

## ISDLAF+ Monthly Statement

Statement for the Account of:  
Geneva C.U.S.D. #304

(10385-116) GENERAL FUND

Statement Period  
Oct 1, 2020 to Oct 31, 2020

### ACTIVITY SUMMARY

#### INVESTMENT POOL SUMMARY

	LIQ	MAX
<b>Beginning Balance</b>	<b>\$526,002.72</b>	<b>\$43,221,873.78</b>
Dividends	\$15.96	\$1,645.24
Credits	\$9,282,401.45	\$687,585.89
Checks Paid	\$0.00	\$0.00
Other Debits	(\$9,800,000.00)	(\$5,580,000.00)
<b>Ending Balance</b>	<b>\$8,420.13</b>	<b>\$38,331,104.71</b>
Average Monthly Rate	0.02%	0.05%

PLEASE NOTE: THE FUND WILL BE CLOSED  
NOVEMBER 11TH AND NOVEMBER 26TH IN  
OBSERVANCE OF THE VETERANS DAY AND  
THANKSGIVING DAY HOLIDAYS

#### TOTAL LIQ AND MAX

**\$38,339,524.84**

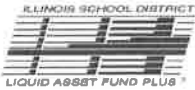
#### TOTAL FIXED INCOME

**\$27,283,888.81**

#### ACCOUNT TOTAL

**\$65,623,413.65**

**Geneva C.U.S.D. #304**  
Dean Romano  
227 N. FOURTH ST.  
GENEVA, IL 60134



**Geneva C.U.S.D. #304**

**Statement Period**

Oct 1, 2020 to Oct 31, 2020

**TRANSACTION ACTIVITY**

**BEGINNING BALANCE**

**\$526,002.72**

**LIQUID CLASS ACTIVITY**

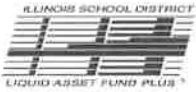
Transaction	Trade Date	Settle Date	Description	Redemption/Debit	Purchase/Credit	Share Price	Shares this Transaction
3019651	10/01/20	10/01/20	Phone Wire Redemption	(\$3,300,000.00)		\$1.00	(3,300,000.000)
3019653	10/01/20	10/01/20	Phone Exchange Purchase		\$3,100,000.00	\$1.00	3,100,000.000
3022394	10/08/20	10/08/20	Fund Purchase from FRI Maturity SEC - 45169 - BANK OF AMERICA NA		\$245,000.00	\$1.00	245,000.000
3022394	10/08/20	10/08/20	Fund Purchase from FRI Interest SEC - 45169 - BANK OF AMERICA NA		\$4,410.00	\$1.00	4,410.000
3023653	10/13/20	10/13/20	Fund Purchase from FRI Maturity CD-283619-1 TBK BANK, SSB / THE NATIONAL BANK, IL		\$249,800.00	\$1.00	249,800.000
3023689	10/13/20	10/13/20	Fund Purchase from FRI Interest CD-283619-1 TBK BANK, SSB / THE NATIONAL BANK, IL		\$104.44	\$1.00	104.440
3023689	10/13/20	10/13/20	Fund Purchase from FRI Interest SEC - 45171 - MORGAN STANLEY BANK NA		\$2,291.01	\$1.00	2,291.010
3025298	10/15/20	10/15/20	Phone Wire Redemption	(\$3,300,000.00)		\$1.00	(3,300,000.000)
3025300	10/15/20	10/15/20	Phone Exchange Purchase		\$2,480,000.00	\$1.00	2,480,000.000
3027798	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-1 Tristate Capital Bank, PA		\$247,197.19	\$1.00	247,197.190
3027799	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-2 CrossFirst Bank, KS		\$247,197.19	\$1.00	247,197.190
3027800	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-3 TexStar National Bank, TX		\$247,197.19	\$1.00	247,197.190
3027801	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-4 ST. LOUIS BANK, TN		\$247,197.19	\$1.00	247,197.190
3027802	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-5 SouthEast Bank, TN		\$247,197.19	\$1.00	247,197.190
3027803	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-6 Citizens Bank, TN		\$247,197.19	\$1.00	247,197.190

