



**Hilltop**Securities

Asset Management.

**Approved Broker/Dealers**

**April 2026**

Academy Securities

**BofA Securities**

BOK Financial

Brean Capital

**Daiwa Capital Markets**

FHN Financial

**Goldman, Sachs & Co**

Great Pacific Securities

**JP Morgan Securities**

KeyBanc Capital Markets

Loop Capital Markets

Mischler Financial

**Mizuho Securities**

**Morgan Stanley & Co**

Multi-Bank Securities

**Nomura Securities**

Oppenheimer & Co

Piper Sandler & Co

Raymond James

**RBC Capital Markets**

Rice Financial

Siebert Williams Shank

SouthState Securities

Stifel Financial

StoneX

**TD Securities**

UMB Bank

**Wells Fargo Securities**

\* Bold face font indicates firm is a Primary Dealer.