

United Independent School District AGENDA ACTION ITEM

First Reading of LOCAL Polices in TASB Opdate 97
SUBMITTED BY: Gloria S. Rendon OF: Assistant Supt. For Administration
APPROVED FOR TRANSMITTAL TO SCHOOL BOARD:
DATE ASSIGNED FOR BOARD CONSIDERATION: August 21, 2013
RECOMMENDATION:
It is recommended that the United ISD Board of Trustees approve First Reading of LOCAL Polices in TASB Update 97.
DBAA(LOCAL): EMPLOYMENT REQUIREMENTS AND RESTRICTIONS - CRIMINAL HISTORY AND CREDIT REPORTS - NEW
$\label{eq:decal} DBE(LOCAL): EMPLOYMENT REQUIREMENTS \ AND \ RESTRICTIONS-NEPOTISM \ \textbf{-} \ \textbf{NEW}$
DC(LOCAL): EMPLOYMENT PRACTICES
DPB(LOCAL): PERSONNEL POSITIONS - SUBSTITUTE, TEMPORARY, AND PART-TIME POSITIONS - DELETE
GBBA(LOCAL): SCHOOL COMMUNICATIONS PROGRAM - NEWS MEDIA RELATIONS
RATIONALE:
BUDGETARY INFORMATION:
BOARD POLICY REFERENCE AND COMPLIANCE:

(LOCAL) Policy Comparison Packet

Each marked-up (LOCAL) policy in this collection reflects an automated comparison of the updated policy with its precursor, as found in the TASB Policy Service records.

The comparison is generated by an automated process that shows changes as follows.

- Deletions are shown in a red strike-through font: deleted text.
- Additions are shown in a blue, bold font: new text.
- Blocks of text that have been moved without alteration are shown in green, with
 double underline and double strike-through formatting to distinguish the text's
 destination from its origin: moved text becomes moved text.
- Revision bars appear in the right margin, as above.

While the annotation software competently identifies simple changes, large or complicated changes—as in an extensive rewrite—may be more difficult to follow.

For further assistance in understanding changes, please refer to the explanatory notes in your Localized Policy Manual update packet or contact your policy consultant.

DC (LOCAL)

PERSONNEL DUTIES

The Superintendent shall define the qualifications, duties, and responsibilities of all positions and shall ensure that job descriptions are current and accessible to employees and supervisors.

POSTING VACANCIES

The guidelines that follow for advertising employment opportunities and posting notices of vacancies shall advance the Board's commitment to equal opportunity employment and to recruiting well-qualified candidates. Current District employees are eligible to apply for any vacancy.

The continuing need to improve the educational quality of the District makes it imperative that the best qualified person, as determined by the District, fill each position. [See DC(LEGAL)]

APPLICATIONS

All applicants shall complete the application form supplied by the District. Information in applications for contractual positions shall be verified before a contract is offered, and information in applications for noncontractual positions shall be verified before hiring.

EMPLOYMENT OF CONTRACTUAL PERSONNEL

The Superintendent has sole authority to make recommendations to the Board regarding the selection of contractual personnel. If the Board rejects the Superintendent's recommendation, the Superintendent shall make alternative recommendations for the Board's consideration at the next regular Board meeting, unless otherwise directed by the Board.

The Board retains final authority for employment of contractual personnel who shall hold the position of principal, director, or above.

The Board delegates to the Superintendent final authority to hire all other contractual professional employees. [See DGA, DCB, DCC, and DGE as appropriate]

EMPLOYMENT OF NONCONTRACTUAL PERSONNEL

The Board delegates to the Superintendent final authority to employ and dismiss noncontractual employees on an at-will basis.

[See DCD]

APPLICANT'S FORMER EMPLOYMENT

An applicant seeking employment shall not be considered for employment by the District if he or she has been terminated or non-renewed by any former employer, was asked to resign, resigned due to employee misconduct, has negative references, or has a "de not hire" recommendation from a prior employer or supervisor. The only exceptions that the District may take into consideration are when an employer severed the employment relationship due to:

 A reason not caused or attributed to any misconduct by the employee, which said reason shall be documented by the previous employer, in writing. In the event that the previous

DC (LOCAL)

employer does not provide documentation, then third-party documentation (Texas Workforce Commission documentation) shall be considered. Documentation must be provided to the District prior to interviewing/hiring;

- 2. Lay-off due to the employer's economic conditions:
- A reduction in force; or
- 4. A termination that occurred prior to the age of 18.