**LIQUID CLASS ACTIVITY**

Transaction	Trade Date	Settle Date	Description	Redemption/Debit	Purchase/Credit	Share Price	Shares this Transaction
3027804	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-7 PlainsCapital Bank, TX		\$247,197.19	\$1.00	247,197.190
3027805	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-8 First Interstate Bank, MT		\$247,197.19	\$1.00	247,197.190
3027806	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-9 First Savings Bank, IN		\$247,197.19	\$1.00	247,197.190
3027807	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-10 Genesee Regional Bank, NY		\$247,197.19	\$1.00	247,197.190
3027808	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-11 Centreville Savings Bank, RI		\$247,197.19	\$1.00	247,197.190
3027809	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-12 Farmers Bank & Trust, KS		\$247,197.19	\$1.00	247,197.190
3027810	10/22/20	10/22/20	Fund Purchase from FRI Maturity CD-284323-13 FirstCapital Bank of Texas, N.A. / First National Bank of MI,		\$233,633.72	\$1.00	233,633.720
3027886	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-1 Tristate Capital Bank, PA		\$61.49	\$1.00	61.490
3027887	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-2 CrossFirst Bank, KS		\$61.49	\$1.00	61.490
3027888	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-3 TexStar National Bank, TX		\$61.49	\$1.00	61.490
3027889	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-4 ST. LOUIS BANK , TN		\$61.49	\$1.00	61.490
3027890	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-5 SouthEast Bank, TN		\$61.49	\$1.00	61.490
3027891	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-6 Citizens Bank, TN		\$61.49	\$1.00	61.490
3027892	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-7 PlainsCapital Bank, TX		\$61.49	\$1.00	61.490
3027893	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-8 First Interstate Bank, MT		\$61.49	\$1.00	61.490
3027894	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-9 First Savings Bank, IN		\$61.49	\$1.00	61.490
3027895	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-10 Genesee Regional Bank, NY		\$61.49	\$1.00	61.490

**LIQUID CLASS ACTIVITY**

Transaction	Trade Date	Settle Date	Description	Redemption/Debit	Purchase/Credit	Share Price	Shares this Transaction
3027896	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-11 Centreville Savings Bank, RI		\$61.49	\$1.00	61.490
3027897	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-12 Farmers Bank & Trust, KS		\$61.49	\$1.00	61.490
3027898	10/22/20	10/22/20	Fund Purchase from FRI Interest CD-284323-13 FirstCapital Bank of Texas, N.A. / First National Bank of MI,		\$58.12	\$1.00	58.120
3031450	10/29/20	10/29/20	Phone Wire Redemption	(\$3,200,000.00)		\$1.00	(3,200,000.000)
3033165	10/31/20	10/31/20	Dividend Reinvest		\$15.96	\$1.00	15.960
<b>TOTALS FOR PERIOD</b>				<b>(\$9,800,000.00)</b>	<b>\$9,282,417.41</b>		<b>(517,582.590)</b>
<b>ENDING BALANCE</b>							<b>\$8,420.13</b>



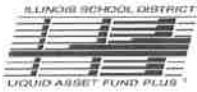
**Geneva C.U.S.D. #304**

**Statement Period**

Oct 1, 2020 to Oct 31, 2020

**TRANSACTION ACTIVITY**

<b>BEGINNING BALANCE</b>							<b>\$43,221,873.78</b>
<b>MAX CLASS ACTIVITY</b>							
<b>Transaction</b>	<b>Trade Date</b>	<b>Settle Date</b>	<b>Description</b>	<b>Redemption/Debit</b>	<b>Purchase/Credit</b>	<b>Share Price</b>	<b>Shares this Transaction</b>
3019652	10/01/20	10/01/20	Phone Exchange Redemption	(\$3,100,000.00)		\$1.00	(3,100,000.000)
3025299	10/15/20	10/15/20	Phone Exchange Redemption	(\$2,480,000.00)		\$1.00	(2,480,000.000)
3030881	10/28/20	10/28/20	Harris Local Funds Purchase County Tax Payment		\$687,585.69	\$1.00	687,585.690
3033693	10/31/20	10/31/20	Dividend Reinvest		\$1,645.24	\$1.00	1,645.240
<b>TOTALS FOR PERIOD</b>				<b>(\$5,580,000.00)</b>	<b>\$689,230.93</b>		<b>(4,890,769.070)</b>
<b>ENDING BALANCE</b>							<b>\$38,331,104.71</b>

