engineering	\$10,000	\$10,000	\$0	\$0	\$0	\$10,000	\$0	\$0
4	Installation Labor	\$85,000	\$49,750	\$15,000	\$0	\$0	\$64,750	\$20,250	\$0
5	Material	\$87,548	\$87,548	\$0	\$0	\$0	\$87,548	\$0	\$0
6	Project Management	\$9,269	\$2,317	\$0	\$0	\$0	\$2,317	\$6,952	\$0
	Start Up/Commissioning	\$13,409	\$0	\$0	\$0	\$0	\$0	\$13,409	\$0
<b>GRAND TOTALS:</b>		\$228,029	\$172,418	\$15,000	\$0	\$187,418	\$40,611	\$0	\$0

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