CRIMINAL HISTORY
RECORD CHECK —
APPLICANTS

Under authority of the Education Code, the District shall obtain the criminal history record for each applicant for employment with the District who, in the opinion of the District, is a serious candidate and who may be offered a position.

The District may obtain the information from any law enforcement agency or other background agency, including, but not limited to, a police department, the Department of Public Safety, or the Texas Department of Corrections.

The District shall not issue any applicant a written contract of employment until it has obtained a criminal history record and reviewed it under the guidelines of this policy.

For the purposes of this policy, "conviction" shall include probation, deferred adjudication, a finding of guilt, or acceptance by the court of a plea of guilty or nolo contendere.

A person shall not be denied employment solely on the basis of the deferred adjudication. Rather, the underlying facts that led to the deferred adjudication shall be examined prior to any recommendation to employ.

CONFIDENTIALITY REQUIREMENT Criminal history information is privileged and is for the use of the District and the State Board for Educator Certification. No District employee shall release or disclose such information to a person other than the applicant, the legal review committee, or the Superintendent or designee, under penalty of law and/or discharge.

MORAL TURPITUDE

No one convicted of a felony or any misdemeanor involving moral turpitude in the past ten years shall be considered for employment in the District if the nature of the crime is directly related to the duties and responsibilities of the projected position. Moral turpitude is an act of baseness, vileness, or depravity in the private or social duties outside the accepted standards of decency that shocks the conscience of an ordinary person.

Examples of moral turpitude include, but are not limited to:

1. Public lewdness.

DC (LOCAL)

2. Theft.

3. Fraud.

No one currently on probation, including deferred adjudication probation, for any offense shall be considered for employment, unless allowed by the legal review committee, in accordance with provisions below.

ARRESTS, INDICTMENTS, CONVICTIONS, AND OTHER ADJUDICATIONS Conviction records shall constitute reliable evidence that a person engaged in the conduct alleged because the criminal justice system requires the highest degree of proof ("beyond a reasonable doubt") for a conviction. Therefore, excluding extraordinary circumstances, the District shall treat a conviction as proof of guilt.

Arrest records standing alone shall not be considered reliable evidence that a person has actually committed a crime. However, an arrest record may trigger an inquiry into whether the conduct underlying the arrest occurred and justifies denial of employment or an adverse employment action. The review committee shall examine the surrounding circumstances, offer the employee an opportunity to explain, and, if the employee denies engaging in the conduct, make the follow-up inquiries necessary to evaluate his or her credibility. The review committee is not required to conduct an informal trial or an extensive investigation to determine the employee's guilt or innocence. However, the review committee shall make simple inquiries that could shed light on the likelihood of the individual's guilt in committing the underlying offense.

<u>Deferred adjudication</u> involves an individual entering a plea of guilty or no contest, which the court finds to be substantiated by evidence of guilt. A grant of deferred adjudication shall be viewed as more indicative of a conviction. The review committee shall make inquiries into evidence substantiating guilt similar to the inquiries made when an arrest is reported.

Not guilty adjudications and withdrawn or dismissed charges indicate that a court, a jury, or a law enforcement authority did not have sufficient proof beyond a reasonable doubt, which may or may not be indicative of guilt. The employee shall be asked to explain, in writing, the circumstances and must provide a certified copy of the court paperwork showing the final disposition of every charge. The review committee may make additional inquiries into evidence substantiating guilt.

FELONY

A felony is an offense designated as such in the Texas Penal Code. If an employee was convicted of a felony, the District must determine if the underlying offense relates to the duties and responsibilities of the position or if the conviction was for a non-reviewable fel-

DC (LOCAL)

ony. An employee may continue to be eligible for employment in the District if a felony conviction does not relate to the duties and responsibilities of the employee's position and was not for a nonreviewable offense. If a felony conviction does relate to the duties and responsibilities of the employee's position, then the review committee must consider the likelihood of recurrence of the employee's criminal behavior. A determination by the review committee that the employee's behavior shall not recur makes the employee eligible for continued employment; a finding to the contrary makes the employee ineligible for continued employment.