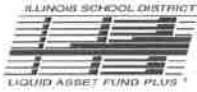


**Geneva C.U.S.D. #304**

**SDA / MMA TRANSACTION ACTIVITY**

Statement Period  
Oct 1, 2020 to Oct 31, 2020

<b>BANK OF CHINA</b>			<b>BEGINNING BALANCE</b>				<b>\$4,082,278.68</b>
Transaction	Date		Deposits	Withdrawals	Interest / Adjust	Balance	
229359	10/31/20	Interest			\$242.73	\$4,082,521.41	
<b>TOTALS FOR PERIOD</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$242.73</b>		
<b>ENDING BALANCE</b>						<b>\$4,082,521.41</b>	
<b>BANK OF CHINA (ICS - DDA)</b>			<b>BEGINNING BALANCE</b>				<b>\$20,001,578.24</b>
Transaction	Date		Deposits	Withdrawals	Interest / Adjust	Balance	
229358	10/31/20	Interest			\$1,189.16	\$20,002,767.40	
<b>TOTALS FOR PERIOD</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$1,189.16</b>		
<b>ENDING BALANCE</b>						<b>\$20,002,767.40</b>	



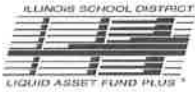
**Geneva C.U.S.D. #304**

**FIXED INCOME INVESTMENTS**

Statement Period  
Oct 1, 2020 to Oct 31, 2020

**MATURITIES**

Type	M	Holding ID	Settle Date	Transaction Date	Maturity Date	Description	Cost	Face/Par
DTC	M	45169	10/09/19	10/08/20	10/08/20	SEC - 45169 - BANK OF AMERICA NA	\$245,240.90	\$245,000.00
CD	M	283619-1	06/17/20	10/13/20	10/13/20	CD-283619-1 TBK BANK, SSB / THE NATIONAL BANK, IL	\$249,800.00	\$249,904.44
CDR	M	284323-1	07/23/20	10/22/20	10/22/20	CD-284323-1 Tristate Capital Bank, PA	\$247,197.19	\$247,258.68
CDR	M	284323-10	07/23/20	10/22/20	10/22/20	CD-284323-10 Genesee Regional Bank, NY	\$247,197.19	\$247,258.68
CDR	M	284323-11	07/23/20	10/22/20	10/22/20	CD-284323-11 Centreville Savings Bank, RI	\$247,197.19	\$247,258.68
CDR	M	284323-12	07/23/20	10/22/20	10/22/20	CD-284323-12 Farmers Bank & Trust, KS	\$247,197.19	\$247,258.68
CDR	M	284323-13	07/23/20	10/22/20	10/22/20	CD-284323-13 FirstCapital Bank of Texas, N.A. / First National Bank of MI,	\$233,633.72	\$233,691.84
CDR	M	284323-2	07/23/20	10/22/20	10/22/20	CD-284323-2 CrossFirst Bank, KS	\$247,197.19	\$247,258.68
CDR	M	284323-3	07/23/20	10/22/20	10/22/20	CD-284323-3 TexStar National Bank, TX	\$247,197.19	\$247,258.68
CDR	M	284323-4	07/23/20	10/22/20	10/22/20	CD-284323-4 ST. LOUIS BANK, TN	\$247,197.19	\$247,258.68
CDR	M	284323-5	07/23/20	10/22/20	10/22/20	CD-284323-5 SouthEast Bank, TN	\$247,197.19	\$247,258.68
CDR	M	284323-6	07/23/20	10/22/20	10/22/20	CD-284323-6 Citizens Bank, TN	\$247,197.19	\$247,258.68
CDR	M	284323-7	07/23/20	10/22/20	10/22/20	CD-284323-7 PlainsCapital Bank, TX	\$247,197.19	\$247,258.68
CDR	M	284323-8	07/23/20	10/22/20	10/22/20	CD-284323-8 First Interstate Bank, MT	\$247,197.19	\$247,258.68
CDR	M	284323-9	07/23/20	10/22/20	10/22/20	CD-284323-9 First Savings Bank, IN	\$247,197.19	\$247,258.68
<b>Totals for Period:</b>							<b>\$3,685,040.90</b>	<b>\$3,685,700.44</b>