CLASS A AND CLASS B MISDEMEANORS

Class A and Class B misdemeanors are those offenses designated as such in the Texas Penal Code. An employee may be eligible for continued employment if the conviction for a Class A or Class B misdemeanor is not related to duties and responsibilities of the employee's position. If the conviction does relate to the duties and responsibilities of the employee's position, the employee is ineligible for continued employment in the District if the review committee determines there is a high degree of likelihood for the recurrence of the behavior.

CLASS C MISDEMEANORS

Class C misdemeanors are those offenses designated as such in the Texas-Penal Code. The District may not employ anyone convicted of a Class C misdemeanor involving moral turpitude, if the nature of the crime is directly related to the duties and responsibilities of the projected position. If convicted of a Class C misdemeanor that does not involve moral turpitude, the employee is ineligible for continued employment in the District if the review committee determines there is a high degree of likelihood for recurrence of the behavior and if the nature of the crime is directly related to the duties and responsibilities of the projected position.

MULTIPLE OFFENSES

An employee with multiple offenses that individually do not make him or her ineligible for employment may be deemed ineligible for continued employment when repetitious criminal behavior indicates a high degree of likelihood for recurrence of the behavior and if the nature of the crimes is directly related to the duties and responsibilities of the projected position.

UNLISTED CRIMINAL HISTORY

If a criminal history record does not list an event reported by the employee, he or she shall be asked to explain, in writing, the circumstances for each reported incident. A certified copy of pertinent court paperwork showing final disposition of the charge must be included. The review committee may make additional inquiries.

NONDISCLOSURE

An employee who has successfully completed a deferred adjudication and who has succeeded in having the criminal court grant an order of nondisclosure may thereafter deny the occurrence of the arrest and prosecution. Texas Gov't Code 552.142

DC (LOCAL)

JUVENILE RECORDS

An employee shall not be asked to disclose the contents of confidential juvenile records unless the employee was adjudicated as an adult.

LEGAL REVIEW COMMITTEE

The application of a person who has a criminal record that would preclude employment with the District using the criteria listed above shall be reviewed by the legal review committee as established by the District's human resources department. The school attorney shall serve in an advisory capacity.

The committee shall assess the records of potential employees. The legal review committee shall not employ persons whose criminal record within the past ten years includes a conviction, deferred adjudication, or plea of guilt, or nolo contendere for the offense of criminal homicide; capital murder; attempted murder; murder; voluntary manslaughter; improper relationship between educator and student; public lewdness involving a child or student; indecent exposure with a child or student; prohibited sexual conduct; child abuse; abandoning or endangering a child; sale or purchase of a child; child pornography; sexual solicitation of a child; obscenity; indecency with a child; injury to a child, an elderly person or disabled individual; kidnapping; sexual assault; rape; robbery; any felony where a deadly weapon was used or exhibited; or any felony related to the manufacture, delivery, or possession of marijuana, a controlled substance, or a dangerous drug, if such crimes relate to the projected position.

GOVERNING CRITERIA FOR EMPLOYMENT DECISIONS

The following criteria shall be used by the legal review committee in determining whether or not an applicant's criminal history bars him or her from employment:

- 1. The relationship and seriousness of the crime.
- The nature of the crime.
- The nature of the job position.
- 4. The extent to which employment might offer an opportunity to engage in further criminal activity of the same type as that in which the person had previously been involved.
- 5. The relationship of the crime to the ability, capacity, or fitness required to perform the duties and the responsibilities of the position with the District.
- The age of the person at the time of the commission of the crime.
- 7. The time elapsed since the person's last criminal activity.

- 8. The evidence of the person's rehabilitation or rehabilitative effort while incarcerated or following release.
- 9. If the person holds a professional license, the results of any action taken by the licensing authority.
- 10. The other evidence of the person's present fitness, including letter(s) of recommendation from:
 - Prosecutors or law enforcement or correctional officers involved in the prosecution, arrest, or custody of the person.
 - b. The law enforcement officers in the community where the person resides.
 - c. Any other persons in contact with the convicted person.
- 11. The individual's patterns of habitual criminal activity.
- 12. The publicity surrounding the actual crime.
- 13. The person's clear and present danger to other staff, students, or the general public.
- 14. Probable guilt or innocence.
- 15. The accuracy of the information the employee provided to the District.
- The potential impact of the conduct on the educational environment.
- 17. Any extenuating circumstances.

COMMITTEE
RECOMMENDATION

If, after applying the criteria outlined above, the legal review committee believes that the applicant should be considered for employment, the committee shall jointly sign a recommendation to the Superintendent or designee that such applicant is eligible for hire.

SUPERINTENDENT'S DECISION

If the Superintendent approves the recommendation, the applicant shall be considered eligible for hire.

FAILURE TO DISCLOSE INFORMATION ON APPLICATION

An applicant shall not be employed by the District if he or she fails to disclose information on the employment application or during questioning regarding any criminal conviction, crime, deferred adjudication, or similar type of decree, or if he or she misrepresents any information regarding such conviction, crime, or deferred adjudication, or similar type of decree.

CRIMINAL HISTORY
BACKGROUND CHECK
— EMPLOYEES

At least once annually, the District shall obtain the criminal history records of employees. The District may obtain this information from any county when it has reason to believe that an employee

DC (LOCAL)

has been convicted of a crime that is related to the employee's duties and responsibilities.

The District may suspend or terminate the employment of any person convicted of a felony or misdemeanor if the crime directly relates to the duties and responsibilities of the individual.

PENALTIES FOR FAILING TO DISCLOSE CRIMINAL INFORMATION The legal review committee shall assess the records of employees found to have criminal records that would bar them from employment with the District. The committee shall use the following guidelines to determine if an employee should be recommended for termination based on his or her criminal record:

- The omission by the employee to not disclose a prior criminal conviction that would bar him or her from employment when requested at the time of employment shall be presumed to be intentional. The District, however, shall have the burden of proof.
- If the employee was not asked to divulge any previous convictions as a prerequisite to employment, a recommendation for termination shall be based on the same criteria as required for applicants.
- If a conviction involving a felony or misdemeaner involving moral turpitude is discovered subsequent to employment, a recommendation for termination shall be based on the same criteria as required for applicants as found above.

An employee shall notify the District within three workdays if he or she is arrested, indicted, convicted, pleads no contest, enters a guilty plea, or is granted other adjudication for any criminal offense. This notification shall be made in writing to the employee's immediate supervisor or principal. Failure to make such notification may result in termination of employment.

Any employee placed on deferred adjudication may be recommended for termination based upon the underlying facts that led to the deferred adjudication if the facts are related to the employee's duties and responsibilities. For the purposes of any termination hearing, the facts to which the individual pleaded in order to obtain the deferred adjudication shall be presumed to exist and be true and correct.

The District may suspend or terminate the employment of any person convicted of a felony or misdemeanor if the crime directly relates to the duties and responsibilities of the individual.

DC (LOCAL)

An employee under felony indictment that relates to the employee's duties and responsibilities shall be recommended for suspension without pay pending adjudication of his or her case.

After notification of a proposed suspension without pay or employment termination, a contract employee may request a hearing in accordance with the DF series.

CONTRACTUAL VACANCIES

Subject to the provisions for campus-based administrative positions and Section 11.163 of the Texas Education Code, notice of vacancies for contractual positions shall be advertised by posting public notices for not fewer than ten school days. Posting shall be at the District's administrative offices, at each school campus, and on the District's Internet Web site. Each principal/director shall further ensure posting in locations available to employees. For purposes of retaining and promoting current employees, employment opportunities for all professional vacancies for principal/director and above shall be advertised in the District's human resources department, local and regional Web sites, and the District's administrative offices and school campuses.

The posting of vacancies for contractual positions shall include a current copy of the job description for the posted position. Any changes made to current job descriptions prior to posting must be approved by the Superintendent.

School employees shall be permitted to apply for any vacancy; however, the needs of the District, as determined by the District, shall prevail over any assignment or reassignment.

Interested candidates shall complete an application provided by the District and file it with appropriate documents in the office of human resources no later than the posted closing date in the announcement.