**Geneva C.U.S.D. #304**

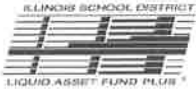
**Statement Period**

Oct 1, 2020 to Oct 31, 2020

**FIXED INCOME INVESTMENTS**

<b>INTEREST</b>				
Type	Holding ID	Transaction Date	Description	Interest
DTC	45169	10/08/20	SEC - 45169 - BANK OF AMERICA NA	\$4,410.00
CD	283619-1	10/13/20	CD-283619-1 TBK BANK, SSB / THE NATIONAL BANK, IL	\$104.44
DTC	45171	10/13/20	SEC - 45171 - MORGAN STANLEY BANK NA	\$2,291.01
CDR	284323-1	10/22/20	CD-284323-1 Tristate Capital Bank, PA	\$61.49
CDR	284323-10	10/22/20	CD-284323-10 Genesee Regional Bank, NY	\$61.49
CDR	284323-11	10/22/20	CD-284323-11 Centreville Savings Bank, RI	\$61.49
CDR	284323-12	10/22/20	CD-284323-12 Farmers Bank & Trust, KS	\$61.49
CDR	284323-13	10/22/20	CD-284323-13 FirstCapital Bank of Texas, N.A. / First National Bank of MI,	\$58.12
CDR	284323-2	10/22/20	CD-284323-2 CrossFirst Bank, KS	\$61.49
CDR	284323-3	10/22/20	CD-284323-3 TexStar National Bank, TX	\$61.49
CDR	284323-4	10/22/20	CD-284323-4 ST. LOUIS BANK , TN	\$61.49
CDR	284323-5	10/22/20	CD-284323-5 SouthEast Bank, TN	\$61.49
CDR	284323-6	10/22/20	CD-284323-6 Citizens Bank, TN	\$61.49
CDR	284323-7	10/22/20	CD-284323-7 PlainsCapital Bank, TX	\$61.49
CDR	284323-8	10/22/20	CD-284323-8 First Interstate Bank, MT	\$61.49
CDR	284323-9	10/22/20	CD-284323-9 First Savings Bank, IN	\$61.49
SDA	229358	10/31/20	Savings Deposit Account - BANK OF CHINA (ICS - DDA)	\$1,189.16
SDA	229359	10/31/20	Savings Deposit Account - BANK OF CHINA	\$242.73
<b>Totals for Period:</b>				<b>\$9,033.34</b>