ADMINISTRATIVE VACANCIES: PRINCIPAL, DIRECTOR, AND ABOVE The following procedures shall be followed for administrative vacancies for principal, director, and above:

1. All applications shall be reviewed by the human resources department to ensure that all required materials have been submitted. Subject to the provisions for campus-based administrative positions and Section 11.163 of the Texas Education Code, notice of vacancies for contractual positions shall be advertised by posting public notices for not fewer than ten school days. Posting shall be at the District's administrative offices, at each school campus, and the District's Internet Web site, and with local and out-of-town news media as necessary. Each principal/director shall further ensure posting in locations available to employees.

DC (LOCAL)

- Selection of finalists: A committee comprised of central office and/or campus personnel shall prescreen qualified personnel. Once the applications have been prescreened, the committee shall interview selected candidates, and a list of finalists shall be established for the appropriate central office supervisor.
- Final selection: The appropriate central office supervisor shall conduct the interviews of finalists and make a recommendation to the Superintendent.
- 4. The Superintendent shall make recommendations to the Board until a recommendation is accepted.
- 5. Any exception to these provisions in employment must be specifically approved by the Board.

CAMPUS-BASED VACANCIES

The Superintendent shall develop an administrative regulation for the hiring of campus-based administrators and shall annually inform the Board of such procedure.

CLASSROOM TEACHER VACANCIES The following procedures shall be followed for classroom teacher vacancies:

- All applications shall be reviewed by the human resources department to ensure that the applicant meets the District's criteria.
- 2. Once the applicant meets the criteria, he or she shall become part of the District's pool of qualified applicants.
- 3. Final selection shall be conducted as follows:
 - An interview committee comprised of the campus principal, site-based decision-making (SBDM) committee representatives, and other appropriate persons shall interview from the District's pool of qualified applicants.
 - b. The interview committee shall recommend a list of finalists to the campus principal.
 - c. The principal shall make a recommendation to the Superintendent or designee.
 - If the vacancy is for the following academic year, the principal may approve a teacher transfer (intra- or intercampus) to fill the vacant position pursuant to DK(LOCAL).
- 4. When a teaching vacancy occurs for summer school classroom teaching positions, the human resources department shall review and determine the pool of qualified applicants from current District teachers for the specific vacancy. From

DC (LOCAL)

the pool of qualified applicants, the campus or summer school principal may proceed to interview candidates from the pool. If the principal chooses not to make a recommendation from the pool of qualified applicants, the vacancy shall be advertised according to established procedures, and a selection shall be made as detailed in this paragraph.

PARAPROFESSIONAL PARA PROFESSIONAL / AUXILIARY POSITIONS

Notice of vacancies for all paraprofessional/auxiliary positions shall be advertised for five working days by posting a public notice in the District's administrative offices and school campuses. Each principal/director shall further ensure posting in locations available to employees. When necessary, all employment opportunities shall periodically be advertised through local and out-of-town news media.

Current employees shall be permitted to apply for a vacancy; however, the needs of the District, as determined by the District, shall prevail over any assignment or reassignment.

Interested candidates shall complete an application provided by the District and file it with appropriate documents in the office of human resources no later than the posted closing date.

The following procedures shall be followed for paraprofessional/auxiliary vacancies:

- All applications shall be reviewed by the human resources department to ensure that the applicants meet the District's criteria.
- 2. Applicants who meet the District's criteria shall become part of the District's pool of qualified applicants.
- The campus principals and/or central office administrators shall interview from the District's pool of qualified applicants and make a recommendation to the human resources department.

DISTRICT SUPERVISION PROHIBITION

No person shall be assigned to a campus or department where a member of his or her own family who is related by blood (consanguinity) within the third degree, or by marriage (affinity) within the second degree serves as an administrator. The provision of this policy shall apply prospectively. [See DBE(LEGAL) and (EXHIBIT)] Adopted or foster relationships shall be treated as natural relationships. For the purposes of this policy, the term "administrator" shall not mean counselor, librarian, or coordinator.

APPLICATIONS

All applicants shall complete the application form supplied by the District. Information in applications for contractual positions shall

be verified before a contract is offered, and information in applications for noncontractual positions shall be verified before hiring.

[For information related to the evaluation of criminal history records, see DBAA.]