**Geneva C.U.S.D. #304**

**Statement Period**  
Oct 1, 2020 to Oct 31, 2020

**CURRENT PORTFOLIO**

Type	Code	Holding ID	Trade	Settle	Maturity	Description	Cost	Rate	Face/Par	Market Value
LIQ				10/31/20		LIQ Account Balance	\$8,420.13	0.020%	\$8,420.13	\$8,420.13
MAX				10/31/20		MAX Account Balance	\$38,331,104.71	0.050%	\$38,331,104.71	\$38,331,104.71
SDA	6	229358-1		10/31/20		Savings Deposit Account - BANK OF CHINA (ICS - DDA)	\$20,002,767.40	0.070%	\$20,002,767.40	\$20,002,767.40
SDA	6	229359-1		10/31/20		Savings Deposit Account - BANK OF CHINA	\$4,082,521.41	0.070%	\$4,082,521.41	\$4,082,521.41
CD	N	269840-1	05/20/19	05/20/19	11/10/20	SONABANK	\$240,600.00	2.538%	\$249,634.31	\$240,600.00
CD	N	283615-1	06/17/20	06/17/20	11/10/20	THIRD COAST BANK, SSB	\$249,500.00	0.410%	\$249,908.89	\$249,500.00
CD	N	283616-1	06/17/20	06/17/20	11/10/20	BANK OF CHINA	\$249,700.00	0.202%	\$249,901.82	\$249,700.00
CD	N	283617-1	06/17/20	06/17/20	11/10/20	TEXAS CAPITAL BANK	\$249,800.00	0.199%	\$249,998.57	\$249,800.00
CD	N	283618-1	06/17/20	06/17/20	11/10/20	CIBC BANK USA / PRIVATE BANK - MI	\$249,800.00	0.154%	\$249,954.04	\$249,800.00
CD	N	271720-1	06/12/19	06/12/19	12/03/20	PACIFIC WESTERN BANK	\$241,400.00	2.316%	\$249,657.33	\$241,400.00
CD	N	271721-1	06/12/19	06/12/19	12/03/20	WESTERN ALLIANCE BANK / TORREY PINES BANK	\$241,400.00	2.328%	\$249,726.63	\$241,400.00
CD	N	276804-1	09/05/19	09/05/19	03/04/21	CFG BANK	\$243,500.00	1.743%	\$249,847.05	\$243,500.00
CD	N	285349-1	09/09/20	09/09/20	04/13/21	FINANCIAL FEDERAL BANK	\$249,700.00	0.070%	\$249,803.44	\$249,700.00
CD	N	285350-1	09/09/20	09/09/20	04/13/21	FIELDPOINT PRIVATE BANK & TRUST	\$249,800.00	0.070%	\$249,903.48	\$249,800.00
CD	N	274047-1	07/10/19	07/10/19	07/09/21	MIDLAND STATES BANK	\$239,400.00	2.112%	\$249,556.41	\$239,400.00
DTC	N	44780-1	09/05/19	09/12/19	09/13/21	MORGAN STANLEY PVT BANK (1.800%) 61760AX95	\$247,000.00	1.800%	\$247,000.00	\$250,723.03
DTC	N	45171-1	10/02/19	10/10/19	10/12/21	MORGAN STANLEY BANK NA (1.850%) 61690ULU2	\$247,000.00	1.850%	\$247,000.00	\$251,198.01
<b>Totals for Period:</b>							<b>\$65,623,413.65</b>		<b>\$65,666,705.62</b>	<b>\$65,631,334.69</b>

Weighted Average Portfolio Yield: 1.457 %  
Weighted Average Portfolio Maturity: 114.43 Days

**Deposit Codes:**  
6) Promontory-BOC  
N) Single FEIN

**Portfolio Summary:**

Type	Allocation (%)	Allocation (\$)	Description
LIQ	0.01%	\$8,420.13	Liquid Class Activity
MAX	58.40%	\$38,331,104.71	MAX Class Activity
CD	4.12%	\$2,704,600.00	Certificate of Deposit
DTC	0.76%	\$501,921.04	Certificate of Deposit
SDA	36.70%	\$24,085,288.81	Savings Deposit Account

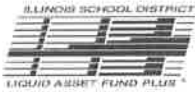
Note: Weighted Yield & Weighted Average Portfolio Maturity are calculated using "Market Value" and are only based on the fixed rate investments (excluding SDA investments).

"Cost" is comprised of the total amount you paid for the investment including any fees and commissions.

"Rate" is the Net Yield to Maturity.

"Face/Par" is the amount received at maturity.

"Market Value" reflects the market value as reported by an independent third-party pricing service. Certificates of Deposit and Commercial Paper and other assets for which market pricing is not readily available from a third-party pricing service are listed at "Cost".



## ISDLAF+ Monthly Statement



### About Your Account and Statement

Securities and municipal advisory brokerage services (investments purchased with proceeds from a municipal securities issuance), and investments cleared through our clearing firm, Pershing LLC, are offered through PMA Securities, LLC, a broker-dealer and municipal advisor registered with the SEC and MSRB, and a member of FINRA and SIPC. All other products and brokerage services are generally provided by PMA Financial Network, LLC. Thus, certificates of deposit ("CD"), savings deposit accounts ("SDA") and commercial paper ("CP") may be executed through either PMA entity, as applicable, depending on whether the investment was purchased with proceeds derived from municipal securities. PMA Securities, LLC and PMA Financial Network, LLC are operated under common ownership and are affiliated with Prudent Man Advisors, LLC.