APPLICANT'S FORMER EMPLOYMENT

An applicant seeking employment shall not be considered for employment by the District if he or she has been terminated or non-renewed by any former employer, was asked to resign, resigned due to employee misconduct, has negative references, or has a "do not hire" recommendation from a prior employer or supervisor. The only exceptions that the District may take into consideration are when an employer severed the employment relationship due to:

- 1. A reason not caused or attributed to any misconduct by the employee, which said reason shall be documented by the previous employer, in writing. In the event that the previous employer does not provide documentation, then third-party documentation (Texas Workforce Commission documentation) shall be considered. Documentation must be provided to the District prior to interviewing/hiring:
- 2. Lay-off due to the employer's economic conditions:
- 3. A reduction in force; or
- 4. A termination that occurred prior to the age of 18.

EMPLOYMENT OF CONTRACTUAL PERSONNEL

The Superintendent has sole authority to make recommendations to the Board regarding the selection of contractual personnel. If the Board rejects the Superintendent's recommendation, the Superintendent shall make alternative recommendations for the Board's consideration at the next regular Board meeting, unless otherwise directed by the Board.

The Board retains final authority for employment of contractual personnel who shall hold the position of principal, director, or above.

The Board delegates to the Superintendent final authority to hire all other contractual professional employees. [See DCA, DCB, DCC, and DCE as appropriate]

EMPLOYMENT OF NONCONTRACTUAL PERSONNEL

The Board delegates to the Superintendent final authority to employ and dismiss noncontractual employees on an at-will basis.

[See DCD]

EXIT INTERVIEWS AND EXIT REPORTS

An exit interview shall be conducted, if possible, and an exit report shall be prepared for every employee who leaves employment with the District.

DATE ISSUED: **6/41/7**/2013 **UPDATE 97LDU 2012.09** DC(LOCAL)-X

ADOPTED:

11 of 11

SCHOOL COMMUNICATIONS PROGRAM NEWS MEDIA RELATIONS

GBBA (LOCAL)

NEWS

RELEASESMEDIA REQUESTS

The Board recognizes the responsibility of the news media to provide to the community accurate and timely information concerning the objectives, achievements, needs, and conditions of District schools and their educational programs.

DISTRICT

The Superintendent shall serve as the primary spokesperson for the District on all matters of **District-wide** interest. If the Superintendent is unavailable to the news media, the Board President shall serve as the spokesperson for the District.

Should the Superintendent and the Board President be unavailable, the District's attorneys shall serve as spokespersons for the District.

SCHOOL BOARD

The Board President shall serve as the primary spokesperson on behalf of the Board. Individual Trustees shall not speak on behalf of the entire Board unless designated by a majority of the Board to do so. A Trustee shall not be restricted from talking with the media so long as statements are clearly identified as individual opinions and not representative of other Trustees or the entire Board.

BOARD MEETINGS

District officials shall cooperate with news media to ensure complete and accurate coverage of all Board meetings.

NEWS RELEASES

News releases of a political or controversial nature, as well as those concerning the overall operation of District schools, or that involve more than one campus shall be made only by the Superintendent or designee.

OtherRoutine news releases concerning athletic events, programs, and local campus activities shall be released to the news media by the public information officer.

BROADCAST OF SCHOOL EVENTS

The broadcast or telecast of school events shall be in accordance with UIL rules and shall not identify the schools with the promotion of any commercial or political enterprise. All such coverage shall be with the prior approval of the Superintendent or designee.

MEDIA ACTIVITIES
SHALL NOT BE
ALLOWED TO
DISTURB OR
DISRUPT THE
INSTRUCTIONAL
PROGRAM OF THE
SCHOOLS:SCHOOL

The Board President shall serve as the primary spokesperson on behalf of the Board. Individual Board members shall not speak on behalf of the entire Board unless designated by a majority of the Board to do so. A Board member shall not be restricted from talking with the media so long as statements are clearly identified as individual opinions and not representative of other Board members or the entire Board.

COMMONICATIONS DURING A CRISIS

In any crisis situation affecting the District or an individual campus, the Superintendent shall be the official District spokesperson and shall be responsible for all communication with the news media.

DATE ISSUED: 6/4/20131/14/2010

UPDATE 97LDU 2009.12 GBBA(LOCAL)-X ADOPTED:

1 of 1