#### Fixed Rate Investment Activity

This section shows all of the fixed term investments purchased and sold, maturities, interest received, and activity. This will include all CD, SDA, CP, securities and money market funds purchased through PMA Financial Network, LLC or PMA Securities, LLC as applicable. It also shows the approximate market value of each security whose price is obtained from an independent source believed to be reliable. However, PMA cannot guarantee their accuracy. This data is provided for informational purposes only. Listed values should not be interpreted as an offer to buy or sell at a specific price. CD's and CP are listed at their original cost. Redemption of a CD prior to maturity may result in early withdrawal penalties. Market values are based on the last day of the month for which this report date range is ending. If the run date of this report is prior to the end of the current month, the market values are listed as equivalent to the cost values.

#### ISDLAF+ Activity

This section shows all of the activity in the Liquid and Max Class. The Average Rate represents the average net interest rate over the previous month which is then annualized. Income Summary represents the interest earned for the Month and Fiscal Year to Date.

Information regarding the ISDLAF+ investment objectives, risks, charges and expenses can be found in the ISDLAF+ information statement, which can be obtained at [www.pmafunds.com](http://www.pmafunds.com) or by calling PMA at the phone number listed.

An investment in the Multi-Class Series or any Term Series is not a bank deposit and is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other governmental or private agency. Although the Liquid Class and MAX Class of the Multi-Class Series each seeks to maintain a stable value of \$1.00 per share, it is possible to lose money by investing in the Multi-Class Series. It also is possible to lose money by investing in a Term Series, which may impose a substantial penalty for redemption prior to the full term of the Series.

#### Money Market Fund

The Rate shown for the money market fund represents the average net interest rate over the previous month which is then annualized. Information regarding the money market fund's investment objectives, risks, charges and expenses can be found in the money market fund's prospectus, which can be obtained by calling PMA at the phone numbers listed. The performance data featured represents past performance, which is no guarantee of future results. Investment returns will fluctuate. Current performance may be higher or lower than the performance data quoted. Please call PMA for the most recent performance figures.

The performance data featured represents past performance, which is no guarantee of future results. Investment returns will fluctuate. Current performance may be higher or lower than the performance data quoted. Please call PMA for the most recent performance figures.

#### Additional Disclosures

All funds, and/or securities are located and safe kept in an account under the client's name at their custodial bank. Any certificates of deposit listed are located in the client's name at the respective bank. Any money market fund shares are held directly with the money market fund. It is recommended that any oral communications be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act.

#### Debt Securities

Some debt securities are subject to redemption prior to maturity. In the event of a partial or whole call of a security, the securities call will be automatically selected on a random basis as is customary in the securities industry. The probability that your securities will be selected is proportional to the amount of your holdings relative to the total holdings. Redemption prior to maturity could affect the yield represented. Additional information is available upon request.

A financial statement of PMA Securities, LLC is available for inspection at its office or a copy will be mailed to you upon written request.

**PLEASE ADVISE PMA AND OUR CLEARING FIRM, PERSHING LLC, IMMEDIATELY OF ANY INACCURACY OR DISCREPANCY ON YOUR STATEMENT. FOR A CHANGE OF ADDRESS OR QUESTIONS REGARDING YOUR ACCOUNT, PLEASE NOTIFY YOUR PMA REPRESENTATIVE. ANY ORAL COMMUNICATIONS SHOULD BE RE-CONFIRMED IN WRITING.**

#### How to Contact PMA

Please call (630) 657-6400 or write to us at PMA, 2155 CityGate Lane, 7<sup>th</sup> Floor, Naperville, Illinois 60563.

#### How to Contact Pershing, LLC

Please call (201) 413-3330 or write to Pershing, LLC, One Pershing Plaza, Jersey City, New Jersey, 07399

In accordance with FINRA Rule 2267, PMA Securities, LLC is providing the following information in the event you wish to contact FINRA. You may call (301) 590-6500 or write to FINRA at 1735 K Street NW, Washington, D.C. 20006-1500. In addition to the public disclosure number (800) 283-3333, FINRA provides an investor brochure which describes their Public Disclosure Program. Additional information is also available at [www.finra.org](http://www.finra.org).

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